

7870.0270 DISCLOSURE OF MANAGEMENT.

An applicant for a Class B license must disclose with regard to its management of pari-mutuel horse racing:

A. a description of the applicant's management plan, with budget and identification of management personnel by function; job descriptions and qualifications for each management position; and a copy of the organization chart;

B. management personnel and to extent known with respect to each:

- (1) legal name, aliases, and previous names;
- (2) current residence and business addresses and telephone numbers;
- (3) qualifications and experience in the following areas:
 - (a) general business;
 - (b) marketing, promotion, and advertising;
 - (c) finance and accounting;
 - (d) horse racing;
 - (e) pari-mutuel betting;
 - (f) security;
 - (g) human and animal health and safety; and

(4) a description of the terms and conditions of employment, and a copy of the agreement;

C. consultants and other contractors to extent known who have provided or will provide management-related services to applicant and with respect to each:

- (1) full name;
- (2) current address and telephone number;
- (3) nature of services;
- (4) qualifications and experience; and
- (5) description of terms and conditions of any contractor's agreement, and a copy of the agreement;

D. memberships of the applicant, management personnel, and consultants in horse racing organizations;

- E. a description of the applicant's security plan, including:
- (1) number and deployment of security personnel used by applicant during a race meeting; security staff levels; and deployment at other times;
 - (2) specific security plans for perimeter, stabling facilities, pari-mutuel betting facilities, purses, and cash room;
 - (3) specific plans to discover persons at the horse racing facility who have been convicted of a felony, had a license suspended, revoked, or denied by the commission or by the horse racing authority of another jurisdiction, or are a threat to the integrity of racing in Minnesota;
 - (4) a description of video monitoring equipment and its use;
 - (5) whether the applicant will be a member of the Thoroughbred Racing Protective Bureau or other security organization; and
 - (6) coordination of security with law enforcement agencies;
- F. a description of applicant's plans for human and animal health and safety, including emergencies;
- G. a description of the applicant's marketing, promotion, and advertising plans;
- H. a description of the applicant's plan for the conduct of horse racing, including types of racing, number of days, weeks, specific dates, number of races per day, time of day, and special events;
- I. a description of the applicant's plan for purses, including total purses, formula, minimum, stakes races, and purse-handling procedures;
- J. a description of the applicant's plan for pari-mutuel betting, including number of line divisions, windows, selling machines, and clerks; use or duties of each; and accounting procedures, including its proposed system of internal audit and supervisory controls;
- K. a description of the applicant's plan for concessions, including whether licensee will operate concessions and, if not, who will to the extent known;
- L. a description of training of the applicant's personnel; and
- M. a description of plans for compliance with all laws pertaining to discrimination, equal employment, and affirmative action; policies regarding recruitment, use, and advancement of minorities; policies with respect to minority contracting; a copy of Equal Employment Opportunity Statement and Policy of the applicant dated and signed by chief executive officer; a copy of Affirmative Action Policy and Procedures dated and signed; and identification of the affirmative action officer, including name, title, address, and telephone number.

Statutory Authority: *MS s 240.23*

History: *8 SR 1823*

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