

7870.0100 DISCLOSURE OF MANAGEMENT.

An applicant for a Class A license must disclose with regard to the development, ownership, and operation of its pari-mutuel horse racing facility:

A. a description of the applicant's management plan, with budget and identification of management personnel by function, job descriptions, and qualifications for each management position, and a copy of the organization chart;

B. management personnel to the extent known and with respect to each:

- (1) legal name, aliases, and previous names;
- (2) current residence and business addresses and telephone numbers;
- (3) qualifications and experience in the following areas:
 - (a) general business;
 - (b) real estate development;
 - (c) construction;
 - (d) marketing, promotion, and advertising;
 - (e) finance and accounting;
 - (f) horse racing;
 - (g) pari-mutuel betting;
 - (h) security; and
 - (i) human and animal health and safety; and

(4) description of the terms and conditions of employment and a copy of the agreement;

C. consultants and other contractors who have provided or will provide management-related services to applicant to extent known and with respect to each:

- (1) full name;
- (2) current address and telephone number;
- (3) nature of services;
- (4) qualifications and experience;
- (5) description of terms and conditions of any contractor's agreement, and a copy of the agreement;

D. memberships of the applicant, management personnel, and consultants in horse racing organizations;

- E. description of the applicant's security plan, including:
- (1) number and deployment of security personnel used by applicant during a race meeting, security staff levels, and deployment at other times;
 - (2) specific security plans for perimeter, stabling facilities, pari-mutuel betting facilities, purses and cash room;
 - (3) specific plans to discover persons at the horse racing facility who have been convicted of a felony, had a license suspended, revoked, or denied by the commission or by the horse racing authority of another jurisdiction or are a threat to the integrity of racing in Minnesota;
 - (4) description of video monitoring equipment and its use;
 - (5) whether the applicant will be a member of the Thoroughbred Racing Protective Bureau or other security organization; and
 - (6) coordination of security with law enforcement agencies;
- F. description of the applicant's plans for human and animal health and safety, including emergencies;
- G. description of the applicant's marketing, promotion, and advertising plans;
- H. a description of the applicant's plan for concessions, including whether the licensee will operate concessions and, if not, who will, to the extent known;
- I. a description of training of the applicant's personnel; and
- J. a description of plans for compliance with all laws pertaining to discrimination, equal employment, and affirmative action; policies regarding recruitment, use, and advancement of minorities; policies with respect to minority contracting; a copy of Equal Employment Opportunity Statement and Policy of the applicant dated and signed by chief executive officer; and a copy of Affirmative Action Policy and Procedures dated and signed; and identification of the affirmative action officer, including name, title, address, and telephone number.

Statutory Authority: *MS s 240.23*

History: *8 SR 1823*

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