

**2876.3040 REGISTRATION STATEMENT; REQUIRED RECORDS.**

Subpart 1. **Information required in registration statement.** A registration statement under Minnesota Statutes, section 80A.52, must contain the information and records specified in Minnesota Statutes, section 80A.52, paragraph (b), clauses (1) to (18).

Subp. 2. **Additional information required.** A registration statement under Minnesota Statutes, section 80A.52, must also contain:

A. such further material information, if any, as may be necessary to make the required information and records, in the light of the circumstances under which they are made, not misleading; and

B. a statement by the issuer that it has complied with the requirements in Minnesota Statutes, chapter 345, relating to unclaimed property.

Subp. 3. **Periodic reports.** While a registration statement is effective, the person that filed the registration statement must update it to keep it reasonably current by filing with the administrator a report explaining any material changes to the information contained in the registration statement.

**Statutory Authority:** *MS s 45.023; 80A.82*

**History:** *34 SR 593*

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