

CHAPTER 7039
MINNESOTA POLLUTION CONTROL AGENCY
LISTED METALS IN SPECIFIED PRODUCTS

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7039.0010 SCOPE.

The purpose of this chapter is to implement the program created by Minnesota Statutes, section 115A.9651. This chapter establishes the procedures that the Listed Metals Advisory Council will follow for review of specified products.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0020 DEFINITIONS.

Subpart 1. **Scope.** The terms used in this chapter have the meanings given them in Minnesota Statutes, section 115A.9651, and in this part.

Subp. 2. **Art supply.** "Art supply" means a specified product which is packaged or sold for individual use and which is intended for use by individuals engaged in the production of works of art which are not mass produced.

Subp. 3. **Certification of compliance.** "Certification of compliance" has the meaning given in Minnesota Statutes, section 115A.9651, subdivision 3.

Subp. 4. **Chair.** "Chair" means chair of the council.

Subp. 5. **Council.** "Council" means the Listed Metals Advisory Council created by Minnesota Statutes, section 115A.9651, subdivision 5.

Subp. 6. **Commissioner.** "Commissioner" means the commissioner of the Minnesota Pollution Control Agency or staff delegated by the commissioner to perform the duties of the commissioner.

Subp. 7. **Days.** "Days" means calendar days.

Subp. 8. **Essential product.** "Essential product" has the meaning given in Minnesota Statutes, section 115A.9651, subdivision 2.

Subp. 9. **Exempt product.** "Exempt product" means a product which has been subject to a decision under Minnesota Statutes, section 115A.965, subdivision 3, paragraph (b) or (c), for the duration of that decision and subject to any conditions on that decision.

Subp. 10. **Intentionally introduce.** "Intentionally introduce" has the meaning given in Minnesota Statutes, section 115A.9651, subdivision 2.

Subp. 11. **Listed metal.** "Listed metal" has the meaning given in Minnesota Statutes, section 115A.9651, subdivision 2.

Subp. 12. **Listed product.** "Listed product" has the meaning given in Minnesota Statutes, section 115A.9651, subdivision 2.

Subp. 13. **New product.** "New product" has the meaning given in Minnesota Statutes, section 115A.9651, subdivision 2.

Subp. 14. **Official.** "Official" has the meaning given in Minnesota Statutes, section 115A.9651, subdivision 2.

Subp. 15. **Prohibited products list.** "Prohibited products list" means the list of listed products established by Minnesota Statutes, section 115A.9651, subdivision 4. "Prohibited products" are those products which have been discontinued or reformulated to eliminate the listed metals and which therefore no longer meet the definition of a specified product.

Subp. 16. **Specified product.** "Specified product" has the meaning given in Minnesota Statutes, section 115A.9651, subdivision 2, paragraph (i).

Subp. 17. **Temporary member.** "Temporary member" means a person appointed to the council pursuant to the procedure established in Minnesota Statutes, section 115A.9651, subdivision 5, paragraph (f).

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0030 OFFICERS AND DUTIES.

Subpart 1. **Officers.** The chair of the council is appointed by the commissioner. The chair may appoint a vice-chair. The vice-chair shall discharge the duties of the chair during the absence or disability of the chair.

Subp. 2. **Vacancies.** Upon vacancy of the chair of the council, the commissioner shall appoint a new chair.

Subp. 3. **Removal.** Any council member may be removed from office by the commissioner as provided in Minnesota Statutes, section 15.059, subpart 4.

Subp. 4. **Execution of documents.** Recommendations to the commissioner and other documents approved by the council pursuant to law shall be executed on the council's behalf by the chair. In the absence of the chair, the vice-chair or any permanent member of the council who has received approval from the chair may sign the document on behalf of the council.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0040 COUNCIL MEETINGS.

Subpart 1. **Regular meetings.** The council shall meet at a date, place, and time set by the council. The chair may direct that any regular meeting be canceled, postponed, or advanced. The chair may appoint subcommittees.

Subp. 2. **Special meetings.** The chair may call a special meeting of the council when the chair deems it necessary to accomplish council business.

Subp. 3. **Quorum.** A majority of council members shall constitute a quorum. A quorum must be present to transact business. When temporary members have been appointed and the issue before the council concerns the product or product group that the temporary members were appointed to consider, a quorum consists of a majority of the members of the council, including both temporary and permanent members.

Subp. 4. **Presiding officer.** The chair shall preside at council meetings and shall determine the limits of time and the relevancy of discussion or debate on any matter before the council. If both the chair and vice-chair are absent, the members present shall elect a presiding officer to serve for that meeting or until the chair or vice-chair arrives.

Subp. 5. **Voting.** A majority vote by members present for the vote is binding. All members present shall vote or abstain on every matter presented for decision.

Subp. 6. **Public participation at meetings.** Meetings of the council shall be open to the public. Participation by persons other than members of the council shall be at the request of the chair. The chair may limit testimony by persons other than council members as needed for efficient and timely conduct of council business.

Subp. 7. **Parliamentary procedure.** Except as specifically provided in this chapter, Robert's Rules of Order shall govern questions of parliamentary procedure that arise.

Subp. 8. **Record of meetings.** The council shall keep full and accurate minutes of meetings.

Subp. 9. **Material to be considered by council.** Material for consideration by the council must be submitted to the staff of the council at least two working days before the council meeting to allow for copying and distribution to council members, unless the chair has given authorization to do otherwise.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0050 CONFLICT OF INTEREST.

No permanent or temporary member of the council who is an employee of a manufacturer or user of a specified product may sit in consideration of that product. As used in this part, "employee" includes officers and directors. No permanent or temporary council member who has a direct financial interest relating to any matter before the council shall vote on the matter.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0060 TRADE SECRET INFORMATION.

Subpart 1. **Prior identification of trade secret information required.** If a person wishes the council to consider information which the person considers to be trade secret information as defined in Minnesota Statutes, section 13.37, subdivision 1, paragraph (b), the person shall first submit that information to the staff of the council with a cover letter that specifically identifies those portions of the information that the person wishes to have treated as nonpublic data and gives the reasons why the person believes that the information meets the definition of trade secret information and the reasons why the person believes that submission of the trade secret information is necessary to the business of the council. No information received by the council may be treated as trade secret information unless it is identified as such as provided in this part.

Subp. 2. **Commissioner response.** Following receipt of information identified as trade secret information, the council staff shall review that information and make a preliminary finding with regard to whether the information meets the requirements of Minnesota Statutes, section 13.37, subdivision 1, paragraph (b). Unless otherwise agreed to by the submitter, the commissioner shall notify the submitter of the commissioner's preliminary determination within ten working days during which time the information shall be treated as nonpublic. If the finding is that the information should be classified as nonpublic, the staff shall notify the submitter and shall thereafter maintain the information as nonpublic. If the finding is that the information should be classified as public, the staff shall notify the submitter and allow the submitter to (1) supplement the rationale for treatment of the information as nonpublic, (2) request that the information be returned to the submitter, or (3) agree to the classification of the information as public. If a submitter disagrees with the decision of the commissioner under this part, the submitter may request a commissioner of administration advisory opinion as provided in Minnesota Statutes, section 13.072. If no advisory opinion is requested, the submitter agrees to comply with the interpretation of the commissioner. All parties shall abide by the decision provided under Minnesota Statutes, section 13.072.

Subp. 3. **Use of nonpublic information at council meetings.** As provided by Minnesota Statutes, section 471.705, meetings may not be closed to discuss data that are not public data, except as specifically provided in Minnesota Statutes, section 471.705. The council shall avoid public dissemination of trade secret information by

using redacted or summary data, except as necessary to conduct the business of the council.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0070 REQUIRED REPORTS AND CERTIFICATIONS.

Subpart 1. Submittals required. By July 1, 1998 (for manufacturers), or August 15, 1998 (for users), for all specified products that have not been identified as essential products or exempt products under Minnesota Statutes, section 115A.9651, subdivision 6, paragraph (f), a manufacturer or user of a specified product must submit a certification that the product no longer contains listed metals as provided in Minnesota Statutes, section 115A.9651, subdivision 3, or a product review report as provided in Minnesota Statutes, section 115A.9651, subdivision 6. Other entities such as manufacturer associations or distributors may prepare a product review report on behalf of a user or manufacturer or group of users or manufacturers, but the product review report must be signed by an official of each manufacturer or user represented by the report.

Subp. 2. Product review reports. Following receipt of product review reports as provided in subpart 1, the commissioner shall review the reports for completeness and to verify that each product is the subject of an appropriate report. If the product review report is not complete, the commissioner shall indicate in writing that the report is incomplete and shall indicate the information that is needed. Product review reports are required for each product as defined by statute, except that if the formulations, uses, and limitations for an entire product line are substantially similar, the product line may be the subject of one product review report. In determining if a product line can be addressed with one report, the commissioner shall consider if the products have substantially similar formulations, uses, and limitations. If there is a dispute about the number of product review reports due, the commissioner shall notify the submitter of the commissioner's preliminary determination. Following an opportunity to comment, the commissioner shall consider any additional information received and shall issue a decision stating the number of product review reports required.

Subp. 3. Contents of product review reports. Each product review report shall contain at least the following:

A. a policy statement articulating upper management support for eliminating or reducing intentional introduction of listed metals into its products;

B. a description of the product and the amount of each listed metal distributed for use in this state;

C. a description of past and ongoing efforts to eliminate or reduce the listed metal in the product;

D. an assessment of options available to reduce or eliminate the intentional introduction of the listed metal, including any alternatives to the specified product that do not contain the listed metal, perform the same technical function, are commercially available, and are economically practicable;

E. a statement of objectives in numerical terms and a schedule for achieving the elimination of the listed metals and an environmental assessment of alternative products;

F. a listing of options considered not to be technically or economically practicable; and

G. certification attesting to the accuracy of the information in the report signed and dated by an official of the manufacturer or user.

Subp. 4. Annual progress reports and fee. By July 1, 1999, and annually thereafter until the commissioner takes action under Minnesota Statutes, section 115A.9651, subdivision 9, the manufacturer, user, or other entity submitting the report on behalf of manufacturers and users must submit a progress report and fee. The progress report shall update all information required in the product review report. As used in this part, an "action" by the commissioner under Minnesota Statutes, section 115A.9651, subdivi-

sion 9, includes a decision to prohibit the specified product under Minnesota Statutes, section 115A.9651, subdivision 9, paragraph (a), as recommended by the council; a decision not to prohibit the specified product in opposition to the recommendation of the council; and a decision to accept the recommendation of the council not to prohibit a particular product.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0080 DUTIES OF COUNCIL WITH REGARD TO EXISTING PRODUCTS.

Subpart 1. **Recommendations to commissioner.** The council shall recommend to the commissioner the prohibition for distribution for sale or use in this state of an existing specified product that is not an essential product in accordance with this part. A recommendation that the commissioner take action shall include all information required by Minnesota Statutes, section 14.131, that the council can ascertain. The council may also recommend to the commissioner that a product it has considered not be prohibited for distribution for sale or use in this state.

Subp. 2. **Selection of specified products for consideration.** The council shall review product review reports submitted as required under Minnesota Statutes, section 115A.9651, subdivision 6, to determine which specified products or product groups should be considered for prohibition as provided in subpart 1. The council shall consider potential environmental impacts in prioritizing its review, including the amount of the product or product group used in the state, the amount of listed metals, the toxicity relative to other specified products, and the likely ability of the manufacturers and users of the specified product to switch to a less environmentally harmful alternative.

Subp. 3. **Schedule for consideration of specified products or product groups.** The permanent members of the council shall prioritize the council's review of a specified product for consideration and shall publish a notice in the State Register by October 1, 1998, identifying those specified products, or groups of products, which will be reviewed by July 1, 2000. By October 1, 2000, the council shall publish a notice in the State Register identifying those remaining specified products, or groups of products, which will be reviewed by July 1, 2005. Following publication of the products or product groups that will be considered by the council, the council shall establish a detailed schedule for consideration. The council shall amend the detailed schedule as information changes or as provided by subpart 4 or as new products are submitted for review or as otherwise appropriate. Council staff shall provide the revised detailed schedule upon request.

Subp. 4. **Expedited review requests.** A manufacturer or user who has submitted a product review report may request, in writing, an expedited review by the council. The council shall consider requests for expedited review at the next council meeting. The council shall grant expedited review if it finds that delay until the time scheduled for consideration is economically or administratively burdensome for the manufacturer or user, or that expedited consideration will not significantly disrupt the schedule. When the council grants a request for expedited review, it shall notify the submitter and amend the schedule.

Subp. 5. **Temporary members.** Following publication of the schedule under subpart 3, the commissioner shall appoint temporary members in an even number up to six for the first product or product group to be considered. Thereafter, the commissioner shall appoint temporary members at the request of the chair of the council. The chair shall request such appointments as necessary to accommodate the schedule of consideration of products and product groups.

Subp. 6. **Notice to manufacturers and users.** Following appointment of the temporary members, the council shall notify manufacturers and users of the product or product group to be considered and invite their participation before the council. Persons receiving notice under this subpart shall have 30 days to supplement product

reports or otherwise provide the council with information relevant to the product or product group under consideration, and request an oral presentation.

Subp. 7. Standard of review for existing products. The council's advice to the commissioner shall be based on an evaluation of the environmental impact of the product or product group and the ability of the manufacturer or user to reduce or eliminate the listed metal. Before making a recommendation to the commissioner as provided in subpart 1, the council must conclude that:

A. there is an alternative to the specified product that does not contain the listed metal that performs the same technical function, is commercially available, and is economically practicable; and

B. replacement of the product with the alternative will result in an environmental benefit in the state.

Subp. 8. Preliminary report. Before making a recommendation to the commissioner, the council shall send a written copy of its preliminary recommendation to interested persons. Interested persons shall have 30 days to submit written comments on the preliminary recommendation to the council.

Subp. 9. Final report. Following receipt of comments on the draft recommendation, the council shall make its recommendation to the commissioner. The recommendation may be to prohibit the distribution for sale or use in this state or not to prohibit such distribution.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0090 DUTIES OF COUNCIL WITH REGARD TO NEW PRODUCTS.

Subpart 1. Schedule for consideration of new products. Within 30 days of receipt of a new product review report, the commissioner shall determine if that report is complete. If the report is not complete, the commissioner shall indicate in writing that the report is incomplete and shall indicate the information that is needed. No new product may be distributed in the state until the commissioner has determined that a complete product review report and fee have been received. When the commissioner determines that a complete new product review report has been received, the commissioner shall notify the chair and request that the chair establish a schedule for consideration of the new product. In determining the schedule for consideration, the chair shall consider whether the new product is similar to products or product groups which are already scheduled for consideration, such that common consideration would be efficient, or if the new product is similar to products already considered by the council, in which case rapid consideration may be given based on the established record and without the appointment of temporary council members. If the chair recommends consideration based on the established record and without the appointment of temporary members, the commissioner must approve the decision of the chair.

Subp. 2. Notice. The chair shall publish notice in the State Register of its decision to consider a new product by amending the detailed schedule as provided in part 7039.0080.

Subp. 3. Procedure. The council shall follow the procedures established for consideration of products and product groups when considering a new product, except that if approved by the commissioner, no temporary members need be appointed.

Subp. 4. Standard of review for new products. The council's advice to the commissioner shall be based on an evaluation of the environmental impact of the product and the ability of the manufacturer or user to reduce or eliminate the listed metal. Before making a recommendation to the commissioner, the council must conclude that:

A. there is an alternative to the specified product that does not contain the listed metal that performs that same technical function, is commercially available, and is economically practicable, and replacement of the product with the alternative will result in an environmental benefit in the state; or

B. if there is no alternative to the new product, that the use of the listed metal in the new product presents a significant threat to the safe and efficient operation of waste facilities, or use of the listed metal does not increase the useful life span of the new product, reduce the overall toxicity of the final product or of material used in the production of the final product, or otherwise provide a net environmental benefit to the state.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0100 RULEMAKING RECORD.

The commissioner may adopt a council recommendation as the agency's statement of need and reasonableness. Persons seeking to participate in any rulemaking proceeding under Minnesota Statutes, section 115A.9651, subdivision 11, must show, for any information submitted for consideration in the rulemaking proceeding that was not submitted for consideration of the council, that the information was either new or that reasonable justification exists as to why the information was not submitted for consideration of the council. If the administrative law judge determines that the showing required by this part has not been made, no consideration shall be given to the information in determining the need for and reasonableness of the proposed rule.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0110 ESSENTIAL PRODUCTS REVIEW.

Subpart 1. **Verification.** The commissioner shall publish in the State Register a list of essential products for which the commissioner has received certifications pursuant to Minnesota Statutes, section 115A.9651, subdivision 7, as provided in that subdivision. If the commissioner has reason to believe that a product identified as an essential product does not meet the requirements for an essential product, the commissioner shall give written notice to the manufacturer or user and provide 30 days to respond.

Subp. 2. **Change in status.** A manufacturer or user who receives information or otherwise knows that a product certified as an essential product no longer meets that definition shall notify the commissioner within 60 days of receipt of the information and shall thereafter no longer use the product which formerly met the definition without first submitting a product review report.

Subp. 3. **New essential products, notice.** A new user of a product which has been certified to the commissioner as an essential product may use the product for the required application without submittal of a certification. A new manufacturer of an essential product must submit a certification. If, after filing the January 1, 1998, certification required by Minnesota Statutes, section 115A.9651, subdivision 7, a new essential product is required (for example, a new military specification is promulgated) a manufacturer or user of that new essential product shall submit a certification within 60 days of receiving notice that the use is required.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0120 TERMINATION OF COUNCIL.

As provided by statute, the duties given to the council under this chapter shall be performed by the commissioner following termination of the council on June 30, 2006.

Statutory Authority: *MS s 115A.9651*

History: *24 SR 179*

7039.0130 PROHIBITED SPECIFIED PRODUCTS.

No person may distribute the following specified products for sale or use in Minnesota after August 26, 2002, or the date indicated, whichever is later:

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A. traffic marking paints:

- (1) Diamond Vogel 5055, lead drier traffic paint, May 9, 2005;
- (2) Diamond Vogel 5054, lead chromate traffic paint, May 9, 2005;
- (3) Valspar 5035, yellow traffic paint, May 9, 2005;
- (4) Valspar 5041, plasti-kote traffic striper, May 9, 2005;
- (5) Sherwin-Williams 5179, traffic and zone marking-latex, May 9, 2005;

and

- (6) Sherwin-Williams 5180, traffic and zone marking-alkyd, May 9, 2005;

B. auto finish paints:

- (1) PPG 1104, automotive anticorrosion primer;
- (2) PPG 5015, American finishes supertint;
- (3) PPG 5016, American series amerfleet;
- (4) Valspar 5042, auto refinish basecoat;
- (5) Valspar 5043, refinish striping and lettering enamels; and
- (6) Valspar 5045, auto refinish pearl;

C. inks:

- (1) 3M 5028, scotchlite process series 700 inks manufactured prior to September 1, 2000, that contain listed metals as documented on Material Data Safety Sheets provided by the manufacturer, October 19, 2002;
- (2) 3M 5029, scotchlite screen printing ink series 900, October 19, 2002;
- (3) 3M 5030, scotchlite process color series 990 inks manufactured prior to September 1, 2000, that contain listed metals as documented on Material Data Safety Sheets provided by the manufacturer, October 19, 2002; and
- (4) Nazdar 5033, 79000 series corogloss, October 19, 2002;

D. other paints:

- (1) Dexter 5074, 100 percent solids topcoat resin, November 16, 2005;
- (2) Dexter 5162, high solids topcoat resin, November 16, 2005;
- (3) Dexter 5163, 100 percent solids basecoat resin, November 16, 2005;
- (4) Dexter 5164, high solids basecoat/sealer/stain resin, November 16, 2005; and
- (5) Dexter 5165, basecoat/topcoat blend resin, November 16, 2005; and

E. sign paints, Akzo Nobel 5170a, lead and lead chromate pigments.

Statutory Authority: *MS s 115A.9651*

History: *27 SR 261*