

**SENATE**  
**STATE OF MINNESOTA**  
**NINETY-THIRD SESSION**

**S.F. No. 2394**

(SENATE AUTHORS: KUPEC, Utke, Morrison, Boldon and Mann)

DATE	D-PG	OFFICIAL STATUS
03/02/2023	1268	Introduction and first reading Referred to Health and Human Services
03/07/2024	12029	Comm report: To pass and re-referred to Judiciary and Public Safety
04/15/2024	13757a	Comm report: To pass as amended and re-refer to State and Local Government and Veterans Joint rule 2.03, referred to Rules and Administration
04/18/2024	14233	Comm report: Adopt previous comm report Jt rule 2.03 suspended See HF5247

1.1 A bill for an act

1.2 relating to health occupations; creating a licensure compact for physician assistants,

1.3 occupational therapists, physical therapists, licensed professional counselors,

1.4 audiologists and speech language pathologists, dentists and dental hygienists, and

1.5 social workers; proposing coding for new law in Minnesota Statutes, chapters 148;

1.6 148B; 148E; 150A.

1.7 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA:

1.8 **ARTICLE 1**

1.9 **PHYSICIAN ASSISTANTS**

1.10 Section 1. **[148.675] PHYSICIAN ASSISTANT LICENSURE COMPACT.**

1.11 The physician assistant (PA) licensure compact is enacted into law and entered into with

1.12 all other jurisdictions legally joining in it in the form substantially specified in this section.

1.13 ARTICLE I

1.14 TITLE

1.15 This statute shall be known and cited as the physician assistant licensure compact.

1.16 ARTICLE II

1.17 DEFINITIONS

1.18 As used in this compact, and except as otherwise provided, the following terms have

1.19 the meanings given them.

1.20 (a) "Adverse action" means any administrative, civil, equitable, or criminal action

1.21 permitted by a state's laws that is imposed by a licensing board or other authority against a

1.22 PA license, license application, or compact privilege such as license denial, censure,

2.1 revocation, suspension, probation, monitoring of the licensee, or restriction on the licensee's  
2.2 practice.

2.3 (b) "Charter participating states" means the states that enacted the compact prior to the  
2.4 commission convening.

2.5 (c) "Compact privilege" means the authorization granted by a remote state to allow a  
2.6 licensee from another participating state to practice as a PA to provide medical services or  
2.7 other licensed activities to a patient located in the remote state under the remote state's laws  
2.8 and regulations.

2.9 (d) "Conviction" means a finding by a court that an individual is guilty of a felony or  
2.10 misdemeanor offense through adjudication or entry of a plea of guilt or no contest to the  
2.11 charge by the offender.

2.12 (e) "Criminal background check" means the submission of fingerprints or other  
2.13 biometric-based information for a license applicant for the purpose of obtaining that  
2.14 applicant's criminal history record information, as defined in Code of Federal Regulations,  
2.15 title 28, part 20, subpart 20.3, clause (d), from the state's criminal history record repository,  
2.16 as defined in Code of Federal Regulations, title 28, part 20, subpart 20.3, clause (f).

2.17 (f) "Data system" means the repository of information about licensees, including but not  
2.18 limited to license status and adverse action, that is created and administered under the terms  
2.19 of this compact.

2.20 (g) "Executive committee" means a group of directors and ex officio individuals elected  
2.21 or appointed pursuant to article VII, paragraph (f), clause (2).

2.22 (h) "Impaired practitioner" means a PA whose practice is adversely affected by a  
2.23 health-related condition that impacts the PA's ability to practice.

2.24 (i) "Investigative information" means information, records, and documents received or  
2.25 generated by a licensing board pursuant to an investigation.

2.26 (j) "Jurisprudence requirement" means the assessment of an individual's knowledge of  
2.27 the laws and rules governing the practice of a PA in a state.

2.28 (k) "License" means current authorization by a state, other than authorization pursuant  
2.29 to a compact privilege, for a PA to provide medical services, which would be unlawful  
2.30 without current authorization.

2.31 (l) "Licensee" means an individual who holds a license from a state to provide medical  
2.32 services as a PA.

3.1 (m) "Licensing board" means any state entity authorized to license and otherwise regulate  
 3.2 PAs.

3.3 (n) "Medical services" means health care services provided for the diagnosis, prevention,  
 3.4 treatment, cure, or relief of a health condition, injury, or disease, as defined by a state's laws  
 3.5 and regulations.

3.6 (o) "Model compact" means the model for the PA licensure compact on file with the  
 3.7 Council of State Governments or other entity as designated by the commission.

3.8 (p) "Participating state" means a state that has enacted this compact.

3.9 (q) "PA" means an individual who is licensed as a physician assistant in a state. For  
 3.10 purposes of this compact, any other title or status adopted by a state to replace the term  
 3.11 "physician assistant" shall be deemed synonymous with "physician assistant" and shall  
 3.12 confer the same rights and responsibilities to the licensee under the provisions of this compact  
 3.13 at the time of its enactment.

3.14 (r) "PA Licensure Compact Commission" or "compact commission" or "commission"  
 3.15 means the national administrative body created pursuant to article VII, paragraph (a).

3.16 (s) "Qualifying license" means an unrestricted license issued by a participating state to  
 3.17 provide medical services as a PA.

3.18 (t) "Remote state" means a participating state where a licensee who is not licensed as a  
 3.19 PA is exercising or seeking to exercise the compact privilege.

3.20 (u) "Rule" means a regulation promulgated by an entity that has the force and effect of  
 3.21 law.

3.22 (v) "Significant investigative information" means investigative information that a  
 3.23 licensing board, after an inquiry or investigation that includes notification and an opportunity  
 3.24 for the PA to respond if required by state law, has reason to believe is not groundless and,  
 3.25 if proven true, would indicate more than a minor infraction.

3.26 (w) "State" means any state, commonwealth, district, or territory of the United States.

### 3.27 ARTICLE III

#### 3.28 STATE PARTICIPATION IN THE COMPACT

3.29 (a) To participate in this compact, a participating state must:

3.30 (1) license PAs;

3.31 (2) participate in the commission's data system;

4.1 (3) have a mechanism in place for receiving and investigating complaints against licensees  
4.2 and license applicants;

4.3 (4) notify the commission, in compliance with the terms of this compact and commission  
4.4 rules, of any adverse action against the licensee or license applicant and the existence of  
4.5 significant investigative information regarding a licensee or license applicant;

4.6 (5) fully implement a criminal background check requirement, within a time frame  
4.7 established by commission rule, by its licensing board receiving the results of a criminal  
4.8 background check and reporting to the commission whether the license applicant has been  
4.9 granted a license;

4.10 (6) fully comply with the rules of the compact commission;

4.11 (7) utilize a recognized national examination such as the National Commission on  
4.12 Certification of Physician Assistants (NCCPA) physician assistant national certifying  
4.13 examination as a requirement for PA licensure; and

4.14 (8) grant the compact privilege to a holder of a qualifying license in a participating state.

4.15 (b) Nothing in this compact prohibits a participating state from charging a fee for granting  
4.16 the compact privilege.

#### 4.17 ARTICLE IV

#### 4.18 COMPACT PRIVILEGE

4.19 (a) To exercise the compact privilege, a licensee must:

4.20 (1) have graduated from a PA program accredited by the Accreditation Review  
4.21 Commission on Education for the Physician Assistant, Inc. or other programs authorized  
4.22 by commission rule;

4.23 (2) hold current NCCPA certification;

4.24 (3) have no felony or misdemeanor convictions;

4.25 (4) have never had a controlled substance license, permit, or registration suspended or  
4.26 revoked by a state or by the United States Drug Enforcement Administration;

4.27 (5) have a unique identifier as determined by commission rule;

4.28 (6) hold a qualifying license;

4.29 (7) have had no revocation of a license or limitation or restriction due to an adverse  
4.30 action on any currently held license;

5.1 (8) if a licensee has had a limitation or restriction on a license or compact privilege due  
5.2 to an adverse action, two years must have elapsed from the date on which the license or  
5.3 compact privilege is no longer limited or restricted due to the adverse action;

5.4 (9) if a compact privilege has been revoked or is limited or restricted in a participating  
5.5 state for conduct that would not be a basis for disciplinary action in a participating state in  
5.6 which the licensee is practicing or applying to practice under a compact privilege, that  
5.7 participating state shall have the discretion not to consider such action as an adverse action  
5.8 requiring the denial or removal of a compact privilege in that state;

5.9 (10) notify the compact commission that the licensee is seeking the compact privilege  
5.10 in a remote state;

5.11 (11) meet any jurisprudence requirement of a remote state in which the licensee is seeking  
5.12 to practice under the compact privilege and pay any fees applicable to satisfying the  
5.13 jurisprudence requirement; and

5.14 (12) report to the commission any adverse action taken by any nonparticipating state  
5.15 within 30 days after the date the action is taken.

5.16 (b) The compact privilege is valid until the expiration or revocation of the qualifying  
5.17 license unless terminated pursuant to an adverse action. The licensee must also comply with  
5.18 all of the requirements of paragraph (a) to maintain the compact privilege in a remote state.  
5.19 If the participating state takes adverse action against a qualifying license, the licensee shall  
5.20 lose the compact privilege in any remote state in which the licensee has a compact privilege  
5.21 until all of the following occur:

5.22 (1) the license is no longer limited or restricted; and

5.23 (2) two years have elapsed from the date on which the license is no longer limited or  
5.24 restricted due to the adverse action.

5.25 (c) Once a restricted or limited license satisfies the requirements of paragraph (b), the  
5.26 licensee must meet the requirements of paragraph (a) to obtain a compact privilege in any  
5.27 remote state.

5.28 (d) For each remote state in which a PA seeks authority to prescribe controlled substances,  
5.29 the PA shall satisfy all requirements imposed by such state in granting or renewing such  
5.30 authority.

5.31 ARTICLE V

6.1 DESIGNATION OF THE STATE FROM WHICH LICENSEE IS APPLYING FOR  
6.2 COMPACT PRIVILEGE

6.3 Upon a licensee's application for a compact privilege, the licensee must identify to the  
6.4 commission the participating state from which the licensee is applying, in accordance with  
6.5 applicable rules adopted by the commission, and subject to the following requirements:

6.6 (1) the licensee must provide the commission with the address of the licensee's primary  
6.7 residence and thereafter shall immediately report to the commission any change in the  
6.8 address of the licensee's primary residence; and

6.9 (2) the licensee must consent to accept service of process by mail at the licensee's primary  
6.10 residence on file with the commission with respect to any action brought against the licensee  
6.11 by the commission or a participating state, including a subpoena, with respect to any action  
6.12 brought or investigation conducted by the commission or a participating state.

6.13 ARTICLE VI

6.14 ADVERSE ACTIONS

6.15 (a) A participating state in which a licensee is licensed shall have exclusive power to  
6.16 impose adverse action against the qualifying license issued by that participating state.

6.17 (b) In addition to the other powers conferred by state law, a remote state shall have the  
6.18 authority, in accordance with existing state due process law, to do the following:

6.19 (1) take adverse action against a PA's compact privilege in the state to remove a licensee's  
6.20 compact privilege or take other action necessary under applicable law to protect the health  
6.21 and safety of its citizens; and

6.22 (2) issue subpoenas for both hearings and investigations that require the attendance and  
6.23 testimony of witnesses and the production of evidence. Subpoenas issued by a licensing  
6.24 board in a participating state for the attendance and testimony of witnesses or the production  
6.25 of evidence from another participating state shall be enforced in the latter state by any court  
6.26 of competent jurisdiction, according to the practice and procedure of that court applicable  
6.27 to subpoenas issued in proceedings pending before it. The issuing authority shall pay any  
6.28 witness fees, travel expenses, mileage, and other fees required by the service statutes of the  
6.29 state in which the witnesses or evidence are located.

6.30 (c) Notwithstanding paragraph (b), clause (1), subpoenas may not be issued by a  
6.31 participating state to gather evidence of conduct in another state that is lawful in that other  
6.32 state, for the purpose of taking adverse action against a licensee's compact privilege or  
6.33 application for a compact privilege in that participating state.

7.1 (d) Nothing in this compact authorizes a participating state to impose discipline against  
7.2 a PA's compact privilege or to deny an application for a compact privilege in that participating  
7.3 state for the individual's otherwise lawful practice in another state.

7.4 (e) For purposes of taking adverse action, the participating state which issued the  
7.5 qualifying license shall give the same priority and effect to reported conduct received from  
7.6 any other participating state as it would if the conduct had occurred within the participating  
7.7 state which issued the qualifying license. In so doing, that participating state shall apply its  
7.8 own state laws to determine appropriate action.

7.9 (f) A participating state, if otherwise permitted by state law, may recover from the  
7.10 affected PA the costs of investigations and disposition of cases resulting from any adverse  
7.11 action taken against that PA.

7.12 (g) A participating state may take adverse action based on the factual findings of a remote  
7.13 state, provided that the participating state follows its own procedures for taking the adverse  
7.14 action.

7.15 (h) Joint investigations:

7.16 (1) in addition to the authority granted to a participating state by its respective state PA  
7.17 laws and regulations or other applicable state law, any participating state may participate  
7.18 with other participating states in joint investigations of licensees; and

7.19 (2) participating states shall share any investigative, litigation, or compliance materials  
7.20 in furtherance of any joint or individual investigation initiated under this compact.

7.21 (i) If an adverse action is taken against a PA's qualifying license, the PA's compact  
7.22 privilege in all remote states shall be deactivated until two years have elapsed after all  
7.23 restrictions have been removed from the state license. All disciplinary orders by the  
7.24 participating state which issued the qualifying license that impose adverse action against a  
7.25 PA's license shall include a statement that the PA's compact privilege is deactivated in all  
7.26 participating states during the pendency of the order.

7.27 (j) If any participating state takes adverse action, it promptly shall notify the administrator  
7.28 of the data system.

7.29 ARTICLE VII

7.30 ESTABLISHMENT OF THE PA LICENSURE COMPACT COMMISSION

7.31 (a) The participating states hereby create and establish a joint government agency and  
7.32 national administrative body known as the PA Licensure Compact Commission. The

8.1 commission is an instrumentality of the compact states acting jointly, and is not an  
8.2 instrumentality of any one state. The commission shall come into existence on or after the  
8.3 effective date of the compact as set forth in article XI, paragraph (a).

8.4 (b) Membership, voting, and meetings:

8.5 (1) each participating state shall have and be limited to one delegate selected by that  
8.6 participating state's licensing board or, if the state has more than one licensing board, selected  
8.7 collectively by the participating state's licensing boards;

8.8 (2) the delegate shall be:

8.9 (i) a current PA, physician, or public member of a licensing board or PA council or  
8.10 committee; or

8.11 (ii) an administrator of a licensing board;

8.12 (3) any delegate may be removed or suspended from office as provided by the laws of  
8.13 the state from which the delegate is appointed;

8.14 (4) the participating state board shall fill any vacancy occurring in the commission within  
8.15 60 days;

8.16 (5) each delegate shall be entitled to one vote on all matters voted on by the commission  
8.17 and shall otherwise have an opportunity to participate in the business and affairs of the  
8.18 commission;

8.19 (6) a delegate shall vote in person or by such other means as provided in the bylaws.  
8.20 The bylaws may provide for delegates' participation in meetings by telecommunications,  
8.21 video conference, or other means of communication;

8.22 (7) the commission shall meet at least once during each calendar year. Additional  
8.23 meetings shall be held as set forth in this compact and the bylaws; and

8.24 (8) the commission shall establish by rule a term of office for delegates.

8.25 (c) The commission shall have the following powers and duties:

8.26 (1) establish a code of ethics for the commission;

8.27 (2) establish the fiscal year of the commission;

8.28 (3) establish fees;

8.29 (4) establish bylaws;

8.30 (5) maintain its financial records in accordance with the bylaws;

9.1 (6) meet and take such actions as are consistent with the provisions of this compact and  
9.2 the bylaws;

9.3 (7) promulgate rules to facilitate and coordinate implementation and administration of  
9.4 this compact. The rules shall have the force and effect of law and shall be binding in all  
9.5 participating states;

9.6 (8) bring and prosecute legal proceedings or actions in the name of the commission,  
9.7 provided that the standing of any state licensing board to sue or be sued under applicable  
9.8 law shall not be affected;

9.9 (9) purchase and maintain insurance and bonds;

9.10 (10) borrow, accept, or contract for services of personnel, including but not limited to  
9.11 employees of a participating state;

9.12 (11) hire employees and engage contractors, elect or appoint officers, fix compensation,  
9.13 define duties, grant such individuals appropriate authority to carry out the purposes of this  
9.14 compact, and establish the commission's personnel policies and programs relating to conflicts  
9.15 of interest, qualifications of personnel, and other related personnel matters;

9.16 (12) accept any and all appropriate donations and grants of money, equipment, supplies,  
9.17 materials, and services, and receive, utilize, and dispose of the same, provided that at all  
9.18 times the commission shall avoid any appearance of impropriety or conflict of interest;

9.19 (13) lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold,  
9.20 improve, or use, any property, real, personal, or mixed, provided that at all times the  
9.21 commission shall avoid any appearance of impropriety;

9.22 (14) sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of  
9.23 any property real, personal, or mixed;

9.24 (15) establish a budget and make expenditures;

9.25 (16) borrow money;

9.26 (17) appoint committees, including standing committees composed of members, state  
9.27 regulators, state legislators or their representatives, and consumer representatives, and such  
9.28 other interested persons as may be designated in this compact and the bylaws;

9.29 (18) provide and receive information from, and cooperate with, law enforcement agencies;

9.30 (19) elect a chair, vice chair, secretary, and treasurer and such other officers of the  
9.31 commission as provided in the commission's bylaws;

10.1 (20) reserve for itself, in addition to those reserved exclusively to the commission under  
10.2 the compact, powers that the executive committee may not exercise;

10.3 (21) approve or disapprove a state's participation in the compact based upon its  
10.4 determination as to whether the state's compact legislation departs in a material manner  
10.5 from the model compact language;

10.6 (22) prepare and provide to the participating states an annual report; and

10.7 (23) perform such other functions as may be necessary or appropriate to achieve the  
10.8 purposes of this compact consistent with the state regulation of PA licensure and practice.

10.9 (d) Meetings of the commission:

10.10 (1) all meetings of the commission that are not closed pursuant to this paragraph shall  
10.11 be open to the public. Notice of public meetings shall be posted on the commission's website  
10.12 at least 30 days prior to the public meeting;

10.13 (2) notwithstanding clause (1), the commission may convene a public meeting by  
10.14 providing at least 24 hours' prior notice on the commission's website, and any other means  
10.15 as provided in the commission's rules, for any of the reasons it may dispense with notice of  
10.16 proposed rulemaking under article IX, paragraph (1);

10.17 (3) the commission may convene in a closed, nonpublic meeting or nonpublic part of a  
10.18 public meeting to receive legal advice or to discuss:

10.19 (i) noncompliance of a participating state with its obligations under this compact;

10.20 (ii) the employment, compensation, discipline, or other matters, practices, or procedures  
10.21 related to specific employees, or other matters related to the commission's internal personnel  
10.22 practices and procedures;

10.23 (iii) current, threatened, or reasonably anticipated litigation;

10.24 (iv) negotiation of contracts for the purchase, lease, or sale of goods, services, or real  
10.25 estate;

10.26 (v) accusing any person of a crime or formally censuring any person;

10.27 (vi) disclosure of trade secrets or commercial or financial information that is privileged  
10.28 or confidential;

10.29 (vii) disclosure of information of a personal nature where disclosure would constitute a  
10.30 clearly unwarranted invasion of personal privacy;

10.31 (viii) disclosure of investigative records compiled for law enforcement purposes;

11.1 (ix) disclosure of information related to any investigative reports prepared by or on  
11.2 behalf of, or for use of, the commission or other committee charged with responsibility of  
11.3 investigation or determination of compliance issues pursuant to this compact;

11.4 (x) legal advice; or

11.5 (xi) matters specifically exempted from disclosure by federal or participating states'  
11.6 statutes;

11.7 (4) if a meeting, or portion of a meeting, is closed pursuant to clause (3), the chair of  
11.8 the meeting or the chair's designee shall certify that the meeting or portion of the meeting  
11.9 may be closed and shall reference each relevant exempting provision; and

11.10 (5) the commission shall keep minutes that fully and clearly describe all matters discussed  
11.11 in a meeting and shall provide a full and accurate summary of actions taken, including a  
11.12 description of the views expressed. All documents considered in connection with an action  
11.13 shall be identified in such minutes. All minutes and documents of a closed meeting shall  
11.14 remain under seal, subject to release by a majority vote of the commission or order of a  
11.15 court of competent jurisdiction.

11.16 (e) Financing of the commission:

11.17 (1) the commission shall pay, or provide for the payment of, the reasonable expenses of  
11.18 its establishment, organization, and ongoing activities;

11.19 (2) the commission may accept any and all appropriate revenue sources, donations, and  
11.20 grants of money, equipment, supplies, materials, and services;

11.21 (3) the commission may levy on and collect an annual assessment from each participating  
11.22 state and may impose compact privilege fees on licensees of participating states to whom  
11.23 a compact privilege is granted, to cover the cost of the operations and activities of the  
11.24 commission and its staff. The cost of the operations and activities of the commission and  
11.25 its staff must be in a total amount sufficient to cover its annual budget as approved by the  
11.26 commission each year for which revenue is not provided by other sources. The aggregate  
11.27 annual assessment amount levied on participating states shall be allocated based upon a  
11.28 formula to be determined by commission rule;

11.29 (i) a compact privilege expires when the licensee's qualifying license in the participating  
11.30 state from which the licensee applied for the compact privilege expires; and

11.31 (ii) if the licensee terminates the qualifying license through which the licensee applied  
11.32 for the compact privilege before its scheduled expiration, and the licensee has a qualifying  
11.33 license in another participating state, the licensee shall inform the commission that it is

12.1 changing the participating state through which it applies for a compact privilege to the other  
12.2 participating state and pay to the commission any compact privilege fee required by  
12.3 commission rule;

12.4 (4) the commission shall not incur obligations of any kind prior to securing the funds  
12.5 adequate to meet the same, nor shall the commission pledge the credit of any of the  
12.6 participating states, except by and with the authority of the participating state; and

12.7 (5) the commission shall keep accurate accounts of all receipts and disbursements. The  
12.8 receipts and disbursements of the commission shall be subject to the financial review and  
12.9 accounting procedures established under its bylaws. All receipts and disbursements of funds  
12.10 handled by the commission shall be subject to an annual financial review by a certified or  
12.11 licensed public accountant, and the report of the financial review shall be included in and  
12.12 become part of the annual report of the commission.

12.13 (f) The executive committee:

12.14 (1) the executive committee shall have the power to act on behalf of the commission  
12.15 according to the terms of this compact and commission rules;

12.16 (2) the executive committee shall be composed of nine members as follows:

12.17 (i) seven voting members who are elected by the commission from the current  
12.18 membership of the commission;

12.19 (ii) one ex officio, nonvoting member from a recognized national PA professional  
12.20 association; and

12.21 (iii) one ex officio, nonvoting member from a recognized national PA certification  
12.22 organization;

12.23 (3) the ex officio members will be selected by their respective organizations;

12.24 (4) the commission may remove any member of the executive committee as provided  
12.25 in its bylaws;

12.26 (5) the executive committee shall meet at least annually;

12.27 (6) the executive committee shall have the following duties and responsibilities:

12.28 (i) recommend to the entire commission changes to the commission's rules or bylaws,  
12.29 changes to this compact legislation, fees paid by compact participating states such as annual  
12.30 dues, and any commission compact fee charged to licensees for the compact privilege;

- 13.1 (ii) ensure compact administration services are appropriately provided, contractual or  
13.2 otherwise;
- 13.3 (iii) prepare and recommend the budget;
- 13.4 (iv) maintain financial records on behalf of the commission;
- 13.5 (v) monitor compact compliance of participating states and provide compliance reports  
13.6 to the commission;
- 13.7 (vi) establish additional committees as necessary;
- 13.8 (vii) exercise the powers and duties of the commission during the interim between  
13.9 commission meetings, except for issuing proposed rulemaking or adopting commission  
13.10 rules or bylaws, or exercising any other powers and duties exclusively reserved to the  
13.11 commission by the commission's rules; and
- 13.12 (viii) perform other duties as provided in commission's rules or bylaws;
- 13.13 (7) all meetings of the executive committee at which it votes or plans to vote on matters  
13.14 in exercising the powers and duties of the commission shall be open to the public, and public  
13.15 notice of such meetings shall be given as public meetings of the commission are given; and
- 13.16 (8) the executive committee may convene in a closed, nonpublic meeting for the same  
13.17 reasons that the commission may convene in a nonpublic meeting as set forth in paragraph  
13.18 (d), clause (3), and shall announce the closed meeting as the commission is required to  
13.19 under paragraph (d), clause (4), and keep minutes of the closed meeting as the commission  
13.20 is required to under paragraph (d), clause (5).
- 13.21 (g) Qualified immunity, defense, and indemnification:
- 13.22 (1) the members, officers, executive director, employees, and representatives of the  
13.23 commission shall be immune from suit and liability, both personally and in their official  
13.24 capacity, for any claim for damage to or loss of property or personal injury or other civil  
13.25 liability caused by or arising out of any actual or alleged act, error, or omission that occurred,  
13.26 or that the person against whom the claim is made had a reasonable basis for believing  
13.27 occurred, within the scope of commission employment, duties, or responsibilities, provided  
13.28 that nothing in this paragraph shall be construed to protect any such person from suit or  
13.29 liability for any damage, loss, injury, or liability caused by the intentional or willful or  
13.30 wanton misconduct of that person. The procurement of insurance of any type by the  
13.31 commission shall not in any way compromise or limit the immunity granted hereunder;

14.1 (2) the commission shall defend any member, officer, executive director, employee, or  
14.2 representative of the commission in any civil action seeking to impose liability arising out  
14.3 of any actual or alleged act, error, or omission that occurred within the scope of commission  
14.4 employment, duties, or responsibilities, or that the person against whom the claim is made  
14.5 had a reasonable basis for believing occurred within the scope of commission employment,  
14.6 duties, or responsibilities, provided that nothing herein shall be construed to prohibit that  
14.7 person from retaining their own counsel at their own expense, and provided further that the  
14.8 actual or alleged act, error, or omission did not result from that person's intentional or willful  
14.9 or wanton misconduct;

14.10 (3) the commission shall indemnify and hold harmless any member, officer, executive  
14.11 director, employee, or representative of the commission for the amount of any settlement  
14.12 or judgment obtained against that person arising out of any actual or alleged act, error, or  
14.13 omission that occurred within the scope of commission employment, duties, or  
14.14 responsibilities, or that such person had a reasonable basis for believing occurred within  
14.15 the scope of commission employment, duties, or responsibilities, provided that the actual  
14.16 or alleged act, error, or omission did not result from the intentional or willful or wanton  
14.17 misconduct of that person;

14.18 (4) except as provided under paragraph (i), venue is proper and judicial proceedings by  
14.19 or against the commission shall be brought solely and exclusively in a court of competent  
14.20 jurisdiction where the principal office of the commission is located. The commission may  
14.21 waive venue and jurisdictional defenses in any proceedings as authorized by commission  
14.22 rules;

14.23 (5) nothing herein shall be construed as a limitation on the liability of any licensee for  
14.24 professional malpractice or misconduct, which shall be governed solely by any other  
14.25 applicable state laws;

14.26 (6) nothing herein shall be construed to designate the venue or jurisdiction to bring  
14.27 actions for alleged acts of malpractice, professional misconduct, negligence, or other such  
14.28 civil action pertaining to the practice of a PA. All such matters shall be determined  
14.29 exclusively by state law other than this compact;

14.30 (7) nothing in this compact shall be interpreted to waive or otherwise abrogate a  
14.31 participating state's state action immunity or state action affirmative defense with respect  
14.32 to antitrust claims under the federal Sherman Act, Clayton Act, or any other state or federal  
14.33 antitrust or anticompetitive law or regulation; and

15.1 (8) nothing in this compact shall be construed to be a waiver of sovereign immunity by  
15.2 the participating states or by the commission.

15.3 (h) Notwithstanding paragraph (g), clause (1), the liability of the executive director,  
15.4 employees, or representatives of the interstate commission, acting within the scope of their  
15.5 employment or duties, may not exceed the limits of liability set forth under the constitution  
15.6 and laws of this state for state officials, employees, and agents. This paragraph expressly  
15.7 incorporates section 3.736, and neither expands nor limits the rights and remedies provided  
15.8 under that statute.

15.9 (i) Except for a claim alleging a violation of this compact, a claim against the commission,  
15.10 its executive director, employees, or representatives alleging a violation of the constitution  
15.11 and laws of this state may be brought in any county where the plaintiff resides. Nothing in  
15.12 this paragraph creates a private right of action.

## 15.13 ARTICLE VIII

### 15.14 DATA SYSTEM

15.15 (a) The commission shall provide for the development, maintenance, and utilization of  
15.16 a coordinated database and reporting system containing licensure and adverse action  
15.17 information, and the reporting of significant investigative information on all licensed PAs  
15.18 and applicants denied a license in participating states.

15.19 (b) Notwithstanding any other state law to the contrary, a participating state shall submit  
15.20 a uniform data set to the data system on all PAs to whom this compact is applicable, using  
15.21 a unique identifier, as required by the rules of the commission, including:

15.22 (1) identifying information;

15.23 (2) licensure data;

15.24 (3) adverse actions against a license or compact privilege;

15.25 (4) any denial of application for licensure and the reason or reasons for the denial,  
15.26 excluding the reporting of any criminal history record information where prohibited by law;

15.27 (5) the existence of significant investigative information; and

15.28 (6) other information that may facilitate the administration of this compact, as determined  
15.29 by the rules of the commission.

15.30 (c) Significant investigative information pertaining to a licensee in any participating  
15.31 state shall only be available to other participating states.

16.1 (d) The commission shall promptly notify all participating states of any reports it receives  
16.2 of any adverse action taken against a licensee or an individual applying for a license. This  
16.3 adverse action information shall be available to any other participating state.

16.4 (e) Participating states contributing information to the data system may, in accordance  
16.5 with state or federal law, designate information that may not be shared with the public  
16.6 without the express permission of the contributing state. Notwithstanding any such  
16.7 designation, such information shall be reported to the commission through the data system.

16.8 (f) Any information submitted to the data system that is subsequently expunged by  
16.9 federal law or the laws of the participating state contributing the information shall be removed  
16.10 from the data system upon reporting of such by the participating state to the commission.

16.11 (g) The records and information provided to a participating state pursuant to this compact  
16.12 or through the data system, when certified by the commission or an agent thereof, shall  
16.13 constitute the authenticated business records of the commission and shall be entitled to any  
16.14 associated hearsay exception in any relevant judicial, quasi-judicial, or administrative  
16.15 proceedings in a participating state.

## 16.16 ARTICLE IX

### 16.17 RULEMAKING

16.18 (a) The commission shall exercise its rulemaking powers pursuant to the criteria set  
16.19 forth in this article and the rules adopted thereunder. Commission rules shall become binding  
16.20 as of the date specified by the commission for each rule.

16.21 (b) The commission shall promulgate reasonable rules in order to effectively and  
16.22 efficiently implement and administer this compact and achieve its purposes. A commission  
16.23 rule shall be invalid and have no force or effect only if a court of competent jurisdiction  
16.24 holds that the rule is invalid because the commission exercised its rulemaking authority in  
16.25 a manner that is beyond the scope of the purposes of this compact, or the powers granted  
16.26 hereunder, or based upon another applicable standard of review.

16.27 (c) The rules of the commission shall have the force of law in each participating state,  
16.28 provided however that where the rules of the commission conflict with the laws of the  
16.29 participating state that establish the medical services a PA may perform in the participating  
16.30 state, as held by a court of competent jurisdiction, the rules of the commission shall be  
16.31 ineffective in that state to the extent of the conflict.

16.32 (d) If a majority of the legislatures of the participating states rejects a commission rule,  
16.33 by enactment of a statute or resolution in the same manner used to adopt the compact within

17.1 four years of the date of adoption of the rule, then such rule shall have no further force and  
17.2 effect in any participating state or in any state applying to participate in the compact.

17.3 (e) Rules or amendments to the rules shall be adopted at a regular or special meeting of  
17.4 the commission.

17.5 (f) Prior to promulgation and adoption of a final rule or rules by the commission and at  
17.6 least 30 days in advance of the meeting at which the rule will be considered and voted upon,  
17.7 the commission shall file a notice of proposed rulemaking:

17.8 (1) on the website of the commission or other publicly accessible platform;

17.9 (2) to persons who have requested notice of the commission's notices of proposed  
17.10 rulemaking; and

17.11 (3) in such other ways as the commission may specify by rule.

17.12 (g) The notice of proposed rulemaking shall include:

17.13 (1) the time, date, and location of the public hearing on the proposed rule;

17.14 (2) the time, date, and location of the public hearing in which the proposed rule will be  
17.15 considered and voted upon;

17.16 (3) the text of the proposed rule and the reason for the proposed rule;

17.17 (4) a request for comments on the proposed rule from any interested person and the date  
17.18 by which written comments must be received; and

17.19 (5) the manner in which interested persons may submit notice to the commission of their  
17.20 intention to attend the public hearing and any written comments.

17.21 (h) Prior to adoption of a proposed rule, the commission shall allow persons to submit  
17.22 written data, facts, opinions, and arguments, which shall be made available to the public.

17.23 (i) If the hearing is held via electronic means, the commission shall publish the mechanism  
17.24 for access to the electronic hearing:

17.25 (1) all persons wishing to be heard at the hearing shall notify the commission of their  
17.26 desire to appear and testify at the hearing, not less than five business days before the  
17.27 scheduled date of the hearing, as directed in the notice of proposed rulemaking;

17.28 (2) hearings shall be conducted in a manner providing each person who wishes to  
17.29 comment a fair and reasonable opportunity to comment orally or in writing;

18.1 (3) all hearings shall be recorded. A copy of the recording and the written comments,  
18.2 data, facts, opinions, and arguments received in response to the proposed rulemaking shall  
18.3 be made available to a person on request; and

18.4 (4) nothing in this section shall be construed as requiring a separate hearing on each  
18.5 rule. Proposed rules may be grouped for the convenience of the commission at hearings  
18.6 required by this article.

18.7 (j) Following the public hearing, the commission shall consider all written and oral  
18.8 comments timely received.

18.9 (k) The commission shall, by majority vote of all delegates, take final action on the  
18.10 proposed rule and shall determine the effective date of the rule, if adopted, based on the  
18.11 rulemaking record and the full text of the rule. The commission:

18.12 (1) shall, if adopted, post the rule on the commission's website;

18.13 (2) may adopt changes to the proposed rule provided the changes do not expand the  
18.14 original purpose of the proposed rule;

18.15 (3) shall provide on its website an explanation of the reasons for substantive changes  
18.16 made to the proposed rule as well as reasons for substantive changes not made that were  
18.17 recommended by commenters; and

18.18 (4) shall determine a reasonable effective date for the rule. Except for an emergency as  
18.19 provided in paragraph (l), the effective date of the rule shall be no sooner than 30 days after  
18.20 the commission issued the notice that it adopted the rule.

18.21 (l) Upon determination that an emergency exists, the commission may consider and  
18.22 adopt an emergency rule with 24 hours' prior notice, without the opportunity for comment  
18.23 or hearing, provided that the usual rulemaking procedures provided in the compact and in  
18.24 this article shall be retroactively applied to the rule as soon as reasonably possible, in no  
18.25 event later than 90 days after the effective date of the rule. For the purposes of this provision,  
18.26 an emergency rule is one that must be adopted immediately by the commission in order to:

18.27 (1) meet an imminent threat to public health, safety, or welfare;

18.28 (2) prevent a loss of commission or participating state funds;

18.29 (3) meet a deadline for the promulgation of a commission rule that is established by  
18.30 federal law or rule; or

18.31 (4) protect public health and safety.

19.1 (m) The commission or an authorized committee of the commission may direct revisions  
19.2 to a previously adopted commission rule for purposes of correcting typographical errors,  
19.3 errors in format, errors in consistency, or grammatical errors. Public notice of any revisions  
19.4 shall be posted on the website of the commission. The revision shall be subject to challenge  
19.5 by any person for a period of 30 days after posting. The revision may be challenged only  
19.6 on grounds that the revision results in a material change to a rule. A challenge shall be made  
19.7 as set forth in the notice of revisions and delivered to the commission prior to the end of  
19.8 the notice period. If no challenge is made, the revision will take effect without further action.  
19.9 If the revision is challenged, the revision may not take effect without the approval of the  
19.10 commission.

19.11 (n) No participating state's rulemaking requirements shall apply under this compact.

## 19.12 ARTICLE X

### 19.13 OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

19.14 (a) Oversight:

19.15 (1) the executive and judicial branches of state government in each participating state  
19.16 shall enforce this compact and take all actions necessary and appropriate to implement the  
19.17 compact;

19.18 (2) venue is proper and judicial proceedings by or against the commission shall be  
19.19 brought solely and exclusively in a court of competent jurisdiction where the principal office  
19.20 of the commission is located. The commission may waive venue and jurisdictional defenses  
19.21 to the extent it adopts or consents to participate in alternative dispute resolution proceedings.  
19.22 Nothing herein shall affect or limit the selection or propriety of venue in any action against  
19.23 a licensee for professional malpractice, misconduct, or any such similar matter; and

19.24 (3) the commission shall be entitled to receive service of process in any such proceeding  
19.25 regarding the enforcement or interpretation of the compact or the commission's rules and  
19.26 shall have standing to intervene in such a proceeding for all purposes. Failure to provide  
19.27 service of process to the commission shall render a judgment or order void as to the  
19.28 commission, this compact, or commission rules.

19.29 (b) Default, technical assistance, and termination:

19.30 (1) if the commission determines that a participating state has defaulted in the  
19.31 performance of its obligations or responsibilities under this compact or the commission  
19.32 rules, the commission shall:

20.1 (i) provide written notice to the defaulting state and other participating states describing  
20.2 the default, the proposed means of curing the default, or any other action that the commission  
20.3 may take; and

20.4 (ii) offer remedial training and specific technical assistance regarding the default;

20.5 (2) if a state in default fails to cure the default, the defaulting state may be terminated  
20.6 from this compact upon an affirmative vote of a majority of the delegates of the participating  
20.7 states, and all rights, privileges, and benefits conferred by this compact may be terminated  
20.8 on the effective date of termination. A cure of the default does not relieve the offending  
20.9 state of obligations or liabilities incurred during the period of default;

20.10 (3) termination of participation in this compact shall be imposed only after all other  
20.11 means of securing compliance have been exhausted. Notice of intent to suspend or terminate  
20.12 shall be given by the commission to the governor, the majority and minority leaders of the  
20.13 defaulting state's legislature, and the licensing board or boards of each of the participating  
20.14 states;

20.15 (4) a state that has been terminated is responsible for all assessments, obligations, and  
20.16 liabilities incurred through the effective date of termination, including obligations that  
20.17 extend beyond the effective date of termination;

20.18 (5) the commission shall not bear any costs related to a state that is found to be in default  
20.19 or that has been terminated from this compact, unless agreed upon in writing between the  
20.20 commission and the defaulting state;

20.21 (6) the defaulting state may appeal its termination from the compact by the commission  
20.22 by petitioning the United States District Court for the District of Columbia or the federal  
20.23 district where the commission has its principal offices. The prevailing member shall be  
20.24 awarded all costs of such litigation, including reasonable attorney fees; and

20.25 (7) upon the termination of a state's participation in the compact, the state shall  
20.26 immediately provide notice to all licensees within that state of such termination:

20.27 (i) licensees who have been granted a compact privilege in that state shall retain the  
20.28 compact privilege for 180 days following the effective date of such termination; and

20.29 (ii) licensees who are licensed in that state who have been granted a compact privilege  
20.30 in a participating state shall retain the compact privilege for 180 days, unless the licensee  
20.31 also has a qualifying license in a participating state or obtains a qualifying license in a  
20.32 participating state before the 180-day period ends, in which case the compact privilege shall  
20.33 continue.

21.1 (c) Dispute resolution:

21.2 (1) upon request by a participating state, the commission shall attempt to resolve disputes  
 21.3 related to this compact that arise among participating states and between participating and  
 21.4 nonparticipating states; and

21.5 (2) the commission shall promulgate a rule providing for both mediation and binding  
 21.6 dispute resolution for disputes, as appropriate.

21.7 (d) Enforcement:

21.8 (1) the commission, in the reasonable exercise of its discretion, shall enforce the  
 21.9 provisions of this compact and rules of the commission;

21.10 (2) if compliance is not secured after all means to secure compliance have been exhausted,  
 21.11 by majority vote, the commission may initiate legal action in the United States District  
 21.12 Court for the District of Columbia or the federal district where the commission has its  
 21.13 principal offices against a participating state in default, to enforce compliance with the  
 21.14 provisions of this compact and the commission's promulgated rules and bylaws. The relief  
 21.15 sought may include both injunctive relief and damages. In the event judicial enforcement  
 21.16 is necessary, the prevailing member shall be awarded all costs of such litigation, including  
 21.17 reasonable attorney fees; and

21.18 (3) the remedies herein shall not be the exclusive remedies of the commission. The  
 21.19 commission may pursue any other remedies available under federal or state law.

21.20 (e) Legal action against the commission:

21.21 (1) a participating state may initiate legal action against the commission in the United  
 21.22 States District Court for the District of Columbia or the federal district where the commission  
 21.23 has its principal offices to enforce compliance with the provisions of the compact and the  
 21.24 commission's rules. The relief sought may include both injunctive relief and damages. In  
 21.25 the event judicial enforcement is necessary, the prevailing party shall be awarded all costs  
 21.26 of such litigation, including reasonable attorney fees; and

21.27 (2) no person other than a participating state shall enforce this compact against the  
 21.28 commission.

21.29 ARTICLE XI

21.30 DATE OF IMPLEMENTATION OF THE PA LICENSURE COMPACT COMMISSION

21.31 (a) This compact shall come into effect on the date on which the compact statute is  
 21.32 enacted into law in the seventh participating state.

22.1 (b) On or after the effective date of the compact, the commission shall convene and  
22.2 review the enactment of each of the charter participating states to determine if the statute  
22.3 enacted by each charter participating state is materially different than the model compact.  
22.4 A charter participating state whose enactment is found to be materially different from the  
22.5 model compact shall be entitled to the default process set forth in article X, paragraph (b).

22.6 (c) If any participating state later withdraws from the compact or its participation is  
22.7 terminated, the commission shall remain in existence and the compact shall remain in effect  
22.8 even if the number of participating states should be less than seven. Participating states  
22.9 enacting the compact subsequent to the commission convening shall be subject to the process  
22.10 set forth in article VII, paragraph (c), clause (21), to determine if their enactments are  
22.11 materially different from the model compact and whether they qualify for participation in  
22.12 the compact.

22.13 (d) Any participating state enacting the compact subsequent to the seven initial charter  
22.14 participating states shall be subject to the process set forth in article VII, paragraph (c),  
22.15 clause (21), to determine if the state's enactment is materially different from the model  
22.16 compact and whether the state qualifies for participation in the compact.

22.17 (e) All actions taken for the benefit of the commission or in furtherance of the purposes  
22.18 of the administration of the compact prior to the effective date of the compact or the  
22.19 commission coming into existence shall be considered to be actions of the commission  
22.20 unless specifically repudiated by the commission.

22.21 (f) Any state that joins this compact shall be subject to the commission's rules and bylaws  
22.22 as they exist on the date on which this compact becomes law in that state. Any rule that has  
22.23 been previously adopted by the commission shall have the full force and effect of law on  
22.24 the day this compact becomes law in that state.

22.25 (g) Any participating state may withdraw from this compact by enacting a statute  
22.26 repealing the same:

22.27 (1) a participating state's withdrawal shall not take effect until 180 days after enactment  
22.28 of the repealing statute. During this 180-day period, all compact privileges that were in  
22.29 effect in the withdrawing state and were granted to licensees licensed in the withdrawing  
22.30 state shall remain in effect. If any licensee licensed in the withdrawing state is also licensed  
22.31 in another participating state or obtains a license in another participating state within the  
22.32 180 days, the licensee's compact privileges in other participating states shall not be affected  
22.33 by the passage of the 180 days;

23.1 (2) withdrawal shall not affect the continuing requirement of the state licensing board  
 23.2 or boards of the withdrawing state to comply with the investigative and adverse action  
 23.3 reporting requirements of this compact prior to the effective date of withdrawal; and

23.4 (3) upon the enactment of a statute withdrawing a state from this compact, the state shall  
 23.5 immediately provide notice of such withdrawal to all licensees within that state. Such  
 23.6 withdrawing state shall continue to recognize all licenses granted pursuant to this compact  
 23.7 for a minimum of 180 days after the date of such notice of withdrawal.

23.8 (h) Nothing contained in this compact shall be construed to invalidate or prevent any  
 23.9 PA licensure agreement or other cooperative arrangement between participating states or a  
 23.10 participating state and a nonparticipating state that does not conflict with the provisions of  
 23.11 this compact.

23.12 (i) This compact may be amended by the participating states. No amendment to this  
 23.13 compact shall become effective and binding upon any participating state until it is enacted  
 23.14 materially in the same manner into the laws of all participating states, as determined by the  
 23.15 commission.

## 23.16 ARTICLE XII

### 23.17 CONSTRUCTION AND SEVERABILITY

23.18 (a) This compact and the commission's rulemaking authority shall be liberally construed  
 23.19 so as to effectuate the purposes of the compact and its implementation and administration.  
 23.20 Provisions of the compact expressly authorizing or requiring the promulgation of rules shall  
 23.21 not be construed to limit the commission's rulemaking authority solely for those purposes.

23.22 (b) The provisions of this compact shall be severable and if any phrase, clause, sentence,  
 23.23 or provision of this compact is held by a court of competent jurisdiction to be contrary to  
 23.24 the constitution of any participating state, of a state seeking participation in the compact,  
 23.25 or of the United States, or the applicability thereof to any government, agency, person, or  
 23.26 circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity  
 23.27 of the remainder of this compact and the applicability thereof to any government, agency,  
 23.28 person, or circumstance shall not be affected thereby.

23.29 (c) Notwithstanding paragraph (b) or any provision of this article, the commission may  
 23.30 deny a state's participation in the compact or, in accordance with the requirements of article  
 23.31 X, paragraph (b), terminate a participating state's participation in the compact, if it determines  
 23.32 that a constitutional requirement of a participating state is, or would be with respect to a  
 23.33 state seeking to participate in the compact, a material departure from the compact. Otherwise,

24.1 if this compact shall be held to be contrary to the constitution of any participating state, the  
 24.2 compact shall remain in full force and effect as to the remaining participating states and in  
 24.3 full force and effect as to the participating state affected as to all severable matters.

24.4 ARTICLE XIII

24.5 BINDING EFFECT OF THE COMPACT

24.6 (a) Nothing herein prevents the enforcement of any other law of a participating state  
 24.7 that is not inconsistent with this compact.

24.8 (b) Any laws in a participating state in conflict with this compact are superseded to the  
 24.9 extent of the conflict.

24.10 (c) All agreements between the commission and the participating states are binding in  
 24.11 accordance with their terms.

24.12 EFFECTIVE DATE. This section is effective the day following final enactment.

24.13 Sec. 2. DIRECTION TO BOARD OF MEDICAL PRACTICE.

24.14 The Board of Medical Practice must publish the effective date of the compact in  
 24.15 Minnesota Statutes, section 148.675, in the State Register and on the board's website.

24.16 ARTICLE 2

24.17 OCCUPATIONAL THERAPISTS

24.18 Section 1. [148.645] OCCUPATIONAL THERAPY LICENSURE COMPACT.

24.19 ARTICLE I

24.20 TITLE

24.21 This statute shall be known and cited as the occupational therapist licensure compact.

24.22 ARTICLE II

24.23 DEFINITIONS

24.24 As used in this compact, and except as otherwise provided, the following definitions  
 24.25 shall apply:

24.26 (A) "Active duty military" means full-time duty status in the active uniformed service  
 24.27 of the United States, including members of the National Guard and Reserve on active duty  
 24.28 orders pursuant to United States Code, title 10, sections 1209 and 1211.

25.1 (B) "Adverse action" means any administrative, civil, equitable, or criminal action  
25.2 permitted by a state's laws which is imposed by a licensing board or other authority against  
25.3 an occupational therapist or occupational therapy assistant, including actions against an  
25.4 individual's license or compact privilege such as censure, revocation, suspension, probation,  
25.5 monitoring of the licensee, or restriction on the licensee's practice.

25.6 (C) "Alternative program" means a nondisciplinary monitoring process approved by an  
25.7 occupational therapy licensing board.

25.8 (D) "Compact privilege" means the authorization, which is equivalent to a license,  
25.9 granted by a remote state to allow a licensee from another member state to practice as an  
25.10 occupational therapist or practice as an occupational therapy assistant in the remote state  
25.11 under its laws and rules. The practice of occupational therapy occurs in the member state  
25.12 where the patient or client is located at the time of the patient or client encounter.

25.13 (E) "Continuing competence" or "continuing education" means a requirement, as a  
25.14 condition of license renewal, to provide evidence of participation in, and completion of,  
25.15 educational and professional activities relevant to practice or area of work.

25.16 (F) "Current significant investigative information" means investigative information that  
25.17 a licensing board, after an inquiry or investigation that includes notification and an  
25.18 opportunity for the occupational therapist or occupational therapy assistant to respond, if  
25.19 required by state law, has reason to believe is not groundless and, if proven true, would  
25.20 indicate more than a minor infraction.

25.21 (G) "Data system" means a repository of information about licensees, including but not  
25.22 limited to license status, investigative information, compact privileges, and adverse actions.

25.23 (H) "Encumbered license" means a license in which an adverse action restricts the  
25.24 practice of occupational therapy by the licensee or said adverse action has been reported to  
25.25 the National Practitioners Data Bank (NPDB).

25.26 (I) "Executive committee" means a group of directors elected or appointed to act on  
25.27 behalf of, and within the powers granted to them by, the commission.

25.28 (J) "Home state" means the member state that is the licensee's primary state of residence.

25.29 (K) "Impaired practitioner" means an individual whose professional practice is adversely  
25.30 affected by substance abuse, addiction, or other health-related conditions.

25.31 (L) "Investigative information" means information, records, or documents received or  
25.32 generated by an occupational therapy licensing board pursuant to an investigation.

26.1 (M) "Jurisprudence requirement" means the assessment of an individual's knowledge  
26.2 of the laws and rules governing the practice of occupational therapy in a state.

26.3 (N) "Licensee" means an individual who currently holds an authorization from the state  
26.4 to practice as an occupational therapist or as an occupational therapy assistant.

26.5 (O) "Member state" means a state that has enacted the compact.

26.6 (P) "Occupational therapist" means an individual who is licensed by a state to practice  
26.7 occupational therapy.

26.8 (Q) "Occupational therapy assistant" means an individual who is licensed by a state to  
26.9 assist in the practice of occupational therapy.

26.10 (R) "Occupational therapy," "occupational therapy practice," and "the practice of  
26.11 occupational therapy" mean the care and services provided by an occupational therapist or  
26.12 an occupational therapy assistant as set forth in the member state's statutes and regulations.

26.13 (S) "Occupational therapy compact commission" or "commission" means the national  
26.14 administrative body whose membership consists of all states that have enacted the compact.

26.15 (T) "Occupational therapy licensing board" or "licensing board" means the agency of a  
26.16 state that is authorized to license and regulate occupational therapists and occupational  
26.17 therapy assistants.

26.18 (U) "Primary state of residence" means the state, also known as the home state, in which  
26.19 an occupational therapist or occupational therapy assistant who is not active duty military  
26.20 declares a primary residence for legal purposes as verified by driver's license, federal income  
26.21 tax return, lease, deed, mortgage, or voter registration or other verifying documentation as  
26.22 further defined by commission rules.

26.23 (V) "Remote state" means a member state other than the home state where a licensee is  
26.24 exercising or seeking to exercise the compact privilege.

26.25 (W) "Rule" means a regulation promulgated by the commission that has the force of  
26.26 law.

26.27 (X) "State" means any state, commonwealth, district, or territory of the United States  
26.28 of America that regulates the practice of occupational therapy.

26.29 (Y) "Single-state license" means an occupational therapist or occupational therapy  
26.30 assistant license issued by a member state that authorizes practice only within the issuing  
26.31 state and does not include a compact privilege in any other member state.

27.1 (Z) "Telehealth" means the application of telecommunication technology to deliver  
27.2 occupational therapy services for assessment, intervention, or consultation.

27.3 ARTICLE III

27.4 STATE PARTICIPATION IN THE COMPACT

27.5 (A) To participate in the compact, a member state shall:

27.6 (1) license occupational therapists and occupational therapy assistants;

27.7 (2) participate fully in the commission's data system, including but not limited to using  
27.8 the commission's unique identifier as defined in rules of the commission;

27.9 (3) have a mechanism in place for receiving and investigating complaints about licensees;

27.10 (4) notify the commission, in compliance with the terms of the compact and rules, of  
27.11 any adverse action or the availability of investigative information regarding a licensee;

27.12 (5) implement or utilize procedures for considering the criminal history records of  
27.13 applicants for an initial compact privilege. These procedures shall include the submission  
27.14 of fingerprints or other biometric-based information by applicants for the purpose of obtaining  
27.15 an applicant's criminal history record information from the Federal Bureau of Investigation  
27.16 and the agency responsible for retaining that state's criminal records;

27.17 (i) A member state shall, within a time frame established by the commission, require a  
27.18 criminal background check for a licensee seeking or applying for a compact privilege whose  
27.19 primary state of residence is that member state by receiving the results of the Federal Bureau  
27.20 of Investigation criminal record search, and shall use the results in making licensure  
27.21 decisions.

27.22 (ii) Communication between a member state, the commission, and among member states  
27.23 regarding the verification of eligibility for licensure through the compact shall not include  
27.24 any information received from the Federal Bureau of Investigation relating to a federal  
27.25 criminal records check performed by a member state under Public Law 92-544;

27.26 (6) comply with the rules of the commission;

27.27 (7) utilize only a recognized national examination as a requirement for licensure pursuant  
27.28 to the rules of the commission; and

27.29 (8) have continuing competence or education requirements as a condition for license  
27.30 renewal.

28.1 (B) A member state shall grant the compact privilege to a licensee holding a valid  
28.2 unencumbered license in another member state in accordance with the terms of the compact  
28.3 and rules.

28.4 (C) Member states may charge a fee for granting a compact privilege.

28.5 (D) A member state shall provide for the state's delegate to attend all occupational therapy  
28.6 compact commission meetings.

28.7 (E) Individuals not residing in a member state shall continue to be able to apply for a  
28.8 member state's single-state license as provided under the laws of each member state.  
28.9 However, the single-state license granted to these individuals shall not be recognized as  
28.10 granting the compact privilege in any other member state.

28.11 (F) Nothing in this compact shall affect the requirements established by a member state  
28.12 for the issuance of a single-state license.

28.13 ARTICLE IV

28.14 COMPACT PRIVILEGE

28.15 (A) To exercise the compact privilege under the terms and provisions of the compact,  
28.16 the licensee shall:

28.17 (1) hold a license in the home state;

28.18 (2) have a valid United States Social Security number or national practitioner  
28.19 identification number;

28.20 (3) have no encumbrance on any state license;

28.21 (4) be eligible for a compact privilege in any member state in accordance with Article  
28.22 IV, (D), (F), (G), and (H);

28.23 (5) have paid all fines and completed all requirements resulting from any adverse action  
28.24 against any license or compact privilege, and two years have elapsed from the date of such  
28.25 completion;

28.26 (6) notify the commission that the licensee is seeking the compact privilege within a  
28.27 remote state or states;

28.28 (7) pay any applicable fees, including any state fee, for the compact privilege;

28.29 (8) complete a criminal background check in accordance with Article III, (A)(5). The  
28.30 licensee shall be responsible for the payment of any fee associated with the completion of  
28.31 a criminal background check;

29.1 (9) meet any jurisprudence requirements established by the remote state or states in  
29.2 which the licensee is seeking a compact privilege; and

29.3 (10) report to the commission adverse action taken by any nonmember state within 30  
29.4 days from the date the adverse action is taken.

29.5 (B) The compact privilege is valid until the expiration date of the home state license.  
29.6 The licensee must comply with the requirements of Article IV, (A), to maintain the compact  
29.7 privilege in the remote state.

29.8 (C) A licensee providing occupational therapy in a remote state under the compact  
29.9 privilege shall function within the laws and regulations of the remote state.

29.10 (D) Occupational therapy assistants practicing in a remote state shall be supervised by  
29.11 an occupational therapist licensed or holding a compact privilege in that remote state.

29.12 (E) A licensee providing occupational therapy in a remote state is subject to that state's  
29.13 regulatory authority. A remote state may, in accordance with due process and that state's  
29.14 laws, remove a licensee's compact privilege in the remote state for a specific period of time,  
29.15 impose fines, or take any other necessary actions to protect the health and safety of its  
29.16 citizens. The licensee may be ineligible for a compact privilege in any state until the specific  
29.17 time for removal has passed and all fines are paid.

29.18 (F) If a home state license is encumbered, the licensee shall lose the compact privilege  
29.19 in any remote state until the following occur:

29.20 (1) the home state license is no longer encumbered; and

29.21 (2) two years have elapsed from the date on which the home state license is no longer  
29.22 encumbered in accordance with Article IV, (F)(1).

29.23 (G) Once an encumbered license in the home state is restored to good standing, the  
29.24 licensee must meet the requirements of Article IV, (A), to obtain a compact privilege in any  
29.25 remote state.

29.26 (H) If a licensee's compact privilege in any remote state is removed, the individual may  
29.27 lose the compact privilege in any other remote state until the following occur:

29.28 (1) the specific period of time for which the compact privilege was removed has ended;

29.29 (2) all fines have been paid and all conditions have been met;

29.30 (3) two years have elapsed from the date of completing requirements for Article IV,

29.31 (H)(1) and (2); and

30.1 (4) the compact privileges are reinstated by the commission and the compact data system  
 30.2 is updated to reflect reinstatement.

30.3 (I) If a licensee's compact privilege in any remote state is removed due to an erroneous  
 30.4 charge, privileges shall be restored through the compact data system.

30.5 (J) Once the requirements of Article IV, (H), have been met, the licensee must meet the  
 30.6 requirements in Article IV, (A), to obtain a compact privilege in a remote state.

## 30.7 ARTICLE V

### 30.8 OBTAINING A NEW HOME STATE LICENSE BY VIRTUE OF COMPACT PRIVILEGE

30.9 (A) An occupational therapist or occupational therapy assistant may hold a home state  
 30.10 license, which allows for compact privileges in member states, in only one member state  
 30.11 at a time.

30.12 (B) If an occupational therapist or occupational therapy assistant changes their primary  
 30.13 state of residence by moving between two member states:

30.14 (1) the occupational therapist or occupational therapy assistant shall file an application  
 30.15 for obtaining a new home state license by virtue of a compact privilege, pay all applicable  
 30.16 fees, and notify the current and new home state in accordance with applicable rules adopted  
 30.17 by the commission;

30.18 (2) upon receipt of an application for obtaining a new home state license by virtue of  
 30.19 compact privilege, the new home state shall verify that the occupational therapist or  
 30.20 occupational therapy assistant meets the pertinent criteria outlined in Article IV via the data  
 30.21 system, without need for primary source verification except for:

30.22 (i) an FBI fingerprint-based criminal background check if not previously performed or  
 30.23 updated pursuant to applicable rules adopted by the commission in accordance with Public  
 30.24 Law 92-544;

30.25 (ii) other criminal background checks as required by the new home state; and

30.26 (iii) submission of any requisite jurisprudence requirements of the new home state;

30.27 (3) the former home state shall convert the former home state license into a compact  
 30.28 privilege once the new home state has activated the new home state license in accordance  
 30.29 with applicable rules adopted by the commission;

30.30 (4) notwithstanding any other provision of this compact, if the occupational therapist or  
 30.31 occupational therapy assistant cannot meet the criteria in Article IV, the new home state  
 30.32 shall apply its requirements for issuing a new single-state license; and

31.1 (5) the occupational therapist or the occupational therapy assistant shall pay all applicable  
 31.2 fees to the new home state in order to be issued a new home state license.

31.3 (C) If an occupational therapist or occupational therapy assistant changes their primary  
 31.4 state of residence by moving from a member state to a nonmember state, or from a  
 31.5 nonmember state to a member state, the state criteria shall apply for issuance of a single-state  
 31.6 license in the new state.

31.7 (D) Nothing in this compact shall interfere with a licensee's ability to hold a single-state  
 31.8 license in multiple states; however, for the purposes of this compact, a licensee shall have  
 31.9 only one home state license.

31.10 (E) Nothing in this compact shall affect the requirements established by a member state  
 31.11 for the issuance of a single-state license.

## 31.12 ARTICLE VI

### 31.13 ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES

31.14 Active duty military personnel, or their spouses, shall designate a home state where the  
 31.15 individual has a current license in good standing. The individual may retain the home state  
 31.16 designation during the period the service member is on active duty. Subsequent to designating  
 31.17 a home state, the individual shall only change their home state through application for  
 31.18 licensure in the new state or through the process described in Article V.

## 31.19 ARTICLE VII

### 31.20 ADVERSE ACTIONS

31.21 (A) A home state shall have exclusive power to impose adverse action against an  
 31.22 occupational therapist's or occupational therapy assistant's license issued by the home state.

31.23 (B) In addition to the other powers conferred by state law, a remote state shall have the  
 31.24 authority, in accordance with existing state due process law, to:

31.25 (1) take adverse action against an occupational therapist's or occupational therapy  
 31.26 assistant's compact privilege within that member state; and

31.27 (2) issue subpoenas for both hearings and investigations that require the attendance and  
 31.28 testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing  
 31.29 board in a member state for the attendance and testimony of witnesses or the production of  
 31.30 evidence from another member state shall be enforced in the latter state by any court of  
 31.31 competent jurisdiction, according to the practice and procedure of that court applicable to  
 31.32 subpoenas issued in proceedings pending before that court. The issuing authority shall pay

32.1 any witness fees, travel expenses, mileage, and other fees required by the service statutes  
32.2 of the state in which the witnesses or evidence are located.

32.3 (C) For purposes of taking adverse action, the home state shall give the same priority  
32.4 and effect to reported conduct received from a member state as it would if the conduct had  
32.5 occurred within the home state. In so doing, the home state shall apply its own state laws  
32.6 to determine appropriate action.

32.7 (D) The home state shall complete any pending investigations of an occupational therapist  
32.8 or occupational therapy assistant who changes their primary state of residence during the  
32.9 course of the investigations. The home state, where the investigations were initiated, shall  
32.10 also have the authority to take appropriate action and shall promptly report the conclusions  
32.11 of the investigations to the compact commission data system. The occupational therapy  
32.12 compact commission data system administrator shall promptly notify the new home state  
32.13 of any adverse actions.

32.14 (E) A member state, if otherwise permitted by state law, may recover from the affected  
32.15 occupational therapist or occupational therapy assistant the costs of investigations and  
32.16 disposition of cases resulting from any adverse action taken against that occupational  
32.17 therapist or occupational therapy assistant.

32.18 (F) A member state may take adverse action based on the factual findings of the remote  
32.19 state, provided that the member state follows its own procedures for taking the adverse  
32.20 action.

32.21 (G) Joint Investigations:

32.22 (1) In addition to the authority granted to a member state by its respective state  
32.23 occupational therapy laws and regulations or other applicable state law, any member state  
32.24 may participate with other member states in joint investigations of licensees.

32.25 (2) Member states shall share any investigative, litigation, or compliance materials in  
32.26 furtherance of any joint or individual investigation initiated under the compact.

32.27 (H) If an adverse action is taken by the home state against an occupational therapist's  
32.28 or occupational therapy assistant's license, the occupational therapist's or occupational  
32.29 therapy assistant's compact privilege in all other member states shall be deactivated until  
32.30 all encumbrances have been removed from the state license. All home state disciplinary  
32.31 orders that impose adverse action against an occupational therapist's or occupational therapy  
32.32 assistant's license shall include a statement that the occupational therapist's or occupational

33.1 therapy assistant's compact privilege is deactivated in all member states during the pendency  
 33.2 of the order.

33.3 (I) If a member state takes adverse action, the member state shall promptly notify the  
 33.4 administrator of the data system. The administrator of the data system shall promptly notify  
 33.5 the home state of any adverse actions by remote states.

33.6 (J) Nothing in this compact shall override a member state's decision that participation  
 33.7 in an alternative program may be used in lieu of adverse action.

## 33.8 ARTICLE VIII

### 33.9 ESTABLISHMENT OF THE OCCUPATIONAL THERAPY COMPACT COMMISSION

33.10 (A) The compact member states hereby create and establish a joint public agency known  
 33.11 as the occupational therapy compact commission:

33.12 (1) The commission is an instrumentality of the compact states.

33.13 (2) Except as provided under paragraph (I), venue is proper and judicial proceedings by  
 33.14 or against the commission shall be brought solely and exclusively in a court of competent  
 33.15 jurisdiction where the principal office of the commission is located. The commission may  
 33.16 waive venue and jurisdictional defenses to the extent it adopts or consents to participate in  
 33.17 alternative dispute resolution proceedings.

33.18 (3) Nothing in this compact shall be construed to be a waiver of sovereign immunity.

33.19 (B) Membership, Voting, and Meetings:

33.20 (1) Each member state shall have and be limited to one delegate selected by that member  
 33.21 state's licensing board.

33.22 (2) The delegate shall be either:

33.23 (i) a current member of the licensing board who is an occupational therapist, occupational  
 33.24 therapy assistant, or public member; or

33.25 (ii) an administrator of the licensing board.

33.26 (3) Any delegate may be removed or suspended from office as provided by the law of  
 33.27 the state from which the delegate is appointed.

33.28 (4) The member state board shall fill any vacancy occurring in the commission within  
 33.29 90 days.

33.30 (5) Each delegate shall be entitled to one vote with regard to the promulgation of rules  
 33.31 and creation of bylaws and shall otherwise have an opportunity to participate in the business

34.1 and affairs of the commission. A delegate shall vote in person or by such other means as  
34.2 provided in the bylaws. The bylaws may provide for delegates' participation in meetings  
34.3 by telephone or other means of communication.

34.4 (6) The commission shall meet at least once during each calendar year. Additional  
34.5 meetings shall be held as set forth in the bylaws.

34.6 (7) The commission shall establish by rule a term of office for delegates.

34.7 (C) The commission shall have the following powers and duties:

34.8 (1) establish a code of ethics for the commission;

34.9 (2) establish the fiscal year of the commission;

34.10 (3) establish bylaws;

34.11 (4) maintain its financial records in accordance with the bylaws;

34.12 (5) meet and take such actions as are consistent with the provisions of this compact and  
34.13 the bylaws;

34.14 (6) promulgate uniform rules to facilitate and coordinate implementation and  
34.15 administration of this compact. The rules shall have the force and effect of law and shall  
34.16 be binding in all member states;

34.17 (7) bring and prosecute legal proceedings or actions in the name of the commission,  
34.18 provided that the standing of any state occupational therapy licensing board to sue or be  
34.19 sued under applicable law shall not be affected;

34.20 (8) purchase and maintain insurance and bonds;

34.21 (9) borrow, accept, or contract for services of personnel, including but not limited to  
34.22 employees of a member state;

34.23 (10) hire employees, elect or appoint officers, fix compensation, define duties, grant  
34.24 such individuals appropriate authority to carry out the purposes of the compact, and establish  
34.25 the commission's personnel policies and programs relating to conflicts of interest,  
34.26 qualifications of personnel, and other related personnel matters;

34.27 (11) accept any and all appropriate donations and grants of money, equipment, supplies,  
34.28 materials, and services, and receive, utilize, and dispose of the same; provided that at all  
34.29 times the commission shall avoid any appearance of impropriety or conflict of interest;

35.1 (12) lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold,  
35.2 improve, or use any property, real, personal, or mixed; provided that at all times the  
35.3 commission shall avoid any appearance of impropriety;

35.4 (13) sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of  
35.5 any property real, personal, or mixed;

35.6 (14) establish a budget and make expenditures;

35.7 (15) borrow money;

35.8 (16) appoint committees, including standing committees composed of members, state  
35.9 regulators, state legislators or their representatives, and consumer representatives, and other  
35.10 interested persons as may be designated in this compact and the bylaws;

35.11 (17) provide and receive information from, and cooperate with, law enforcement agencies;

35.12 (18) establish and elect an executive committee; and

35.13 (19) perform other functions as may be necessary or appropriate to achieve the purposes  
35.14 of this compact consistent with the state regulation of occupational therapy licensure and  
35.15 practice.

35.16 (D) The Executive Committee:

35.17 (1) The executive committee shall have the power to act on behalf of the commission  
35.18 according to the terms of this compact.

35.19 (2) The executive committee shall be composed of nine members:

35.20 (i) seven voting members who are elected by the commission from the current  
35.21 membership of the commission;

35.22 (ii) one ex-officio, nonvoting member from a recognized national occupational therapy  
35.23 professional association; and

35.24 (iii) one ex-officio, nonvoting member from a recognized national occupational therapy  
35.25 certification organization.

35.26 (3) The ex-officio members will be selected by their respective organizations.

35.27 (4) The commission may remove any member of the executive committee as provided  
35.28 in the bylaws.

35.29 (5) The executive committee shall meet at least annually.

35.30 (6) The executive committee shall have the following duties and responsibilities:

- 36.1 (i) recommend to the entire commission changes to the rules or bylaws, changes to this  
36.2 compact legislation, fees paid by compact member states such as annual dues, and any  
36.3 commission compact fee charged to licensees for the compact privilege;
- 36.4 (ii) ensure compact administration services are appropriately provided, contractual or  
36.5 otherwise;
- 36.6 (iii) prepare and recommend the budget;
- 36.7 (iv) maintain financial records on behalf of the commission;
- 36.8 (v) monitor compact compliance of member states and provide compliance reports to  
36.9 the commission;
- 36.10 (vi) establish additional committees as necessary; and
- 36.11 (vii) perform other duties as provided in rules or bylaws.
- 36.12 (E) Meetings of the Commission:
- 36.13 (1) All meetings shall be open to the public, and public notice of meetings shall be given  
36.14 in the same manner as required under the rulemaking provisions in Article X.
- 36.15 (2) The commission or the executive committee or other committees of the commission  
36.16 may convene in a closed, nonpublic meeting if the commission or executive committee or  
36.17 other committees of the commission must discuss:
- 36.18 (i) noncompliance of a member state with its obligations under the compact;
- 36.19 (ii) the employment, compensation, discipline, or other matters, practices, or procedures  
36.20 related to specific employees or other matters related to the commission's internal personnel  
36.21 practices and procedures;
- 36.22 (iii) current, threatened, or reasonably anticipated litigation;
- 36.23 (iv) negotiation of contracts for the purchase, lease, or sale of goods, services, or real  
36.24 estate;
- 36.25 (v) accusing any person of a crime or formally censuring any person;
- 36.26 (vi) disclosure of trade secrets or commercial or financial information that is privileged  
36.27 or confidential;
- 36.28 (vii) disclosure of information of a personal nature where disclosure would constitute a  
36.29 clearly unwarranted invasion of personal privacy;
- 36.30 (viii) disclosure of investigative records compiled for law enforcement purposes;

37.1 (ix) disclosure of information related to any investigative reports prepared by or on  
37.2 behalf of or for use of the commission or other committee charged with responsibility of  
37.3 investigation or determination of compliance issues pursuant to the compact; or

37.4 (x) matters specifically exempted from disclosure by federal or member state statute.

37.5 (3) If a meeting, or portion of a meeting, is closed pursuant to this provision, the  
37.6 commission's legal counsel or designee shall certify that the meeting may be closed and  
37.7 shall reference each relevant exempting provision.

37.8 (4) The commission shall keep minutes that fully and clearly describe all matters  
37.9 discussed in a meeting and shall provide a full and accurate summary of actions taken, and  
37.10 the reasons therefore, including a description of the views expressed. All documents  
37.11 considered in connection with an action shall be identified in such minutes. All minutes and  
37.12 documents of a closed meeting shall remain under seal, subject to release by a majority vote  
37.13 of the commission or order of a court of competent jurisdiction.

37.14 (F) Financing of the Commission:

37.15 (1) The commission shall pay, or provide for the payment of, the reasonable expenses  
37.16 of its establishment, organization, and ongoing activities.

37.17 (2) The commission may accept any and all appropriate revenue sources, donations, and  
37.18 grants of money, equipment, supplies, materials, and services.

37.19 (3) The commission may levy on and collect an annual assessment from each member  
37.20 state or impose fees on other parties to cover the cost of the operations and activities of the  
37.21 commission and its staff, which must be in a total amount sufficient to cover its annual  
37.22 budget as approved by the commission each year for which revenue is not provided by other  
37.23 sources. The aggregate annual assessment amount shall be allocated based upon a formula  
37.24 to be determined by the commission, which shall promulgate a rule binding upon all member  
37.25 states.

37.26 (4) The commission shall not incur obligations of any kind prior to securing the funds  
37.27 adequate to meet the same; nor shall the commission pledge the credit of any of the member  
37.28 states, except by and with the authority of the member state.

37.29 (5) The commission shall keep accurate accounts of all receipts and disbursements. The  
37.30 receipts and disbursements of the commission shall be subject to the audit and accounting  
37.31 procedures established under its bylaws. However, all receipts and disbursements of funds  
37.32 handled by the commission shall be audited yearly by a certified or licensed public

38.1 accountant, and the report of the audit shall be included in and become part of the annual  
38.2 report of the commission.

38.3 (G) Qualified Immunity, Defense, and Indemnification:

38.4 (1) The members, officers, executive director, employees, and representatives of the  
38.5 commission shall be immune from suit and liability, either personally or in their official  
38.6 capacity, for any claim for damage to or loss of property or personal injury or other civil  
38.7 liability caused by or arising out of any actual or alleged act, error, or omission that occurred,  
38.8 or that the person against whom the claim is made had a reasonable basis for believing  
38.9 occurred within the scope of commission employment, duties, or responsibilities; provided  
38.10 that nothing in this paragraph shall be construed to protect any such person from suit or  
38.11 liability for any damage, loss, injury, or liability caused by the intentional or willful or  
38.12 wanton misconduct of that person.

38.13 (2) The commission shall defend any member, officer, executive director, employee, or  
38.14 representative of the commission in any civil action seeking to impose liability arising out  
38.15 of any actual or alleged act, error, or omission that occurred within the scope of commission  
38.16 employment, duties, or responsibilities, or that the person against whom the claim is made  
38.17 had a reasonable basis for believing occurred within the scope of commission employment,  
38.18 duties, or responsibilities; provided that nothing herein shall be construed to prohibit that  
38.19 person from retaining their own counsel; and provided further, that the actual or alleged  
38.20 act, error, or omission did not result from that person's intentional or willful or wanton  
38.21 misconduct.

38.22 (3) The commission shall indemnify and hold harmless any member, officer, executive  
38.23 director, employee, or representative of the commission for the amount of any settlement  
38.24 or judgment obtained against that person arising out of any actual or alleged act, error, or  
38.25 omission that occurred within the scope of commission employment, duties, or  
38.26 responsibilities, or that such person had a reasonable basis for believing occurred within  
38.27 the scope of commission employment, duties, or responsibilities; provided that the actual  
38.28 or alleged act, error, or omission did not result from the intentional or willful or wanton  
38.29 misconduct of that person.

38.30 (H) Notwithstanding paragraph (G), clause (1), the liability of the executive director,  
38.31 employees, or representatives of the interstate commission, acting within the scope of their  
38.32 employment or duties, may not exceed the limits of liability set forth under the constitution  
38.33 and laws of this state for state officials, employees, and agents. This paragraph expressly

39.1 incorporates section 3.736, and neither expands nor limits the rights and remedies provided  
39.2 under that statute.

39.3 (I) Except for a claim alleging a violation of this compact, a claim against the commission,  
39.4 its executive director, employees, or representatives alleging a violation of the constitution  
39.5 and laws of this state may be brought in any county where the plaintiff resides. Nothing in  
39.6 this paragraph creates a private right of action.

39.7 (J) Nothing in this compact shall be construed as a limitation on the liability of any  
39.8 licensee for professional malpractice or misconduct, which shall be governed solely by any  
39.9 other applicable state laws.

## 39.10 ARTICLE IX

### 39.11 DATA SYSTEM

39.12 (A) The commission shall provide for the development, maintenance, and utilization of  
39.13 a coordinated database and reporting system containing licensure, adverse action, and  
39.14 investigative information on all licensed individuals in member states.

39.15 (B) A member state shall submit a uniform data set to the data system on all individuals  
39.16 to whom this compact is applicable, utilizing a unique identifier, as required by the rules  
39.17 of the commission, including:

39.18 (1) identifying information;

39.19 (2) licensure data;

39.20 (3) adverse actions against a license or compact privilege;

39.21 (4) nonconfidential information related to alternative program participation;

39.22 (5) any denial of application for licensure and the reason or reasons for such denial;

39.23 (6) other information that may facilitate the administration of this compact, as determined  
39.24 by the rules of the commission; and

39.25 (7) current significant investigative information.

39.26 (C) Current significant investigative information and other investigative information  
39.27 pertaining to a licensee in any member state will only be available to other member states.

39.28 (D) The commission shall promptly notify all member states of any adverse action taken  
39.29 against a licensee or an individual applying for a license. Adverse action information  
39.30 pertaining to a licensee in any member state will be available to any other member state.

40.1 (E) Member states contributing information to the data system may designate information  
40.2 that may not be shared with the public without the express permission of the contributing  
40.3 state.

40.4 (F) Any information submitted to the data system that is subsequently required to be  
40.5 expunged by the laws of the member state contributing the information shall be removed  
40.6 from the data system.

40.7 ARTICLE X

40.8 RULEMAKING

40.9 (A) The commission shall exercise its rulemaking powers pursuant to the criteria set  
40.10 forth in this Article and the rules adopted thereunder. Rules and amendments shall become  
40.11 binding as of the date specified in each rule or amendment.

40.12 (B) The commission shall promulgate reasonable rules in order to effectively and  
40.13 efficiently achieve the purposes of the compact. Notwithstanding the foregoing, in the event  
40.14 the commission exercises its rulemaking authority in a manner that is beyond the scope of  
40.15 the purposes of the compact, or the powers granted hereunder, then such an action by the  
40.16 commission shall be invalid and have no force and effect.

40.17 (C) If a majority of the legislatures of the member states rejects a rule, by enactment of  
40.18 a statute or resolution in the same manner used to adopt the compact within four years of  
40.19 the date of adoption of the rule, then such rule shall have no further force and effect in any  
40.20 member state.

40.21 (D) Rules or amendments to the rules shall be adopted at a regular or special meeting  
40.22 of the commission.

40.23 (E) Prior to promulgation and adoption of a final rule or rules by the commission, and  
40.24 at least 30 days in advance of the meeting at which the rule will be considered and voted  
40.25 upon, the commission shall file a notice of proposed rulemaking:

40.26 (1) on the website of the commission or other publicly accessible platform; and

40.27 (2) on the website of each member state occupational therapy licensing board or other  
40.28 publicly accessible platform or the publication in which each state would otherwise publish  
40.29 proposed rules.

40.30 (F) The notice of proposed rulemaking shall include:

40.31 (1) the proposed time, date, and location of the meeting in which the rule will be  
40.32 considered and voted upon;

41.1 (2) the text of the proposed rule or amendment and the reason for the proposed rule;

41.2 (3) a request for comments on the proposed rule from any interested person; and

41.3 (4) the manner in which interested persons may submit notice to the commission of their  
41.4 intention to attend the public hearing and any written comments.

41.5 (G) Prior to adoption of a proposed rule, the commission shall allow persons to submit  
41.6 written data, facts, opinions, and arguments, which shall be made available to the public.

41.7 (H) The commission shall grant an opportunity for a public hearing before it adopts a  
41.8 rule or amendment if a hearing is requested by:

41.9 (1) at least 25 persons;

41.10 (2) a state or federal governmental subdivision or agency; or

41.11 (3) an association or organization having at least 25 members.

41.12 (I) If a hearing is held on the proposed rule or amendment, the commission shall publish  
41.13 the place, time, and date of the scheduled public hearing. If the hearing is held via electronic  
41.14 means, the commission shall publish the mechanism for access to the electronic hearing:

41.15 (1) All persons wishing to be heard at the hearing shall notify the executive director of  
41.16 the commission or other designated member in writing of their desire to appear and testify  
41.17 at the hearing not less than five business days before the scheduled date of the hearing.

41.18 (2) Hearings shall be conducted in a manner providing each person who wishes to  
41.19 comment a fair and reasonable opportunity to comment orally or in writing.

41.20 (3) All hearings will be recorded. A copy of the recording will be made available on  
41.21 request.

41.22 (4) Nothing in this Article shall be construed as requiring a separate hearing on each  
41.23 rule. Rules may be grouped for the convenience of the commission at hearings required by  
41.24 this Article.

41.25 (J) Following the scheduled hearing date, or by the close of business on the scheduled  
41.26 hearing date if the hearing was not held, the commission shall consider all written and oral  
41.27 comments received.

41.28 (K) If no written notice of intent to attend the public hearing by interested parties is  
41.29 received, the commission may proceed with promulgation of the proposed rule without a  
41.30 public hearing.

42.1 (L) The commission shall, by majority vote of all members, take final action on the  
 42.2 proposed rule and shall determine the effective date of the rule, if any, based on the  
 42.3 rulemaking record and the full text of the rule.

42.4 (M) Upon determination that an emergency exists, the commission may consider and  
 42.5 adopt an emergency rule without prior notice, opportunity for comment, or hearing; provided  
 42.6 that the usual rulemaking procedures provided in the compact and in this Article shall be  
 42.7 retroactively applied to the rule as soon as reasonably possible, in no event later than 90  
 42.8 days after the effective date of the rule. For the purposes of this provision, an emergency  
 42.9 rule is one that must be adopted immediately in order to:

42.10 (1) meet an imminent threat to public health, safety, or welfare;

42.11 (2) prevent a loss of commission or member state funds;

42.12 (3) meet a deadline for the promulgation of an administrative rule that is established by  
 42.13 federal law or rule; or

42.14 (4) protect public health and safety.

42.15 (N) The commission or an authorized committee of the commission may direct revisions  
 42.16 to a previously adopted rule or amendment for purposes of correcting typographical errors,  
 42.17 errors in format, errors in consistency, or grammatical errors. Public notice of any revisions  
 42.18 shall be posted on the website of the commission. The revision shall be subject to challenge  
 42.19 by any person for a period of 30 days after posting. The revision may be challenged only  
 42.20 on grounds that the revision results in a material change to a rule. A challenge shall be made  
 42.21 in writing and delivered to the chair of the commission prior to the end of the notice period.  
 42.22 If no challenge is made, the revision will take effect without further action. If the revision  
 42.23 is challenged, the revision may not take effect without the approval of the commission.

## 42.24 ARTICLE XI

### 42.25 OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

42.26 (A) Oversight:

42.27 (1) The executive, legislative, and judicial branches of state government in each member  
 42.28 state shall enforce this compact and take all actions necessary and appropriate to effectuate  
 42.29 the compact's purposes and intent. The provisions of this compact and the rules promulgated  
 42.30 hereunder shall have standing as statutory law.

43.1 (2) All courts shall take judicial notice of the compact and the rules in any judicial or  
43.2 administrative proceeding in a member state pertaining to the subject matter of this compact  
43.3 which may affect the powers, responsibilities, or actions of the commission.

43.4 (3) The commission shall be entitled to receive service of process in any such proceeding,  
43.5 and shall have standing to intervene in such a proceeding for all purposes. Failure to provide  
43.6 service of process to the commission shall render a judgment or order void as to the  
43.7 commission, this compact, or promulgated rules.

43.8 (B) Default, Technical Assistance, and Termination:

43.9 (1) If the commission determines that a member state has defaulted in the performance  
43.10 of its obligations or responsibilities under this compact or the promulgated rules, the  
43.11 commission shall:

43.12 (i) provide written notice to the defaulting state and other member states of the nature  
43.13 of the default, the proposed means of curing the default, or any other action to be taken by  
43.14 the commission; and

43.15 (ii) provide remedial training and specific technical assistance regarding the default.

43.16 (2) If a state in default fails to cure the default, the defaulting state may be terminated  
43.17 from the compact upon an affirmative vote of a majority of the member states, and all rights,  
43.18 privileges, and benefits conferred by this compact may be terminated on the effective date  
43.19 of termination. A cure of the default does not relieve the offending state of obligations or  
43.20 liabilities incurred during the period of default.

43.21 (3) Termination of membership in the compact shall be imposed only after all other  
43.22 means of securing compliance have been exhausted. Notice of intent to suspend or terminate  
43.23 shall be given by the commission to the governor, the majority and minority leaders of the  
43.24 defaulting state's legislature, and each of the member states.

43.25 (4) A state that has been terminated is responsible for all assessments, obligations, and  
43.26 liabilities incurred through the effective date of termination, including obligations that  
43.27 extend beyond the effective date of termination.

43.28 (5) The commission shall not bear any costs related to a state that is found to be in default  
43.29 or that has been terminated from the compact, unless agreed upon in writing between the  
43.30 commission and the defaulting state.

43.31 (6) The defaulting state may appeal the action of the commission by petitioning the  
43.32 United States District Court for the District of Columbia or the federal district where the

44.1 commission has its principal offices. The prevailing member shall be awarded all costs of  
 44.2 such litigation, including reasonable attorney fees.

44.3 (C) Dispute Resolution:

44.4 (1) Upon request by a member state, the commission shall attempt to resolve disputes  
 44.5 related to the compact that arise among member states and between member and nonmember  
 44.6 states.

44.7 (2) The commission shall promulgate a rule providing for both mediation and binding  
 44.8 dispute resolution for disputes as appropriate.

44.9 (D) Enforcement:

44.10 (1) The commission, in the reasonable exercise of its discretion, shall enforce the  
 44.11 provisions and rules of this compact.

44.12 (2) By majority vote, the commission may initiate legal action in the United States  
 44.13 District Court for the District of Columbia or the federal district where the commission has  
 44.14 its principal offices against a member state in default to enforce compliance with the  
 44.15 provisions of the compact and its promulgated rules and bylaws. The relief sought may  
 44.16 include both injunctive relief and damages. In the event that judicial enforcement is necessary,  
 44.17 the prevailing member shall be awarded all costs of such litigation, including reasonable  
 44.18 attorney fees.

44.19 (3) The remedies herein shall not be the exclusive remedies of the commission. The  
 44.20 commission may pursue any other remedies available under federal or state law.

44.21 ARTICLE XII

44.22 DATE OF IMPLEMENTATION OF THE INTERSTATE COMMISSION FOR  
 44.23 OCCUPATIONAL THERAPY PRACTICE AND ASSOCIATED RULES, WITHDRAWAL,  
 44.24 AND AMENDMENT

44.25 (A) The compact shall come into effect on the date on which the compact statute is  
 44.26 enacted into law in the tenth member state. The provisions, which become effective at that  
 44.27 time, shall be limited to the powers granted to the commission relating to assembly and the  
 44.28 promulgation of rules. Thereafter, the commission shall meet and exercise rulemaking  
 44.29 powers necessary to the implementation and administration of the compact.

44.30 (B) Any state that joins the compact subsequent to the commission's initial adoption of  
 44.31 the rules shall be subject to the rules as they exist on the date on which the compact becomes

45.1 law in that state. Any rule that has been previously adopted by the commission shall have  
45.2 the full force and effect of law on the day the compact becomes law in that state.

45.3 (C) Any member state may withdraw from this compact by enacting a statute repealing  
45.4 the same:

45.5 (1) A member state's withdrawal shall not take effect until six months after enactment  
45.6 of the repealing statute.

45.7 (2) Withdrawal shall not affect the continuing requirement of the withdrawing state's  
45.8 occupational therapy licensing board to comply with the investigative and adverse action  
45.9 reporting requirements of this compact prior to the effective date of withdrawal.

45.10 (D) Nothing contained in this compact shall be construed to invalidate or prevent any  
45.11 occupational therapy licensure agreement or other cooperative arrangement between a  
45.12 member state and a nonmember state that does not conflict with the provisions of this  
45.13 compact.

45.14 (E) This compact may be amended by the member states. No amendment to this compact  
45.15 shall become effective and binding upon any member state until it is enacted into the laws  
45.16 of all member states.

### 45.17 ARTICLE XIII

#### 45.18 CONSTRUCTION AND SEVERABILITY

45.19 This compact shall be liberally construed so as to effectuate the purposes thereof. The  
45.20 provisions of this compact shall be severable and if any phrase, clause, sentence, or provision  
45.21 of this compact is declared to be contrary to the constitution of any member state or of the  
45.22 United States or the applicability thereof to any government, agency, person, or circumstance  
45.23 is held invalid, the validity of the remainder of this compact and the applicability thereof  
45.24 to any government, agency, person, or circumstance shall not be affected thereby. If this  
45.25 compact shall be held contrary to the constitution of any member state, the compact shall  
45.26 remain in full force and effect as to the remaining member states and in full force and effect  
45.27 as to the member state affected as to all severable matters.

### 45.28 ARTICLE XIV

#### 45.29 BINDING EFFECT OF COMPACT AND OTHER LAWS

45.30 (A) A licensee providing occupational therapy in a remote state under the compact  
45.31 privilege shall function within the laws and regulations of the remote state.

46.1 (B) Nothing herein prevents the enforcement of any other law of a member state that is  
46.2 not inconsistent with the compact.

46.3 (C) Any laws in a member state in conflict with the compact are superseded to the extent  
46.4 of the conflict.

46.5 (D) Any lawful actions of the commission, including all rules and bylaws promulgated  
46.6 by the commission, are binding upon the member states.

46.7 (E) All agreements between the commission and the member states are binding in  
46.8 accordance with their terms.

46.9 (F) In the event any provision of the compact exceeds the constitutional limits imposed  
46.10 on the legislature of any member state, the provision shall be ineffective to the extent of the  
46.11 conflict with the constitutional provision in question in that member state.

46.12 **ARTICLE 3**

46.13 **PHYSICAL THERAPISTS**

46.14 Section 1. **[148.676] PHYSICAL THERAPY LICENSURE COMPACT.**

46.15 The physical therapy licensure compact is enacted into law and entered into with all  
46.16 other jurisdictions legally joining in the compact in the form substantially specified in this  
46.17 section.

46.18 **ARTICLE I**

46.19 **TITLE**

46.20 This statute shall be known and cited as the physical therapy licensure compact.

46.21 **ARTICLE II**

46.22 **DEFINITIONS**

46.23 As used in this compact, and except as otherwise provided, the following terms have  
46.24 the meanings given them.

46.25 (a) "Active duty military" means full-time duty status in the active uniformed service  
46.26 of the United States, including members of the National Guard and Reserve on active duty  
46.27 orders pursuant to United States Code, title 10, chapters 1209 and 1211.

46.28 (b) "Adverse action" means disciplinary action taken by a physical therapy licensing  
46.29 board based upon misconduct, unacceptable performance, or a combination of both.

47.1 (c) "Alternative program" means a nondisciplinary monitoring or practice remediation  
47.2 process approved by a physical therapy licensing board. Alternative program includes but  
47.3 is not limited to substance abuse issues.

47.4 (d) "Compact privilege" means the authorization granted by a remote state to allow a  
47.5 licensee from another member state to practice as a physical therapist or work as a physical  
47.6 therapist assistant in the remote state under its laws and rules. The practice of physical  
47.7 therapy occurs in the member state where the patient or client is located at the time of the  
47.8 patient or client encounter.

47.9 (e) "Continuing competence" means a requirement, as a condition of license renewal,  
47.10 to provide evidence of participation in, or completion of, educational and professional  
47.11 activities relevant to practice or area of work.

47.12 (f) "Data system" means a repository of information about licensees, including  
47.13 examination, licensure, investigative, compact privilege, and adverse action.

47.14 (g) "Encumbered license" means a license that a physical therapy licensing board has  
47.15 limited in any way.

47.16 (h) "Executive board" means a group of directors elected or appointed to act on behalf  
47.17 of, and within the powers granted to them by, the commission.

47.18 (i) "Home state" means the member state that is the licensee's primary state of residence.

47.19 (j) "Investigative information" means information, records, and documents received or  
47.20 generated by a physical therapy licensing board pursuant to an investigation.

47.21 (k) "Jurisprudence requirement" means the assessment of an individual's knowledge of  
47.22 the laws and rules governing the practice of physical therapy in a state.

47.23 (l) "Licensee" means an individual who currently holds an authorization from the state  
47.24 to practice as a physical therapist or to work as a physical therapist assistant.

47.25 (m) "Member state" means a state that has enacted the compact.

47.26 (n) "Party state" means any member state in which a licensee holds a current license or  
47.27 compact privilege or is applying for a license or compact privilege.

47.28 (o) "Physical therapist" means an individual who is licensed by a state to practice physical  
47.29 therapy.

47.30 (p) "Physical therapist assistant" means an individual who is licensed or certified by a  
47.31 state and who assists the physical therapist in selected components of physical therapy.

48.1 (q) "Physical therapy," "physical therapy practice," or "the practice of physical therapy"  
48.2 means the care and services provided by or under the direction and supervision of a licensed  
48.3 physical therapist.

48.4 (r) "Physical Therapy Compact Commission" or "commission" means the national  
48.5 administrative body whose membership consists of all states that have enacted the compact.

48.6 (s) "Physical therapy licensing board" or "licensing board" means the agency of a state  
48.7 that is responsible for the licensing and regulation of physical therapists and physical therapist  
48.8 assistants.

48.9 (t) "Remote state" means a member state other than the home state where a licensee is  
48.10 exercising or seeking to exercise the compact privilege.

48.11 (u) "Rule" means a regulation, principle, or directive promulgated by the commission  
48.12 that has the force of law.

48.13 (v) "State" means any state, commonwealth, district, or territory of the United States  
48.14 that regulates the practice of physical therapy.

### 48.15 ARTICLE III

#### 48.16 STATE PARTICIPATION IN THE COMPACT

48.17 (a) To participate in the compact, a state must:

48.18 (1) participate fully in the commission's data system, including using the commission's  
48.19 unique identifier as defined in rules;

48.20 (2) have a mechanism in place for receiving and investigating complaints about licensees;

48.21 (3) notify the commission, in compliance with the terms of the compact and rules, of  
48.22 any adverse action or the availability of investigative information regarding a licensee;

48.23 (4) fully implement a criminal background check requirement, within a time frame  
48.24 established by rule, by receiving the results of the Federal Bureau of Investigation record  
48.25 search on criminal background checks and use the results in making licensure decisions in  
48.26 accordance with paragraph (b);

48.27 (5) comply with the rules of the commission;

48.28 (6) utilize a recognized national examination as a requirement for licensure pursuant to  
48.29 the rules of the commission; and

48.30 (7) have continuing competence requirements as a condition for license renewal.

49.1 (b) Upon adoption of this compact, the member state shall have the authority to obtain  
49.2 biometric-based information from each physical therapy licensure applicant and submit this  
49.3 information to the Federal Bureau of Investigation for a criminal background check in  
49.4 accordance with United States Code, title 28, section 534, and United States Code, title 42,  
49.5 section 14616.

49.6 (c) A member state shall grant the compact privilege to a licensee holding a valid  
49.7 unencumbered license in another member state in accordance with the terms of the compact  
49.8 and rules.

49.9 (d) Member states may charge a fee for granting a compact privilege.

#### 49.10 ARTICLE IV

#### 49.11 COMPACT PRIVILEGE

49.12 (a) To exercise the compact privilege under the terms and provisions of the compact,  
49.13 the licensee shall:

49.14 (1) hold a license in the home state;

49.15 (2) have no encumbrance on any state license;

49.16 (3) be eligible for a compact privilege in any member state in accordance with paragraphs  
49.17 (d), (g), and (h);

49.18 (4) have not had any adverse action against any license or compact privilege within the  
49.19 previous two years;

49.20 (5) notify the commission that the licensee is seeking the compact privilege within a  
49.21 remote state or states;

49.22 (6) pay any applicable fees, including any state fee, for the compact privilege;

49.23 (7) meet any jurisprudence requirements established by the remote state or states in  
49.24 which the licensee is seeking a compact privilege; and

49.25 (8) report to the commission adverse action taken by any nonmember state within 30  
49.26 days from the date the adverse action is taken.

49.27 (b) The compact privilege is valid until the expiration date of the home license. The  
49.28 licensee must comply with the requirements of paragraph (a) to maintain the compact  
49.29 privilege in the remote state.

49.30 (c) A licensee providing physical therapy in a remote state under the compact privilege  
49.31 shall function within the laws and regulations of the remote state.

50.1 (d) A licensee providing physical therapy in a remote state is subject to that state's  
50.2 regulatory authority. A remote state may, in accordance with due process and that state's  
50.3 laws, remove a licensee's compact privilege in the remote state for a specific period of time,  
50.4 impose fines, or take any other necessary actions to protect the health and safety of its  
50.5 citizens. The licensee is not eligible for a compact privilege in any state until the specific  
50.6 time for removal has passed and all fines are paid.

50.7 (e) If a home state license is encumbered, the licensee shall lose the compact privilege  
50.8 in any remote state until the following occur:

50.9 (1) the home state license is no longer encumbered; and

50.10 (2) two years have elapsed from the date of the adverse action.

50.11 (f) Once an encumbered license in the home state is restored to good standing, the  
50.12 licensee must meet the requirements of paragraph (a) to obtain a compact privilege in any  
50.13 remote state.

50.14 (g) If a licensee's compact privilege in any remote state is removed, the individual shall  
50.15 lose the compact privilege in any remote state until the following occur:

50.16 (1) the specific period of time for which the compact privilege was removed has ended;

50.17 (2) all fines have been paid; and

50.18 (3) two years have elapsed from the date of the adverse action.

50.19 (h) Once the requirements of paragraph (g) have been met, the licensee must meet the  
50.20 requirements in paragraph (a) to obtain a compact privilege in a remote state.

50.21 ARTICLE V

50.22 ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES

50.23 A licensee who is active duty military or is the spouse of an individual who is active  
50.24 duty military may designate one of the following as the home state:

50.25 (1) home of record;

50.26 (2) permanent change of station (PCS) state; or

50.27 (3) state of current residence if different than the PCS state or home of record.

50.28 ARTICLE VI

50.29 ADVERSE ACTIONS

51.1 (a) A home state shall have exclusive power to impose adverse action against a license  
51.2 issued by the home state.

51.3 (b) A home state may take adverse action based on the investigative information of a  
51.4 remote state, so long as the home state follows its own procedures for imposing adverse  
51.5 action.

51.6 (c) Nothing in this compact shall override a member state's decision that participation  
51.7 in an alternative program may be used in lieu of adverse action and that such participation  
51.8 shall remain nonpublic if required by the member state's laws. Member states must require  
51.9 licensees who enter any alternative programs in lieu of discipline to agree not to practice  
51.10 in any other member state during the term of the alternative program without prior  
51.11 authorization from such other member state.

51.12 (d) Any member state may investigate actual or alleged violations of the statutes and  
51.13 rules authorizing the practice of physical therapy in any other member state in which a  
51.14 physical therapist or physical therapist assistant holds a license or compact privilege.

51.15 (e) A remote state shall have the authority to:

51.16 (1) take adverse actions as set forth in article IV, paragraph (d), against a licensee's  
51.17 compact privilege in the state;

51.18 (2) issue subpoenas for both hearings and investigations that require the attendance and  
51.19 testimony of witnesses and the production of evidence. Subpoenas issued by a physical  
51.20 therapy licensing board in a party state for the attendance and testimony of witnesses, or  
51.21 the production of evidence from another party state, shall be enforced in the latter state by  
51.22 any court of competent jurisdiction, according to the practice and procedure of that court  
51.23 applicable to subpoenas issued in proceedings pending before it. The issuing authority shall  
51.24 pay any witness fees, travel expenses, mileage, and other fees required by the service statutes  
51.25 of the state where the witnesses or evidence are located; and

51.26 (3) if otherwise permitted by state law, recover from the licensee the costs of  
51.27 investigations and disposition of cases resulting from any adverse action taken against that  
51.28 licensee.

51.29 (f) In addition to the authority granted to a member state by its respective physical therapy  
51.30 practice act or other applicable state law, a member state may participate with other member  
51.31 states in joint investigations of licensees.

51.32 (g) Member states shall share any investigative, litigation, or compliance materials in  
51.33 furtherance of any joint or individual investigation initiated under the compact.

- 52.1 ARTICLE VII
- 52.2 ESTABLISHMENT OF THE PHYSICAL THERAPY COMPACT COMMISSION
- 52.3 (a) The compact member states hereby create and establish a joint public agency known  
52.4 as the Physical Therapy Compact Commission:
- 52.5 (1) the commission is an instrumentality of the compact states;
- 52.6 (2) except as provided under paragraph (h), venue is proper and judicial proceedings by  
52.7 or against the commission shall be brought solely and exclusively in a court of competent  
52.8 jurisdiction where the principal office of the commission is located. The commission may  
52.9 waive venue and jurisdictional defenses to the extent it adopts or consents to participate in  
52.10 alternative dispute resolution proceedings; and
- 52.11 (3) nothing in this compact shall be construed to be a waiver of sovereign immunity.
- 52.12 (b) Membership, voting, and meetings:
- 52.13 (1) each member state shall have and be limited to one delegate selected by that member  
52.14 state's licensing board;
- 52.15 (2) the delegate shall be a current member of the licensing board who is a physical  
52.16 therapist, physical therapist assistant, public member, or the board administrator;
- 52.17 (3) each delegate shall be entitled to one vote with regard to the promulgation of rules  
52.18 and creation of bylaws and shall otherwise have an opportunity to participate in the business  
52.19 and affairs of the commission;
- 52.20 (4) a delegate shall vote in person or by such other means as provided in the bylaws.  
52.21 The bylaws may provide for delegates' participation in meetings by telephone or other means  
52.22 of communication;
- 52.23 (5) any delegate may be removed or suspended from office as provided by the laws of  
52.24 the state from which the delegate is appointed;
- 52.25 (6) the member state board shall fill any vacancy occurring in the commission;
- 52.26 (7) the commission shall meet at least once during each calendar year. Additional  
52.27 meetings shall be held as set forth in the bylaws;
- 52.28 (8) all meetings shall be open to the public and public notice of meetings shall be given  
52.29 in the same manner as required under the rulemaking provisions in article IX;

53.1 (9) the commission or the executive board or other committees of the commission may  
 53.2 convene in a closed, nonpublic meeting if the commission or executive board or other  
 53.3 committees of the commission must discuss:

53.4 (i) noncompliance of a member state with its obligations under the compact;

53.5 (ii) the employment, compensation, discipline, or other matters, practices, or procedures  
 53.6 related to specific employees or other matters related to the commission's internal personnel  
 53.7 practices and procedures;

53.8 (iii) current, threatened, or reasonably anticipated litigation;

53.9 (iv) negotiation of contracts for the purchase, lease, or sale of goods, services, or real  
 53.10 estate;

53.11 (v) accusing any person of a crime or formally censuring any person;

53.12 (vi) disclosure of trade secrets or commercial or financial information that is privileged  
 53.13 or confidential;

53.14 (vii) disclosure of information of a personal nature where disclosure would constitute a  
 53.15 clearly unwarranted invasion of personal privacy;

53.16 (viii) disclosure of investigative records compiled for law enforcement purposes;

53.17 (ix) disclosure of information related to any investigative reports prepared by or on  
 53.18 behalf of or for use of the commission or other committee charged with responsibility of  
 53.19 investigation or determination of compliance issues pursuant to the compact; or

53.20 (x) matters specifically exempted from disclosure by federal or member state statute;

53.21 (10) if a meeting, or portion of a meeting, is closed pursuant to this provision, the  
 53.22 commission's legal counsel or designee shall certify that the meeting may be closed and  
 53.23 shall reference each relevant exempting provision; and

53.24 (11) the commission shall keep minutes that fully and clearly describe all matters  
 53.25 discussed in a meeting and shall provide a full and accurate summary of actions taken and  
 53.26 the reasons therefore, including a description of the views expressed. All documents  
 53.27 considered in connection with an action shall be identified in such minutes. All minutes and  
 53.28 documents of a closed meeting shall remain under seal, subject to release by a majority vote  
 53.29 of the commission or order of a court of competent jurisdiction.

53.30 (c) The commission shall have the following powers and duties:

53.31 (1) establish the fiscal year of the commission;

- 54.1 (2) establish bylaws;
- 54.2 (3) maintain its financial records in accordance with the bylaws;
- 54.3 (4) meet and take such actions as are consistent with the provisions of this compact and  
54.4 the bylaws;
- 54.5 (5) promulgate uniform rules to facilitate and coordinate implementation and  
54.6 administration of this compact. The rules shall have the force and effect of law and shall  
54.7 be binding in all member states;
- 54.8 (6) bring and prosecute legal proceedings or actions in the name of the commission,  
54.9 provided that the standing of any state physical therapy licensing board to sue or be sued  
54.10 under applicable law shall not be affected;
- 54.11 (7) purchase and maintain insurance and bonds;
- 54.12 (8) borrow, accept, or contract for services of personnel, including but not limited to  
54.13 employees of a member state;
- 54.14 (9) hire employees; elect or appoint officers; fix compensation; define duties; grant such  
54.15 individuals appropriate authority to carry out the purposes of the compact; and establish the  
54.16 commission's personnel policies and programs relating to conflicts of interest, qualifications  
54.17 of personnel, and other related personnel matters;
- 54.18 (10) accept any and all appropriate donations and grants of money, equipment, supplies,  
54.19 materials, and services and receive, utilize, and dispose of the same, provided that at all  
54.20 times the commission shall avoid any appearance of impropriety or conflict of interest;
- 54.21 (11) lease; purchase; accept appropriate gifts or donations of; or otherwise to own, hold,  
54.22 improve, or use any property, real, personal, or mixed, provided that at all times the  
54.23 commission shall avoid any appearance of impropriety;
- 54.24 (12) sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of  
54.25 any property real, personal, or mixed;
- 54.26 (13) establish a budget and make expenditures;
- 54.27 (14) borrow money;
- 54.28 (15) appoint committees, including standing committees composed of members, state  
54.29 regulators, state legislators or their representatives, consumer representatives, and such  
54.30 other interested persons as may be designated in this compact and the bylaws;
- 54.31 (16) provide and receive information from, and cooperate with, law enforcement agencies;

- 55.1 (17) establish and elect an executive board; and
- 55.2 (18) perform such other functions as may be necessary or appropriate to achieve the  
55.3 purposes of this compact consistent with the state regulation of physical therapy licensure  
55.4 and practice.
- 55.5 (d) The executive board:
- 55.6 (1) the executive board shall have the power to act on behalf of the commission according  
55.7 to the terms of this compact;
- 55.8 (2) the executive board shall be composed of nine members as follows:
- 55.9 (i) seven voting members who are elected by the commission from the current  
55.10 membership of the commission;
- 55.11 (ii) one ex officio, nonvoting member from the recognized national physical therapy  
55.12 professional association; and
- 55.13 (iii) one ex officio, nonvoting member from the recognized membership organization  
55.14 of the physical therapy licensing boards;
- 55.15 (3) the ex officio members must be selected by their respective organizations;
- 55.16 (4) the commission may remove any member of the executive board as provided in the  
55.17 bylaws;
- 55.18 (5) the executive board shall meet at least annually; and
- 55.19 (6) the executive board shall have the following duties and responsibilities:
- 55.20 (i) recommend to the entire commission changes to the rules or bylaws, changes to this  
55.21 compact legislation, fees paid by compact member states such as annual dues, and any  
55.22 commission compact fee charged to licensees for the compact privilege;
- 55.23 (ii) ensure compact administration services are appropriately provided, contractual or  
55.24 otherwise;
- 55.25 (iii) prepare and recommend the budget;
- 55.26 (iv) maintain financial records on behalf of the commission;
- 55.27 (v) monitor compact compliance of member states and provide compliance reports to  
55.28 the commission;
- 55.29 (vi) establish additional committees as necessary; and
- 55.30 (vii) other duties as provided in rules or bylaws.

56.1 (e) Financing of the commission:

56.2 (1) the commission shall pay, or provide for the payment of, the reasonable expenses of  
56.3 the commission's establishment, organization, and ongoing activities;

56.4 (2) the commission may accept any and all appropriate revenue sources, donations, and  
56.5 grants of money, equipment, supplies, materials, and services;

56.6 (3) the commission may levy on and collect an annual assessment from each member  
56.7 state or impose fees on other parties to cover the cost of the operations and activities of the  
56.8 commission and the commission's staff, which must be in a total amount sufficient to cover  
56.9 its annual budget as approved each year for which revenue is not provided by other sources.  
56.10 The aggregate annual assessment amount shall be allocated based upon a formula to be  
56.11 determined by the commission, which shall promulgate a rule binding upon all member  
56.12 states;

56.13 (4) the commission shall not incur obligations of any kind prior to securing the funds  
56.14 adequate to meet the same; nor shall the commission pledge the credit of any of the member  
56.15 states, except by and with the authority of the member state; and

56.16 (5) the commission shall keep accurate accounts of all receipts and disbursements. The  
56.17 receipts and disbursements of the commission shall be subject to the audit and accounting  
56.18 procedures established under the commission's bylaws. However, all receipts and  
56.19 disbursements of funds handled by the commission shall be audited yearly by a certified or  
56.20 licensed public accountant and the report of the audit shall be included in and become part  
56.21 of the annual report of the commission.

56.22 (f) Qualified immunity, defense, and indemnification:

56.23 (1) the members, officers, executive director, employees, and representatives of the  
56.24 commission shall be immune from suit and liability, either personally or in their official  
56.25 capacity, for any claim for damage to or loss of property or personal injury or other civil  
56.26 liability caused by or arising out of any actual or alleged act, error, or omission that occurred,  
56.27 or that the person against whom the claim is made had a reasonable basis for believing  
56.28 occurred, within the scope of commission employment, duties, or responsibilities, provided  
56.29 that nothing in this paragraph shall be construed to protect any such person from suit or  
56.30 liability for any damage, loss, injury, or liability caused by the intentional or willful or  
56.31 wanton misconduct of that person;

56.32 (2) the commission shall defend any member, officer, executive director, employee, or  
56.33 representative of the commission in any civil action seeking to impose liability arising out

57.1 of any actual or alleged act, error, or omission that occurred within the scope of commission  
 57.2 employment, duties, or responsibilities, or that the person against whom the claim is made  
 57.3 had a reasonable basis for believing occurred within the scope of commission employment,  
 57.4 duties, or responsibilities, provided that nothing herein shall be construed to prohibit that  
 57.5 person from retaining his or her own counsel, and provided further that the actual or alleged  
 57.6 act, error, or omission did not result from the intentional or willful or wanton misconduct  
 57.7 of that person; and

57.8 (3) the commission shall indemnify and hold harmless any member, officer, executive  
 57.9 director, employee, or representative of the commission for the amount of any settlement  
 57.10 or judgment obtained against that person arising out of any actual or alleged act, error, or  
 57.11 omission that occurred within the scope of commission employment, duties, or  
 57.12 responsibilities, or that such person had a reasonable basis for believing occurred within  
 57.13 the scope of commission employment, duties, or responsibilities, provided that the actual  
 57.14 or alleged act, error, or omission did not result from the intentional or willful or wanton  
 57.15 misconduct of that person.

57.16 (g) Notwithstanding paragraph (f), clause (1), the liability of the executive director,  
 57.17 employees, or representatives of the interstate commission, acting within the scope of their  
 57.18 employment or duties, may not exceed the limits of liability set forth under the constitution  
 57.19 and laws of this state for state officials, employees, and agents. This paragraph expressly  
 57.20 incorporates section 3.736, and neither expands nor limits the rights and remedies provided  
 57.21 under that statute.

57.22 (h) Except for a claim alleging a violation of this compact, a claim against the  
 57.23 commission, its executive director, employees, or representatives alleging a violation of the  
 57.24 constitution and laws of this state may be brought in any county where the plaintiff resides.  
 57.25 Nothing in this paragraph creates a private right of action.

57.26 (i) Nothing in this compact shall be construed as a limitation on the liability of any  
 57.27 licensee for professional malpractice or misconduct, which shall be governed solely by any  
 57.28 other applicable state laws.

57.29 ARTICLE VIII

57.30 DATA SYSTEM

57.31 (a) The commission shall provide for the development, maintenance, and utilization of  
 57.32 a coordinated database and reporting system containing licensure, adverse action, and  
 57.33 investigative information on all licensed individuals in member states.

58.1 (b) Notwithstanding any other provision of state law to the contrary, a member state  
 58.2 shall submit a uniform data set to the data system on all individuals to whom this compact  
 58.3 is applicable as required by the rules of the commission, including:

58.4 (1) identifying information;

58.5 (2) licensure data;

58.6 (3) adverse actions against a license or compact privilege;

58.7 (4) nonconfidential information related to alternative program participation;

58.8 (5) any denial of application for licensure and the reason or reasons for the denial; and

58.9 (6) other information that may facilitate the administration of this compact, as determined  
 58.10 by the rules of the commission.

58.11 (c) Investigative information pertaining to a licensee in any member state will only be  
 58.12 available to other party states.

58.13 (d) The commission shall promptly notify all member states of any adverse action taken  
 58.14 against a licensee or an individual applying for a license. Adverse action information  
 58.15 pertaining to a licensee in any member state will be available to any other member state.

58.16 (e) Member states contributing information to the data system may designate information  
 58.17 that may not be shared with the public without the express permission of the contributing  
 58.18 state.

58.19 (f) Any information submitted to the data system that is subsequently required to be  
 58.20 expunged by the laws of the member state contributing the information shall be removed  
 58.21 from the data system.

## 58.22 ARTICLE IX

### 58.23 RULEMAKING

58.24 (a) The commission shall exercise its rulemaking powers pursuant to the criteria set  
 58.25 forth in this article and the rules adopted thereunder. Rules and amendments shall become  
 58.26 binding as of the date specified in each rule or amendment.

58.27 (b) If a majority of the legislatures of the member states rejects a rule, by enactment of  
 58.28 a statute or resolution in the same manner used to adopt the compact within four years of  
 58.29 the date of adoption of the rule, then such rule shall have no further force and effect in any  
 58.30 member state.

59.1 (c) Rules or amendments to the rules shall be adopted at a regular or special meeting of  
59.2 the commission.

59.3 (d) Prior to promulgation and adoption of a final rule or rules by the commission and at  
59.4 least 30 days in advance of the meeting at which the rule will be considered and voted upon,  
59.5 the commission shall file a notice of proposed rulemaking:

59.6 (1) on the website of the commission or other publicly accessible platform; and

59.7 (2) on the website of each member state physical therapy licensing board or other publicly  
59.8 accessible platform or the publication in which each state would otherwise publish proposed  
59.9 rules.

59.10 (e) The notice of proposed rulemaking shall include:

59.11 (1) the proposed time, date, and location of the meeting in which the rule will be  
59.12 considered and voted upon;

59.13 (2) the text of the proposed rule or amendment and the reason for the proposed rule;

59.14 (3) a request for comments on the proposed rule from any interested person; and

59.15 (4) the manner in which interested persons may submit notice to the commission of their  
59.16 intention to attend the public hearing and any written comments.

59.17 (f) Prior to adoption of a proposed rule, the commission shall allow persons to submit  
59.18 written data, facts, opinions, and arguments, which shall be made available to the public.

59.19 (g) The commission shall grant an opportunity for a public hearing before it adopts a  
59.20 rule or amendment if a hearing is requested by:

59.21 (1) at least 25 persons;

59.22 (2) a state or federal governmental subdivision or agency; or

59.23 (3) an association having at least 25 members.

59.24 (h) If a hearing is held on the proposed rule or amendment, the commission shall publish  
59.25 the place, time, and date of the scheduled public hearing. If the hearing is held via electronic  
59.26 means, the commission shall publish the mechanism for access to the electronic hearing:

59.27 (1) all persons wishing to be heard at the hearing shall notify the executive director of  
59.28 the commission or other designated member in writing of their desire to appear and testify  
59.29 at the hearing not less than five business days before the scheduled date of the hearing;

59.30 (2) hearings shall be conducted in a manner providing each person who wishes to  
59.31 comment a fair and reasonable opportunity to comment orally or in writing;

60.1 (3) all hearings will be recorded. A copy of the recording will be made available on  
60.2 request; and

60.3 (4) nothing in this section shall be construed as requiring a separate hearing on each  
60.4 rule. Rules may be grouped for the convenience of the commission at hearings required by  
60.5 this section.

60.6 (i) Following the scheduled hearing date, or by the close of business on the scheduled  
60.7 hearing date if the hearing was not held, the commission shall consider all written and oral  
60.8 comments received.

60.9 (j) If no written notice of intent to attend the public hearing by interested parties is  
60.10 received, the commission may proceed with promulgation of the proposed rule without a  
60.11 public hearing.

60.12 (k) The commission shall, by majority vote of all members, take final action on the  
60.13 proposed rule and shall determine the effective date of the rule, if any, based on the  
60.14 rulemaking record and the full text of the rule.

60.15 (l) Upon determination that an emergency exists, the commission may consider and  
60.16 adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided  
60.17 that the usual rulemaking procedures provided in the compact and in this section shall be  
60.18 retroactively applied to the rule as soon as reasonably possible, in no event later than 90  
60.19 days after the effective date of the rule. For the purposes of this provision, an emergency  
60.20 rule is one that must be adopted immediately in order to:

60.21 (1) meet an imminent threat to public health, safety, or welfare;

60.22 (2) prevent a loss of commission or member state funds;

60.23 (3) meet a deadline for the promulgation of an administrative rule that is established by  
60.24 federal law or rule; or

60.25 (4) protect public health and safety.

60.26 (m) The commission or an authorized committee of the commission may direct revisions  
60.27 to a previously adopted rule or amendment for purposes of correcting typographical errors,  
60.28 errors in format, errors in consistency, or grammatical errors. Public notice of any revisions  
60.29 shall be posted on the website of the commission. The revision shall be subject to challenge  
60.30 by any person for a period of 30 days after posting. The revision may be challenged only  
60.31 on grounds that the revision results in a material change to a rule. A challenge shall be made  
60.32 in writing and delivered to the chair of the commission prior to the end of the notice period.

61.1 If no challenge is made, the revision will take effect without further action. If the revision  
61.2 is challenged, the revision may not take effect without the approval of the commission.

61.3 ARTICLE X

61.4 OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

61.5 (a) Oversight:

61.6 (1) the executive, legislative, and judicial branches of state government in each member  
61.7 state shall enforce this compact and take all actions necessary and appropriate to effectuate  
61.8 the compact's purposes and intent. The provisions of this compact and the rules promulgated  
61.9 hereunder shall have standing as statutory law;

61.10 (2) all courts shall take judicial notice of the compact and the rules in any judicial or  
61.11 administrative proceeding in a member state pertaining to the subject matter of this compact  
61.12 which may affect the powers, responsibilities, or actions of the commission; and

61.13 (3) the commission shall be entitled to receive service of process in any such proceeding  
61.14 and shall have standing to intervene in such a proceeding for all purposes. Failure to provide  
61.15 service of process to the commission shall render a judgment or order void as to the  
61.16 commission, this compact, or promulgated rules.

61.17 (b) Default, technical assistance, and termination:

61.18 (1) if the commission determines that a member state has defaulted in the performance  
61.19 of its obligations or responsibilities under this compact or the promulgated rules, the  
61.20 commission shall:

61.21 (i) provide written notice to the defaulting state and other member states of the nature  
61.22 of the default, the proposed means of curing the default, or any other action to be taken by  
61.23 the commission; and

61.24 (ii) provide remedial training and specific technical assistance regarding the default;

61.25 (2) if a state in default fails to cure the default, the defaulting state may be terminated  
61.26 from the compact upon an affirmative vote of a majority of the member states, and all rights,  
61.27 privileges, and benefits conferred by this compact may be terminated on the effective date  
61.28 of termination. A cure of the default does not relieve the offending state of obligations or  
61.29 liabilities incurred during the period of default;

61.30 (3) termination of membership in the compact shall be imposed only after all other means  
61.31 of securing compliance have been exhausted. Notice of intent to suspend or terminate shall

62.1 be given by the commission to the governor, the majority and minority leaders of the  
62.2 defaulting state's legislature, and each of the member states;

62.3 (4) a state that has been terminated is responsible for all assessments, obligations, and  
62.4 liabilities incurred through the effective date of termination, including obligations that  
62.5 extend beyond the effective date of termination;

62.6 (5) the commission shall not bear any costs related to a state that is found to be in default  
62.7 or that has been terminated from the compact, unless agreed upon in writing between the  
62.8 commission and the defaulting state; and

62.9 (6) the defaulting state may appeal the action of the commission by petitioning the United  
62.10 States District Court for the District of Columbia or the federal district where the commission  
62.11 has its principal offices. The prevailing member shall be awarded all costs of such litigation,  
62.12 including reasonable attorney fees.

62.13 (c) Dispute resolution:

62.14 (1) upon request by a member state, the commission shall attempt to resolve disputes  
62.15 related to the compact that arise among member states and between member and nonmember  
62.16 states; and

62.17 (2) the commission shall promulgate a rule providing for both mediation and binding  
62.18 dispute resolution for disputes as appropriate.

62.19 (d) Enforcement:

62.20 (1) the commission, in the reasonable exercise of its discretion, shall enforce the  
62.21 provisions and rules of this compact;

62.22 (2) by majority vote, the commission may initiate legal action in the United States District  
62.23 Court for the District of Columbia or the federal district where the commission has its  
62.24 principal offices against a member state in default to enforce compliance with the provisions  
62.25 of the compact and its promulgated rules and bylaws. The relief sought may include both  
62.26 injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing  
62.27 member shall be awarded all costs of such litigation, including reasonable attorney fees;  
62.28 and

62.29 (3) the remedies herein shall not be the exclusive remedies of the commission. The  
62.30 commission may pursue any other remedies available under federal or state law.

62.31

## ARTICLE XI

63.1 DATE OF IMPLEMENTATION OF THE INTERSTATE COMPACT FOR PHYSICAL  
63.2 THERAPY PRACTICE AND ASSOCIATED RULES, WITHDRAWAL, AND  
63.3 AMENDMENTS

63.4 (a) The compact shall come into effect on the date on which the compact statute is  
63.5 enacted into law in the tenth member state. The provisions, which become effective at that  
63.6 time, shall be limited to the powers granted to the commission relating to assembly and the  
63.7 promulgation of rules. Thereafter, the commission shall meet and exercise rulemaking  
63.8 powers necessary to the implementation and administration of the compact.

63.9 (b) Any state that joins the compact subsequent to the commission's initial adoption of  
63.10 the rules shall be subject to the rules as they exist on the date on which the compact becomes  
63.11 law in that state. Any rule that has been previously adopted by the commission shall have  
63.12 the full force and effect of law on the day the compact becomes law in that state.

63.13 (c) Any member state may withdraw from this compact by enacting a statute repealing  
63.14 the same:

63.15 (1) a member state's withdrawal shall not take effect until six months after enactment  
63.16 of the repealing statute; and

63.17 (2) withdrawal shall not affect the continuing requirement of the withdrawing state's  
63.18 physical therapy licensing board to comply with the investigative and adverse action reporting  
63.19 requirements of this compact prior to the effective date of withdrawal.

63.20 (d) Nothing contained in this compact shall be construed to invalidate or prevent any  
63.21 physical therapy licensure agreement or other cooperative arrangement between a member  
63.22 state and a nonmember state that does not conflict with the provisions of this compact.

63.23 (e) This compact may be amended by the member states. No amendment to this compact  
63.24 shall become effective and binding upon any member state until it is enacted into the laws  
63.25 of all member states.

63.26 ARTICLE XII

63.27 CONSTRUCTION AND SEVERABILITY

63.28 This compact shall be liberally construed so as to effectuate the purposes thereof. The  
63.29 provisions of this compact shall be severable and if any phrase, clause, sentence, or provision  
63.30 of this compact is declared to be contrary to the constitution of any party state or of the  
63.31 United States or the applicability thereof to any government, agency, person, or circumstance  
63.32 is held invalid, the validity of the remainder of this compact and the applicability thereof  
63.33 to any government, agency, person, or circumstance shall not be affected thereby. If this

64.1 compact shall be held contrary to the constitution of any party state, the compact shall  
64.2 remain in full force and effect as to the remaining party states and in full force and effect  
64.3 as to the party state affected as to all severable matters.

64.4 **EFFECTIVE DATE.** This section is effective the day following final enactment. The  
64.5 Board of Physical Therapy must publish the effective date of the compact in the State  
64.6 Register and on the board's website.

64.7 **ARTICLE 4**  
64.8 **PROFESSIONAL COUNSELORS**

64.9 Section 1. **[148B.75] LICENSED PROFESSIONAL COUNSELOR INTERSTATE**  
64.10 **COMPACT.**

64.11 The licensed professional counselor interstate compact is enacted into law and entered  
64.12 into with all other jurisdictions legally joining in it, in the form substantially specified in  
64.13 this section.

64.14 ARTICLE I

64.15 TITLE

64.16 This statute shall be known and cited as the professional counselors licensure compact.

64.17 ARTICLE II

64.18 DEFINITIONS

64.19 (a) As used in this compact, and except as otherwise provided, the following definitions  
64.20 shall apply.

64.21 (b) "Active duty military" means full-time duty status in the active uniformed service  
64.22 of the United States, including members of the national guard and reserve on active duty  
64.23 orders pursuant to United States Code, title 10, chapters 1209 and 1211.

64.24 (c) "Adverse action" means any administrative, civil, equitable, or criminal action  
64.25 permitted by a state's laws which is imposed by a licensing board or other authority against  
64.26 a licensed professional counselor, including actions against an individual's license or privilege  
64.27 to practice such as revocation, suspension, probation, monitoring of the licensee, limitation  
64.28 on the licensee's practice, or any other encumbrance on licensure affecting a licensed  
64.29 professional counselor's authorization to practice, including issuance of a cease and desist  
64.30 action.

65.1 (d) "Alternative program" means a non-disciplinary monitoring or practice remediation  
65.2 process approved by a professional counseling licensing board to address impaired  
65.3 practitioners.

65.4 (e) "Continuing competence" and "continuing education" means a requirement, as a  
65.5 condition of license renewal, to provide evidence of participation in, or completion of,  
65.6 educational and professional activities relevant to practice or area of work.

65.7 (f) "Counseling compact commission" or "commission" means the national administrative  
65.8 body whose membership consists of all states that have enacted the compact.

65.9 (g) "Current significant investigative information" means:

65.10 (1) investigative information that a licensing board, after a preliminary inquiry that  
65.11 includes notification and an opportunity for the licensed professional counselor to respond,  
65.12 if required by state law, has reason to believe is not groundless and, if proved true, would  
65.13 indicate more than a minor infraction; or

65.14 (2) investigative information that indicates that the licensed professional counselor  
65.15 represents an immediate threat to public health and safety regardless of whether the licensed  
65.16 professional counselor has been notified and had an opportunity to respond.

65.17 (h) "Data system" means a repository of information about licensees, including but not  
65.18 limited to continuing education, examination, licensure, investigative, privilege to practice,  
65.19 and adverse action information.

65.20 (i) "Encumbered license" means a license in which an adverse action restricts the practice  
65.21 of licensed professional counseling by the licensee and said adverse action has been reported  
65.22 to the National Practitioners Data Bank (NPDB).

65.23 (j) "Encumbrance" means a revocation or suspension of, or any limitation on, the full  
65.24 and unrestricted practice of licensed professional counseling by a licensing board.

65.25 (k) "Executive committee" means a group of directors elected or appointed to act on  
65.26 behalf of, and within the powers granted to them by, the commission.

65.27 (l) "Home state" means the member state that is the licensee's primary state of residence.

65.28 (m) "Impaired practitioner" means an individual who has a condition that may impair  
65.29 their ability to practice as a licensed professional counselor without some type of intervention  
65.30 and may include but is not limited to alcohol and drug dependence, mental health impairment,  
65.31 and neurological or physical impairment.

66.1 (n) "Investigative information" means information, records, and documents received or  
66.2 generated by a professional counseling licensing board pursuant to an investigation.

66.3 (o) "Jurisprudence requirement," if required by a member state, means the assessment  
66.4 of an individual's knowledge of the laws and rules governing the practice of professional  
66.5 counseling in a state.

66.6 (p) "Licensed professional counselor" means a counselor licensed by a member state,  
66.7 regardless of the title used by that state, to independently assess, diagnose, and treat  
66.8 behavioral health conditions.

66.9 (q) "Licensee" means an individual who currently holds an authorization from the state  
66.10 to practice as a licensed professional counselor.

66.11 (r) "Licensing board" means the agency of a state, or equivalent, that is responsible for  
66.12 the licensing and regulation of licensed professional counselors.

66.13 (s) "Member state" means a state that has enacted the compact.

66.14 (t) "Privilege to practice" means a legal authorization, which is equivalent to a license,  
66.15 permitting the practice of professional counseling in a remote state.

66.16 (u) "Professional counseling" means the assessment, diagnosis, and treatment of  
66.17 behavioral health conditions by a licensed professional counselor.

66.18 (v) "Remote state" means a member state other than the home state, where a licensee is  
66.19 exercising or seeking to exercise the privilege to practice.

66.20 (w) "Rule" means a regulation promulgated by the commission that has the force of law.

66.21 (x) "Single state license" means a licensed professional counselor license issued by a  
66.22 member state that authorizes practice only within the issuing state and does not include a  
66.23 privilege to practice in any other member state.

66.24 (y) "State" means any state, commonwealth, district, or territory of the United States  
66.25 that regulates the practice of professional counseling.

66.26 (z) "Telehealth" means the application of telecommunication technology to deliver  
66.27 professional counseling services remotely to assess, diagnose, and treat behavioral health  
66.28 conditions.

66.29 (aa) "Unencumbered license" means a license that authorizes a licensed professional  
66.30 counselor to engage in the full and unrestricted practice of professional counseling.

66.31 ARTICLE III

- 67.1 STATE PARTICIPATION IN THE COMPACT
- 67.2 (a) To participate in the compact, a state must currently:
- 67.3 (1) license and regulate licensed professional counselors;
- 67.4 (2) require licensees to pass a nationally recognized exam approved by the commission;
- 67.5 (3) require licensees to have a 60 semester-hour or 90 quarter-hour master's degree in
- 67.6 counseling or 60 semester-hours or 90 quarter-hours of graduate coursework including the
- 67.7 following topic areas:
- 67.8 (i) professional counseling orientation and ethical practice;
- 67.9 (ii) social and cultural diversity;
- 67.10 (iii) human growth and development;
- 67.11 (iv) career development;
- 67.12 (v) counseling and helping relationships;
- 67.13 (vi) group counseling and group work;
- 67.14 (vii) diagnosis and treatment; assessment and testing;
- 67.15 (viii) research and program evaluation; and
- 67.16 (ix) other areas as determined by the commission;
- 67.17 (4) require licensees to complete a supervised postgraduate professional experience as
- 67.18 defined by the commission; and
- 67.19 (5) have a mechanism in place for receiving and investigating complaints about licensees.
- 67.20 (b) A member state shall:
- 67.21 (1) participate fully in the commission's data system, including using the commission's
- 67.22 unique identifier as defined in rules;
- 67.23 (2) notify the commission, in compliance with the terms of the compact and rules, of
- 67.24 any adverse action or the availability of investigative information regarding a licensee;
- 67.25 (3) implement or utilize procedures for considering the criminal history records of
- 67.26 applicants for an initial privilege to practice. These procedures shall include the submission
- 67.27 of fingerprints or other biometric-based information by applicants for the purpose of obtaining
- 67.28 an applicant's criminal history record information from the Federal Bureau of Investigation
- 67.29 and the agency responsible for retaining that state's criminal records;

68.1 (i) a member state must fully implement a criminal background check requirement,  
68.2 within a time frame established by rule, by receiving the results of the Federal Bureau of  
68.3 Investigation record search and shall use the results in making licensure decisions; and

68.4 (ii) communication between a member state, the commission, and among member states  
68.5 regarding the verification of eligibility for licensure through the compact shall not include  
68.6 any information received from the Federal Bureau of Investigation relating to a federal  
68.7 criminal records check performed by a member state under Public Law 92-544;

68.8 (4) comply with the rules of the commission;

68.9 (5) require an applicant to obtain or retain a license in the home state and meet the home  
68.10 state's qualifications for licensure or renewal of licensure, as well as all other applicable  
68.11 state laws;

68.12 (6) grant the privilege to practice to a licensee holding a valid unencumbered license in  
68.13 another member state in accordance with the terms of the compact and rules; and

68.14 (7) provide for the attendance of the state's commissioner to the counseling compact  
68.15 commission meetings.

68.16 (c) Member states may charge a fee for granting the privilege to practice.

68.17 (d) Individuals not residing in a member state shall continue to be able to apply for a  
68.18 member state's single state license as provided under the laws of each member state. However,  
68.19 the single state license granted to these individuals shall not be recognized as granting a  
68.20 privilege to practice professional counseling in any other member state.

68.21 (e) Nothing in this compact shall affect the requirements established by a member state  
68.22 for the issuance of a single state license.

68.23 (f) A license issued to a licensed professional counselor by a home state to a resident in  
68.24 that state shall be recognized by each member state as authorizing a licensed professional  
68.25 counselor to practice professional counseling, under a privilege to practice, in each member  
68.26 state.

68.27 ARTICLE IV

68.28 PRIVILEGE TO PRACTICE

68.29 (a) To exercise the privilege to practice under the terms and provisions of the compact,  
68.30 the licensee shall:

68.31 (1) hold a license in the home state;

- 69.1 (2) have a valid United States Social Security number or national practitioner identifier;
- 69.2 (3) be eligible for a privilege to practice in any member state in accordance with this
- 69.3 article, paragraphs (d), (g), and (h);
- 69.4 (4) have not had any encumbrance or restriction against any license or privilege to
- 69.5 practice within the previous two years;
- 69.6 (5) notify the commission that the licensee is seeking the privilege to practice within a
- 69.7 remote state(s);
- 69.8 (6) pay any applicable fees, including any state fee, for the privilege to practice;
- 69.9 (7) meet any continuing competence or education requirements established by the home
- 69.10 state;
- 69.11 (8) meet any jurisprudence requirements established by the remote state in which the
- 69.12 licensee is seeking a privilege to practice; and
- 69.13 (9) report to the commission any adverse action, encumbrance, or restriction on license
- 69.14 taken by any nonmember state within 30 days from the date the action is taken.
- 69.15 (b) The privilege to practice is valid until the expiration date of the home state license.
- 69.16 The licensee must comply with the requirements of this article, paragraph (a), to maintain
- 69.17 the privilege to practice in the remote state.
- 69.18 (c) A licensee providing professional counseling in a remote state under the privilege
- 69.19 to practice shall adhere to the laws and regulations of the remote state.
- 69.20 (d) A licensee providing professional counseling services in a remote state is subject to
- 69.21 that state's regulatory authority. A remote state may, in accordance with due process and
- 69.22 that state's laws, remove a licensee's privilege to practice in the remote state for a specific
- 69.23 period of time, impose fines, or take any other necessary actions to protect the health and
- 69.24 safety of its citizens. The licensee may be ineligible for a privilege to practice in any member
- 69.25 state until the specific time for removal has passed and all fines are paid.
- 69.26 (e) If a home state license is encumbered, the licensee shall lose the privilege to practice
- 69.27 in any remote state until the following occur:
- 69.28 (1) the home state license is no longer encumbered; and
- 69.29 (2) have not had any encumbrance or restriction against any license or privilege to
- 69.30 practice within the previous two years.

70.1 (f) Once an encumbered license in the home state is restored to good standing, the  
70.2 licensee must meet the requirements of this article, paragraph (a), to obtain a privilege to  
70.3 practice in any remote state.

70.4 (g) If a licensee's privilege to practice in any remote state is removed, the individual  
70.5 may lose the privilege to practice in all other remote states until the following occur:

70.6 (1) the specific period of time for which the privilege to practice was removed has ended;

70.7 (2) all fines have been paid; and

70.8 (3) have not had any encumbrance or restriction against any license or privilege to  
70.9 practice within the previous two years.

70.10 (h) Once the requirements of this article, paragraph (g), have been met, the licensee must  
70.11 meet the requirements in this article, paragraph (a), to obtain a privilege to practice in a  
70.12 remote state.

70.13 ARTICLE V

70.14 OBTAINING A NEW HOME STATE LICENSE BASED ON A PRIVILEGE TO  
70.15 PRACTICE

70.16 (a) A licensed professional counselor may hold a home state license, which allows for  
70.17 a privilege to practice in other member states, in only one member state at a time.

70.18 (b) If a licensed professional counselor changes primary state of residence by moving  
70.19 between two member states:

70.20 (1) the licensed professional counselor shall file an application for obtaining a new home  
70.21 state license based on a privilege to practice, pay all applicable fees, and notify the current  
70.22 and new home state in accordance with applicable rules adopted by the commission;

70.23 (2) upon receipt of an application for obtaining a new home state license by virtue of a  
70.24 privilege to practice, the new home state shall verify that the licensed professional counselor  
70.25 meets the pertinent criteria outlined in article IV via the data system, without need for  
70.26 primary source verification, except for:

70.27 (i) a Federal Bureau of Investigation fingerprint-based criminal background check if not  
70.28 previously performed or updated pursuant to applicable rules adopted by the commission  
70.29 in accordance with Public Law 92-544;

70.30 (ii) other criminal background checks as required by the new home state; and

70.31 (iii) completion of any requisite jurisprudence requirements of the new home state;

71.1 (3) the former home state shall convert the former home state license into a privilege to  
71.2 practice once the new home state has activated the new home state license in accordance  
71.3 with applicable rules adopted by the commission;

71.4 (4) notwithstanding any other provision of this compact, if the licensed professional  
71.5 counselor cannot meet the criteria in article VI, the new home state may apply its  
71.6 requirements for issuing a new single state license; and

71.7 (5) the licensed professional counselor shall pay all applicable fees to the new home  
71.8 state in order to be issued a new home state license.

71.9 (c) If a licensed professional counselor changes primary state of residence by moving  
71.10 from a member state to a nonmember state, or from a nonmember state to a member state,  
71.11 the state criteria shall apply for issuance of a single state license in the new state.

71.12 (d) Nothing in this compact shall interfere with a licensee's ability to hold a single state  
71.13 license in multiple states, however, for the purposes of this compact, a licensee shall have  
71.14 only one home state license.

71.15 (e) Nothing in this compact shall affect the requirements established by a member state  
71.16 for the issuance of a single state license.

#### 71.17 ARTICLE VI

##### 71.18 ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES

71.19 Active duty military personnel, or their spouse, shall designate a home state where the  
71.20 individual has a current license in good standing. The individual may retain the home state  
71.21 designation during the period the service member is on active duty. Subsequent to designating  
71.22 a home state, the individual shall only change their home state through application for  
71.23 licensure in the new state or through the process outlined in article V.

#### 71.24 ARTICLE VII

##### 71.25 COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

71.26 (a) Member states shall recognize the right of a licensed professional counselor, licensed  
71.27 by a home state in accordance with article III and under rules promulgated by the commission,  
71.28 to practice professional counseling in any member state via telehealth under a privilege to  
71.29 practice as provided in the compact and rules promulgated by the commission.

71.30 (b) A licensee providing professional counseling services in a remote state under the  
71.31 privilege to practice shall adhere to the laws and regulations of the remote state.

#### 71.32 ARTICLE VIII

72.1

ADVERSE ACTIONS

72.2 (a) In addition to the other powers conferred by state law, a remote state shall have the  
72.3 authority, in accordance with existing state due process law, to:

72.4 (1) take adverse action against a licensed professional counselor's privilege to practice  
72.5 within that member state; and

72.6 (2) issue subpoenas for both hearings and investigations that require the attendance and  
72.7 testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing  
72.8 board in a member state for the attendance and testimony of witnesses or the production of  
72.9 evidence from another member state shall be enforced in the latter state by any court of  
72.10 competent jurisdiction according to the practice and procedure of that court applicable to  
72.11 subpoenas issued in proceedings pending before it. The issuing authority shall pay any  
72.12 witness fees, travel expenses, mileage, and other fees required by the service statutes of the  
72.13 state in which the witnesses or evidence are located.

72.14 (b) Only the home state shall have the power to take adverse action against a licensed  
72.15 professional counselor's license issued by the home state.

72.16 (c) For purposes of taking adverse action, the home state shall give the same priority  
72.17 and effect to reported conduct received from a member state as it would if the conduct had  
72.18 occurred within the home state. In so doing, the home state shall apply its own state laws  
72.19 to determine appropriate action.

72.20 (d) The home state shall complete any pending investigations of a licensed professional  
72.21 counselor who changes primary state of residence during the course of the investigations.  
72.22 The home state shall also have the authority to take appropriate action and shall promptly  
72.23 report the conclusions of the investigations to the administrator of the data system. The  
72.24 administrator of the coordinated licensure information system shall promptly notify the new  
72.25 home state of any adverse actions.

72.26 (e) A member state, if otherwise permitted by state law, may recover from the affected  
72.27 licensed professional counselor the costs of investigations and dispositions of cases resulting  
72.28 from any adverse action taken against that licensed professional counselor.

72.29 (f) A member state may take adverse action based on the factual findings of the remote  
72.30 state, provided that the member state follows its own procedures for taking the adverse  
72.31 action.

72.32 (g) Joint investigations:

73.1 (1) in addition to the authority granted to a member state by its respective professional  
 73.2 counseling practice act or other applicable state law, any member state may participate with  
 73.3 other member states in joint investigations of licensees; and

73.4 (2) member states shall share any investigative, litigation, or compliance materials in  
 73.5 furtherance of any joint or individual investigation initiated under the compact.

73.6 (h) If adverse action is taken by the home state against the license of a licensed  
 73.7 professional counselor, the licensed professional counselor's privilege to practice in all other  
 73.8 member states shall be deactivated until all encumbrances have been removed from the  
 73.9 state license. All home state disciplinary orders that impose adverse action against the license  
 73.10 of a licensed professional counselor shall include a statement that the licensed professional  
 73.11 counselor's privilege to practice is deactivated in all member states during the pendency of  
 73.12 the order.

73.13 (i) If a member state takes adverse action, it shall promptly notify the administrator of  
 73.14 the data system. The administrator of the data system shall promptly notify the home state  
 73.15 of any adverse actions by remote states.

73.16 (j) Nothing in this compact shall override a member state's decision that participation  
 73.17 in an alternative program may be used in lieu of adverse action.

## 73.18 ARTICLE IX

### 73.19 ESTABLISHMENT OF COUNSELING COMPACT COMMISSION

73.20 (a) The compact member states hereby create and establish a joint public agency known  
 73.21 as the counseling compact commission:

73.22 (1) the commission is an instrumentality of the compact states;

73.23 (2) except as provided under paragraph (i), venue is proper and judicial proceedings by  
 73.24 or against the commission shall be brought solely and exclusively in a court of competent  
 73.25 jurisdiction where the principal office of the commission is located. The commission may  
 73.26 waive venue and jurisdictional defenses to the extent it adopts or consents to participate in  
 73.27 alternative dispute resolution proceedings; and

73.28 (3) nothing in this compact shall be construed to be a waiver of sovereign immunity.

73.29 (b) Membership, voting, and meetings:

73.30 (1) each member state shall have and be limited to one delegate selected by that member  
 73.31 state's licensing board;

73.32 (2) the delegate shall be either:

- 74.1 (i) a current member of the licensing board at the time of appointment who is a licensed  
74.2 professional counselor or public member; or
- 74.3 (ii) an administrator of the licensing board;
- 74.4 (3) any delegate may be removed or suspended from office as provided by the law of  
74.5 the state from which the delegate is appointed;
- 74.6 (4) the member state licensing board shall fill any vacancy occurring on the commission  
74.7 within 60 days;
- 74.8 (5) each delegate shall be entitled to one vote with regard to the promulgation of rules  
74.9 and creation of bylaws and shall otherwise have an opportunity to participate in the business  
74.10 and affairs of the commission;
- 74.11 (6) a delegate shall vote in person or by such other means as provided in the bylaws.  
74.12 The bylaws may provide for delegates' participation in meetings by telephone or other means  
74.13 of communication;
- 74.14 (7) the commission shall meet at least once during each calendar year. Additional  
74.15 meetings shall be held as set forth in the bylaws; and
- 74.16 (8) the commission shall by rule establish a term of office for delegates and may by rule  
74.17 establish term limits.
- 74.18 (c) The commission shall have the following powers and duties:
- 74.19 (1) establish the fiscal year of the commission;
- 74.20 (2) establish bylaws;
- 74.21 (3) maintain its financial records in accordance with the bylaws;
- 74.22 (4) meet and take such actions as are consistent with the provisions of this compact and  
74.23 the bylaws;
- 74.24 (5) promulgate rules which shall be binding to the extent and in the manner provided  
74.25 for in the compact;
- 74.26 (6) bring and prosecute legal proceedings or actions in the name of the commission,  
74.27 provided that the standing of any state licensing board to sue or be sued under applicable  
74.28 law shall not be affected;
- 74.29 (7) purchase and maintain insurance and bonds;
- 74.30 (8) borrow, accept, or contract for services of personnel, including but not limited to  
74.31 employees of a member state;

75.1 (9) hire employees, elect or appoint officers, fix compensation, define duties, grant such  
75.2 individuals appropriate authority to carry out the purposes of the compact, and establish the  
75.3 commission's personnel policies and programs relating to conflicts of interest, qualifications  
75.4 of personnel, and other related personnel matters;

75.5 (10) accept any and all appropriate donations and grants of money, equipment, supplies,  
75.6 materials, and services and to receive, utilize, and dispose of the same; provided that at all  
75.7 times the commission shall avoid any appearance of impropriety and conflict of interest;

75.8 (11) lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold,  
75.9 improve, or use any property, real, personal, or mixed; provided that at all times the  
75.10 commission shall avoid any appearance of impropriety;

75.11 (12) sell convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of  
75.12 any property real, personal, or mixed;

75.13 (13) establish a budget and make expenditures;

75.14 (14) borrow money;

75.15 (15) appoint committees, including standing committees composed of members, state  
75.16 regulators, state legislators or their representatives, and consumer representatives, and such  
75.17 other interested persons as may be designated in this compact and the bylaws;

75.18 (16) provide and receive information from, and cooperate with, law enforcement agencies;

75.19 (17) establish and elect an executive committee; and

75.20 (18) perform such other functions as may be necessary or appropriate to achieve the  
75.21 purposes of this compact consistent with the state regulation of professional counseling  
75.22 licensure and practice.

75.23 (d) The executive committee:

75.24 (1) the executive committee shall have the power to act on behalf of the commission  
75.25 according to the terms of this compact;

75.26 (2) the executive committee shall be composed of up to eleven members:

75.27 (i) seven voting members who are elected by the commission from the current  
75.28 membership of the commission;

75.29 (ii) up to four ex-officio, nonvoting members from four recognized national professional  
75.30 counselor organizations; and

75.31 (iii) the ex-officio members will be selected by their respective organizations;

- 76.1 (3) the commission may remove any member of the executive committee as provided  
76.2 in the bylaws;
- 76.3 (4) the executive committee shall meet at least annually; and
- 76.4 (5) the executive committee shall have the following duties and responsibilities:
- 76.5 (i) recommend to the entire commission changes to the rules or bylaws, changes to this  
76.6 compact legislation, fees paid by compact member states such as annual dues, and any  
76.7 commission compact fee charged to licensees for the privilege to practice;
- 76.8 (ii) ensure compact administration services are appropriately provided, contractual or  
76.9 otherwise;
- 76.10 (iii) prepare and recommend the budget;
- 76.11 (iv) maintain financial records on behalf of the commission;
- 76.12 (v) monitor compact compliance of member states and provide compliance reports to  
76.13 the commission;
- 76.14 (vi) establish additional committees as necessary; and
- 76.15 (vii) other duties as provided in rules or bylaws.
- 76.16 (e) Meetings of the commission:
- 76.17 (1) all meetings shall be open to the public, and public notice of meetings shall be given  
76.18 in the same manner as required under the rulemaking provisions in article XI;
- 76.19 (2) the commission or the executive committee or other committees of the commission  
76.20 may convene in a closed, non-public meeting if the commission or executive committee or  
76.21 other committees of the commission must discuss:
- 76.22 (i) non-compliance of a member state with its obligations under the compact;
- 76.23 (ii) the employment, compensation, discipline, or other matters, practices, or procedures  
76.24 related to specific employees or other matters related to the commission's internal personnel  
76.25 practices and procedures;
- 76.26 (iii) current, threatened, or reasonably anticipated litigation;
- 76.27 (iv) negotiation of contracts for the purchase, lease, or sale of goods, services, or real  
76.28 estate;
- 76.29 (v) accusing any person of a crime or formally censuring any person;

77.1 (vi) disclosure of trade secrets or commercial or financial information that is privileged  
77.2 or confidential;

77.3 (vii) disclosure of information of a personal nature where disclosure would constitute a  
77.4 clearly unwarranted invasion of personal privacy;

77.5 (viii) disclosure of investigative records compiled for law enforcement purposes;

77.6 (ix) disclosure of information related to any investigative reports prepared by or on  
77.7 behalf of or for use of the commission or other committee charged with responsibility of  
77.8 investigation or determination of compliance issues pursuant to the compact; or

77.9 (x) matters specifically exempted from disclosure by federal or member state statute;

77.10 (3) if a meeting, or portion of a meeting, is closed pursuant to this provision, the  
77.11 commission's legal counsel or designee shall certify that the meeting may be closed and  
77.12 shall reference each relevant exempting provision; and

77.13 (4) the commission shall keep minutes that fully and clearly describe all matters discussed  
77.14 in a meeting and shall provide a full and accurate summary of actions taken and the reasons  
77.15 therefore, including a description of the views expressed. All documents considered in  
77.16 connection with an action shall be identified in such minutes. All minutes and documents  
77.17 of a closed meeting shall remain under seal, subject to release by a majority vote of the  
77.18 commission or order of a court of competent jurisdiction.

77.19 (f) Financing of the commission:

77.20 (i) the commission shall pay, or provide for the payment of, the reasonable expenses of  
77.21 its establishment, organization, and ongoing activities;

77.22 (ii) the commission may accept any and all appropriate revenue sources, donations, and  
77.23 grants of money, equipment, supplies, materials, and services;

77.24 (iii) the commission may levy on and collect an annual assessment from each member  
77.25 state or impose fees on other parties to cover the cost of the operations and activities of the  
77.26 commission and its staff, which must be in a total amount sufficient to cover its annual  
77.27 budget as approved each year for which revenue is not provided by other sources. The  
77.28 aggregate annual assessment amount shall be allocated based upon a formula to be determined  
77.29 by the commission, which shall promulgate a rule binding upon all member states;

77.30 (iv) the commission shall not incur obligations of any kind prior to securing the funds  
77.31 adequate to meet the same; nor shall the commission pledge the credit of any of the member  
77.32 states, except by and with the authority of the member state; and

78.1 (v) the commission shall keep accurate accounts of all receipts and disbursements. The  
78.2 receipts and disbursements of the commission shall be subject to the audit and accounting  
78.3 procedures established under its bylaws. However, all receipts and disbursements of funds  
78.4 handled by the commission shall be audited yearly by a certified or licensed public  
78.5 accountant, and the report of the audit shall be included in and become part of the annual  
78.6 report of the commission.

78.7 (g) Qualified immunity, defense, and indemnification:

78.8 (1) the members, officers, executive director, employees, and representatives of the  
78.9 commission shall be immune from suit and liability, either personally or in their official  
78.10 capacity, for any claim for damage to or loss of property or personal injury or other civil  
78.11 liability caused by or arising out of any actual or alleged act, error, or omission that occurred,  
78.12 or that the person against whom the claim is made had a reasonable basis for believing  
78.13 occurred within the scope of commission employment, duties, or responsibilities; provided  
78.14 that nothing in this paragraph shall be construed to protect any such person from suit or  
78.15 liability for any damage, loss, injury, or liability caused by the intentional or willful or  
78.16 wanton misconduct of that person;

78.17 (2) the commission shall defend any member, officer, executive director, employee, or  
78.18 representative of the commission in any civil action seeking to impose liability arising out  
78.19 of any actual or alleged act, error, or omission that occurred within the scope of commission  
78.20 employment, duties, or responsibilities, or that the person against whom the claim is made  
78.21 had a reasonable basis for believing occurred within the scope of commission employment,  
78.22 duties, or responsibilities; provided that nothing herein shall be construed to prohibit that  
78.23 person from retaining his or her own counsel; and provided further, that the actual or alleged  
78.24 act, error, or omission did not result from that person's intentional or willful or wanton  
78.25 misconduct; and

78.26 (3) the commission shall indemnify and hold harmless any member, officer, executive  
78.27 director, employee, or representative of the commission for the amount of any settlement  
78.28 or judgment obtained against that person arising out of any actual or alleged act, error, or  
78.29 omission that occurred within the scope of commission employment, duties, or  
78.30 responsibilities, or that such person had a reasonable basis for believing occurred within  
78.31 the scope of commission employment, duties, or responsibilities, provided that the actual  
78.32 or alleged act, error, or omission did not result from the intentional or willful or wanton  
78.33 misconduct of that person.

79.1 (h) Notwithstanding paragraph (g), clause (1), the liability of the executive director,  
79.2 employees, or representatives of the interstate commission, acting within the scope of their  
79.3 employment or duties, may not exceed the limits of liability set forth under the constitution  
79.4 and laws of this state for state officials, employees, and agents. This paragraph expressly  
79.5 incorporates section 3.736, and neither expands nor limits the rights and remedies provided  
79.6 under that statute.

79.7 (i) Except for a claim alleging a violation of this compact, a claim against the commission,  
79.8 its executive director, employees, or representatives alleging a violation of the constitution  
79.9 and laws of this state may be brought in any county where the plaintiff resides. Nothing in  
79.10 this paragraph creates a private right of action.

79.11 (j) Nothing in this compact shall be construed as a limitation on the liability of any  
79.12 licensee for professional malpractice or misconduct, which shall be governed solely by any  
79.13 other applicable state laws.

## 79.14 ARTICLE X

### 79.15 DATA SYSTEM

79.16 (a) The commission shall provide for the development, maintenance, operation, and  
79.17 utilization of a coordinated database and reporting system containing licensure, adverse  
79.18 action, and investigative information on all licensed individuals in member states.

79.19 (b) Notwithstanding any other provision of state law to the contrary, a member state  
79.20 shall submit a uniform data set to the data system on all individuals to whom this compact  
79.21 is applicable as required by the rules of the commission, including:

79.22 (1) identifying information;

79.23 (2) licensure data;

79.24 (3) adverse actions against a license or privilege to practice;

79.25 (4) nonconfidential information related to alternative program participation;

79.26 (5) any denial of application for licensure and the reason for such denial;

79.27 (6) current significant investigative information; and

79.28 (7) other information that may facilitate the administration of this compact, as determined  
79.29 by the rules of the commission.

79.30 (c) Investigative information pertaining to a licensee in any member state will only be  
79.31 available to other member states.

80.1 (d) The commission shall promptly notify all member states of any adverse action taken  
80.2 against a licensee or an individual applying for a license. Adverse action information  
80.3 pertaining to a licensee in any member state will be available to any other member state.

80.4 (e) Member states contributing information to the data system may designate information  
80.5 that may not be shared with the public without the express permission of the contributing  
80.6 state.

80.7 (f) Any information submitted to the data system that is subsequently required to be  
80.8 expunged by the laws of the member state contributing the information shall be removed  
80.9 from the data system.

## 80.10 ARTICLE XI

### 80.11 RULEMAKING

80.12 (a) The commission shall promulgate reasonable rules in order to effectively and  
80.13 efficiently achieve the purpose of the compact. Notwithstanding the foregoing, in the event  
80.14 the commission exercises its rulemaking authority in a manner that is beyond the scope of  
80.15 the purposes of the compact, or the powers granted hereunder, then such an action by the  
80.16 commission shall be invalid and have no force or effect.

80.17 (b) The commission shall exercise its rulemaking powers pursuant to the criteria set  
80.18 forth in this article and the rules adopted thereunder. Rules and amendments shall become  
80.19 binding as of the date specified in each rule or amendment.

80.20 (c) If a majority of the legislatures of the member states rejects a rule, by enactment of  
80.21 a statute or resolution in the same manner used to adopt the compact within four years of  
80.22 the date of adoption of the rule, then such rule shall have no further force and effect in any  
80.23 member state.

80.24 (d) Rules or amendments to the rules shall be adopted at a regular or special meeting of  
80.25 the commission.

80.26 (e) Prior to promulgation and adoption of a final rule or rules by the commission, and  
80.27 at least thirty days in advance of the meeting at which the rule will be considered and voted  
80.28 upon, the commission shall file a notice of proposed rulemaking:

80.29 (1) on the website of the commission or other publicly accessible platform; and

80.30 (2) on the website of each member state professional counseling licensing board or other  
80.31 publicly accessible platform or the publication in which each state would otherwise publish  
80.32 proposed rules.

- 81.1 (f) The notice of proposed rulemaking shall include:
- 81.2 (1) the proposed time, date, and location of the meeting in which the rule will be
- 81.3 considered and voted upon;
- 81.4 (2) the text of the proposed rule or amendment and the reason for the proposed rule;
- 81.5 (3) a request for comments on the proposed rule from any interested person; and
- 81.6 (4) the manner in which interested persons may submit notice to the commission of their
- 81.7 intention to attend the public hearing and any written comments.
- 81.8 (g) Prior to adoption of a proposed rule, the commission shall allow persons to submit
- 81.9 written data, facts, opinions, and arguments, which shall be made available to the public.
- 81.10 (h) The commission shall grant an opportunity for a public hearing before it adopts a
- 81.11 rule or amendment if a hearing is requested by:
- 81.12 (1) at least 25 persons;
- 81.13 (2) a state or federal governmental subdivision or agency; or
- 81.14 (3) an association having at least 25 members.
- 81.15 (i) If a hearing is held on the proposed rule or amendment, the commission shall publish
- 81.16 the place, time, and date of the scheduled public hearing. If the hearing is held via electronic
- 81.17 means, the commission shall publish the mechanism for access to the electronic hearing:
- 81.18 (1) all persons wishing to be heard at the hearing shall notify the executive director of
- 81.19 the commission or other designated member in writing of their desire to appear and testify
- 81.20 at the hearing not less than five business days before the scheduled date of the hearing;
- 81.21 (2) hearings shall be conducted in a manner providing each person who wishes to
- 81.22 comment a fair and reasonable opportunity to comment orally or in writing;
- 81.23 (3) all hearings will be recorded. A copy of the recording will be made available on
- 81.24 request; and
- 81.25 (4) nothing in this article shall be construed as requiring a separate hearing on each rule.
- 81.26 Rules may be grouped for the convenience of the commission at hearings required by this
- 81.27 article.
- 81.28 (j) Following the scheduled hearing date, or by the close of business on the scheduled
- 81.29 hearing date if the hearing was not held, the commission shall consider all written and oral
- 81.30 comments received.

82.1 (k) If no written notice of intent to attend the public hearing by interested parties is  
 82.2 received, the commission may proceed with promulgation of the proposed rule without a  
 82.3 public hearing.

82.4 (l) The commission shall, by majority vote of all members, take final action on the  
 82.5 proposed rule and shall determine the effective date of the rule, if any, based on the  
 82.6 rulemaking record and the full text of the rule.

82.7 (m) Upon determination that an emergency exists, the commission may consider and  
 82.8 adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided  
 82.9 that the usual rulemaking procedures provided in the compact and in this article shall be  
 82.10 retroactively applied to the rule as soon as reasonably possible, in no event later than 90  
 82.11 days after the effective date of the rule. For the purposes of this provision, an emergency  
 82.12 rule is one that must be adopted immediately in order to:

82.13 (1) meet an imminent threat to public health, safety, or welfare;

82.14 (2) prevent a loss of commission or member state funds;

82.15 (3) meet a deadline for the promulgation of an administrative rule that is established by  
 82.16 federal law or rule; or

82.17 (4) protect public health and safety.

82.18 (n) The commission or an authorized committee of the commission may direct revisions  
 82.19 to a previously adopted rule or amendment for purposes of correcting typographical errors,  
 82.20 errors in format, errors in consistency, or grammatical errors. Public notice of any revisions  
 82.21 shall be posted on the website of the commission. The revision shall be subject to challenge  
 82.22 by any person for a period of thirty days after posting. The revision may be challenged only  
 82.23 on grounds that the revision results in a material change to a rule. A challenge shall be made  
 82.24 in writing and delivered to the chair of the commission prior to the end of the notice period.  
 82.25 If no challenge is made, the revision will take effect without further action. If the revision  
 82.26 is challenged, the revision may not take effect without the approval of the commission.

## 82.27 ARTICLE XII

### 82.28 OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

82.29 (a) Oversight:

82.30 (1) the executive, legislative, and judicial branches of state government in each member  
 82.31 state shall enforce this compact and take all actions necessary and appropriate to effectuate

83.1 the compact's purposes and intent. The provisions of this compact and the rules promulgated  
83.2 hereunder shall have standing as statutory law;

83.3 (2) all courts shall take judicial notice of the compact and the rules in any judicial or  
83.4 administrative proceeding in a member state pertaining to the subject matter of this compact  
83.5 which may affect the powers, responsibilities, or actions of the commission; and

83.6 (3) the commission shall be entitled to receive service of process in any such proceeding  
83.7 and shall have standing to intervene in such a proceeding for all purposes. Failure to provide  
83.8 service of process to the commission shall render a judgment or order void as to the  
83.9 commission, this compact, or promulgated rules.

83.10 (b) Default, technical assistance, and termination:

83.11 (1) if the commission determines that a member state has defaulted in the performance  
83.12 of its obligations or responsibilities under this compact or the promulgated rules, the  
83.13 commission shall:

83.14 (i) provide written notice to the defaulting state and other member states of the nature  
83.15 of the default, the proposed means of curing the default, or any other action to be taken by  
83.16 the commission; and

83.17 (ii) provide remedial training and specific technical assistance regarding the default.

83.18 (c) If a state in default fails to cure the default, the defaulting state may be terminated  
83.19 from the compact upon an affirmative vote of a majority of the member states, and all rights,  
83.20 privileges, and benefits conferred by this compact may be terminated on the effective date  
83.21 of termination. A cure of the default does not relieve the offending state of obligations or  
83.22 liabilities incurred during the period of default.

83.23 (d) Termination of membership in the compact shall be imposed only after all other  
83.24 means of securing compliance have been exhausted. Notice of intent to suspend or terminate  
83.25 shall be given by the commission to the governor, the majority and minority leaders of the  
83.26 defaulting state's legislature, and each of the member states.

83.27 (e) A state that has been terminated is responsible for all assessments, obligations, and  
83.28 liabilities incurred through the effective date of termination, including obligations that  
83.29 extend beyond the effective date of termination.

83.30 (f) The commission shall not bear any costs related to a state that is found to be in default  
83.31 or that has been terminated from the compact, unless agreed upon in writing between the  
83.32 commission and the defaulting state.

84.1 (g) The defaulting state may appeal the action of the commission by petitioning the  
 84.2 United States District Court for the District of Columbia or the federal district where the  
 84.3 commission has its principal offices. The prevailing member shall be awarded all costs of  
 84.4 such litigation, including reasonable attorney fees.

84.5 (h) Dispute resolution:

84.6 (1) upon request by a member state, the commission shall attempt to resolve disputes  
 84.7 related to the compact that arise among member states and between member and nonmember  
 84.8 states; and

84.9 (2) the commission shall promulgate a rule providing for both mediation and binding  
 84.10 dispute resolution for disputes as appropriate.

84.11 (i) Enforcement:

84.12 (1) the commission, in the reasonable exercise of its discretion, shall enforce the  
 84.13 provisions and rules of this compact;

84.14 (2) by majority vote, the commission may initiate legal action in the United States District  
 84.15 Court for the District of Columbia or the federal district where the commission has its  
 84.16 principal offices against a member state in default to enforce compliance with the provisions  
 84.17 of the compact and its promulgated rules and bylaws. The relief sought may include both  
 84.18 injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing  
 84.19 member shall be awarded all costs of such litigation, including reasonable attorney fees;  
 84.20 and

84.21 (3) the remedies herein shall not be the exclusive remedies of the commission. The  
 84.22 commission may pursue any other remedies available under federal or state law.

### 84.23 ARTICLE XIII

#### 84.24 DATE OF IMPLEMENTATION OF THE COUNSELING COMPACT COMMISSION 84.25 AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT

84.26 (a) The compact shall come into effect on the date on which the compact statute is  
 84.27 enacted into law in the tenth member state. The provisions, which become effective at that  
 84.28 time, shall be limited to the powers granted to the commission relating to assembly and the  
 84.29 promulgation of rules. Thereafter, the commission shall meet and exercise rulemaking  
 84.30 powers necessary to the implementation and administration of the compact.

84.31 (b) Any state that joins the compact subsequent to the commission's initial adoption of  
 84.32 the rules shall be subject to the rules as they exist on the date on which the compact becomes

85.1 law in that state. Any rule that has been previously adopted by the commission shall have  
 85.2 the full force and effect of law on the day the compact becomes law in that state.

85.3 (c) Any member state may withdraw from this compact by enacting a statute repealing  
 85.4 the same.

85.5 (1) a member state's withdrawal shall not take effect until six months after enactment  
 85.6 of the repealing statute; and

85.7 (2) withdrawal shall not affect the continuing requirement of the withdrawing state's  
 85.8 professional counseling licensing board to comply with the investigative and adverse action  
 85.9 reporting requirements of this compact prior to the effective date of withdrawal.

85.10 (d) Nothing contained in this compact shall be construed to invalidate or prevent any  
 85.11 professional counseling licensure agreement or other cooperative arrangement between a  
 85.12 member state and a nonmember state that does not conflict with the provisions of this  
 85.13 compact.

85.14 (e) This compact may be amended by the member states. No amendment to this compact  
 85.15 shall become effective and binding upon any member state until it is enacted into the laws  
 85.16 of all member states.

#### 85.17 ARTICLE XIV

#### 85.18 CONSTRUCTION AND SEVERABILITY

85.19 This compact shall be liberally construed so as to effectuate the purposes thereof. The  
 85.20 provisions of this compact shall be severable and if any phrase, clause, sentence, or provision  
 85.21 of this compact is declared to be contrary to the constitution of any member state or of the  
 85.22 United States or the applicability thereof to any government, agency, person, or circumstance  
 85.23 is held invalid, the validity of the remainder of this compact and the applicability thereof  
 85.24 to any government, agency, person, or circumstance shall not be affected thereby. If this  
 85.25 compact shall be held contrary to the constitution of any member state, the compact shall  
 85.26 remain in full force and effect as to the remaining member states and in full force and effect  
 85.27 as to the member state affected as to all severable matters.

#### 85.28 ARTICLE XV

#### 85.29 BINDING EFFECT OF COMPACT AND OTHER LAWS

85.30 (a) A licensee providing professional counseling services in a remote state under the  
 85.31 privilege to practice shall adhere to the laws and regulations, including scope of practice,  
 85.32 of the remote state.

86.1 (b) Nothing herein prevents the enforcement of any other law of a member state that is  
 86.2 not inconsistent with the compact.

86.3 (c) Any laws in a member state in conflict with the compact are superseded to the extent  
 86.4 of the conflict.

86.5 (d) Any lawful actions of the commission, including all rules and bylaws properly  
 86.6 promulgated by the commission, are binding upon the member states.

86.7 (e) All permissible agreements between the commission and the member states are  
 86.8 binding in accordance with their terms.

86.9 (f) In the event any provision of the compact exceeds the constitutional limits imposed  
 86.10 on the legislature of any member state, the provision shall be ineffective to the extent of the  
 86.11 conflict with the constitutional provision in question in that member state.

## 86.12 **ARTICLE 5**

### 86.13 **AUDIOLOGIST AND SPEECH-LANGUAGE PATHOLOGISTS**

#### 86.14 Section 1. [148.5185] AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY 86.15 INTERSTATE COMPACT.

86.16 The Audiology and Speech-Language Pathology Interstate Compact is enacted into law  
 86.17 and entered into with all other jurisdictions legally joining in it in the form substantially  
 86.18 specified in this section.

## 86.19 ARTICLE I

### 86.20 DEFINITIONS

86.21 As used in this compact, and except as otherwise provided, the following definitions  
 86.22 shall apply:

86.23 (A) "Active duty military" means full-time duty status in the active uniformed service  
 86.24 of the United States, including members of the National Guard and Reserve on active duty  
 86.25 orders pursuant to United States Code, title 10, sections 1209 and 1211.

86.26 (B) "Adverse action" means any administrative, civil, equitable, or criminal action  
 86.27 permitted by a state's laws which is imposed by a licensing board or other authority against  
 86.28 an audiologist or speech-language pathologist, including actions against an individual's  
 86.29 license or privilege to practice such as revocation, suspension, probation, monitoring of the  
 86.30 licensee, or restriction on the licensee's practice.

87.1 (C) "Alternative program" means a non-disciplinary monitoring process approved by  
87.2 an audiology or speech-language pathology licensing board to address impaired practitioners.

87.3 (D) "Audiologist" means an individual who is licensed by a state to practice audiology.

87.4 (E) "Audiology" means the care and services provided by a licensed audiologist as set  
87.5 forth in the member state's statutes and rules.

87.6 (F) "Audiology and Speech-Language Pathology Compact Commission" or "commission"  
87.7 means the national administrative body whose membership consists of all states that have  
87.8 enacted the compact.

87.9 (G) "Audiology and speech-language pathology licensing board," "audiology licensing  
87.10 board," "speech-language pathology licensing board," or "licensing board" means the agency  
87.11 of a state that is responsible for the licensing and regulation of audiologists or  
87.12 speech-language pathologists or both.

87.13 (H) "Compact privilege" means the authorization granted by a remote state to allow a  
87.14 licensee from another member state to practice as an audiologist or speech-language  
87.15 pathologist in the remote state under its laws and rules. The practice of audiology or  
87.16 speech-language pathology occurs in the member state where the patient, client, or student  
87.17 is located at the time of the patient, client, or student encounter.

87.18 (I) "Current significant investigative information" means investigative information that  
87.19 a licensing board, after an inquiry or investigation that includes notification and an  
87.20 opportunity for the audiologist or speech-language pathologist to respond, if required by  
87.21 state law, has reason to believe is not groundless and, if proved true, would indicate more  
87.22 than a minor infraction.

87.23 (J) "Data system" means a repository of information about licensees, including but not  
87.24 limited to continuing education, examination, licensure, investigation, compact privilege,  
87.25 and adverse action.

87.26 (K) "Encumbered license" means a license in which an adverse action restricts the  
87.27 practice of audiology or speech-language pathology by the licensee and said adverse action  
87.28 has been reported to the National Practitioners Data Bank (NPDB).

87.29 (L) "Executive committee" means a group of directors elected or appointed to act on  
87.30 behalf of, and within the powers granted to them by, the commission.

87.31 (M) "Home state" means the member state that is the licensee's primary state of residence.

88.1 (N) "Impaired practitioner" means individuals whose professional practice is adversely  
88.2 affected by substance abuse, addiction, or other health-related conditions.

88.3 (O) "Licensee" means an individual who currently holds an authorization from the state  
88.4 licensing board to practice as an audiologist or speech-language pathologist.

88.5 (P) "Member state" means a state that has enacted the compact.

88.6 (Q) "Privilege to practice" means a legal authorization permitting the practice of audiology  
88.7 or speech-language pathology in a remote state.

88.8 (R) "Remote state" means a member state other than the home state where a licensee is  
88.9 exercising or seeking to exercise the compact privilege.

88.10 (S) "Rule" means a regulation, principle, or directive promulgated by the commission  
88.11 that has the force of law.

88.12 (T) "Single-state license" means an audiology or speech-language pathology license  
88.13 issued by a member state that authorizes practice only within the issuing state and does not  
88.14 include a privilege to practice in any other member state.

88.15 (U) "Speech-language pathologist" means an individual who is licensed by a state to  
88.16 practice speech-language pathology.

88.17 (V) "Speech-language pathology" means the care and services provided by a licensed  
88.18 speech-language pathologist as set forth in the member state's statutes and rules.

88.19 (W) "State" means any state, commonwealth, district, or territory of the United States  
88.20 of America that regulates the practice of audiology and speech-language pathology.

88.21 (X) "State practice laws" means a member state's laws, rules, and regulations that govern  
88.22 the practice of audiology or speech-language pathology, define the scope of audiology or  
88.23 speech-language pathology practice, and create the methods and grounds for imposing  
88.24 discipline.

88.25 (Y) "Telehealth" means the application of telecommunication technology to deliver  
88.26 audiology or speech-language pathology services at a distance for assessment, intervention,  
88.27 or consultation.

## 88.28 ARTICLE II

### 88.29 STATE PARTICIPATION IN THE COMPACT

88.30 (A) A license issued to an audiologist or speech-language pathologist by a home state  
88.31 to a resident in that state shall be recognized by each member state as authorizing an

89.1 audiologist or speech-language pathologist to practice audiology or speech-language  
89.2 pathology, under a privilege to practice, in each member state.

89.3 (B) A state must implement or utilize procedures for considering the criminal history  
89.4 records of applicants for initial privilege to practice. These procedures shall include the  
89.5 submission of fingerprints or other biometric-based information by applicants for the purpose  
89.6 of obtaining an applicant's criminal history record information from the Federal Bureau of  
89.7 Investigation and the agency responsible for retaining that state's criminal records.

89.8 (1) A member state must fully implement a criminal background check requirement,  
89.9 within a time frame established by rule, by receiving the results of the Federal Bureau of  
89.10 Investigation record search on criminal background checks and use the results in making  
89.11 licensure decisions.

89.12 (2) Communication between a member state and the commission and among member  
89.13 states regarding the verification of eligibility for licensure through the compact shall not  
89.14 include any information received from the Federal Bureau of Investigation relating to a  
89.15 federal criminal records check performed by a member state under Public Law 92-544.

89.16 (C) Upon application for a privilege to practice, the licensing board in the issuing remote  
89.17 state shall ascertain, through the data system, whether the applicant has ever held, or is the  
89.18 holder of, a license issued by any other state, whether there are any encumbrances on any  
89.19 license or privilege to practice held by the applicant, and whether any adverse action has  
89.20 been taken against any license or privilege to practice held by the applicant.

89.21 (D) Each member state shall require an applicant to obtain or retain a license in the home  
89.22 state and meet the home state's qualifications for licensure or renewal of licensure, as well  
89.23 as all other applicable state laws.

89.24 (E) An audiologist must:

89.25 (1) meet one of the following educational requirements:

89.26 (i) on or before December 31, 2007, have graduated with a master's degree or doctoral  
89.27 degree in audiology, or equivalent degree regardless of degree name, from a program that  
89.28 is accredited by an accrediting agency recognized by the Council for Higher Education  
89.29 Accreditation, or its successor, or by the United States Department of Education and operated  
89.30 by a college or university accredited by a regional or national accrediting organization  
89.31 recognized by the board; or

89.32 (ii) on or after January 1, 2008, have graduated with a doctoral degree in audiology, or  
89.33 equivalent degree regardless of degree name, from a program that is accredited by an

90.1 accrediting agency recognized by the Council for Higher Education Accreditation, or its  
90.2 successor, or by the United States Department of Education and operated by a college or  
90.3 university accredited by a regional or national accrediting organization recognized by the  
90.4 board; or

90.5 (iii) have graduated from an audiology program that is housed in an institution of higher  
90.6 education outside of the United States (a) for which the program and institution have been  
90.7 approved by the authorized accrediting body in the applicable country and (b) the degree  
90.8 program has been verified by an independent credentials review agency to be comparable  
90.9 to a state licensing board-approved program;

90.10 (2) have completed a supervised clinical practicum experience from an accredited  
90.11 educational institution or its cooperating programs as required by the board;

90.12 (3) have successfully passed a national examination approved by the commission;

90.13 (4) hold an active, unencumbered license;

90.14 (5) not have been convicted or found guilty, and not have entered into an agreed  
90.15 disposition, of a felony related to the practice of audiology, under applicable state or federal  
90.16 criminal law; and

90.17 (6) have a valid United States Social Security or National Practitioner Identification  
90.18 number.

90.19 (F) A speech-language pathologist must:

90.20 (1) meet one of the following educational requirements:

90.21 (i) have graduated with a master's degree from a speech-language pathology program  
90.22 that is accredited by an organization recognized by the United States Department of Education  
90.23 and operated by a college or university accredited by a regional or national accrediting  
90.24 organization recognized by the board; or

90.25 (ii) have graduated from a speech-language pathology program that is housed in an  
90.26 institution of higher education outside of the United States (a) for which the program and  
90.27 institution have been approved by the authorized accrediting body in the applicable country  
90.28 and (b) the degree program has been verified by an independent credentials review agency  
90.29 to be comparable to a state licensing board-approved program;

90.30 (2) have completed a supervised clinical practicum experience from an educational  
90.31 institution or its cooperating programs as required by the commission;

91.1 (3) have completed a supervised postgraduate professional experience as required by  
91.2 the commission;

91.3 (4) have successfully passed a national examination approved by the commission;

91.4 (5) hold an active, unencumbered license;

91.5 (6) not have been convicted or found guilty, and not have entered into an agreed  
91.6 disposition, of a felony related to the practice of speech-language pathology, under applicable  
91.7 state or federal criminal law; and

91.8 (7) have a valid United States Social Security or National Practitioner Identification  
91.9 number.

91.10 (G) The privilege to practice is derived from the home state license.

91.11 (H) An audiologist or speech-language pathologist practicing in a member state must  
91.12 comply with the state practice laws of the state in which the client is located at the time  
91.13 service is provided. The practice of audiology and speech-language pathology shall include  
91.14 all audiology and speech-language pathology practice as defined by the state practice laws  
91.15 of the member state in which the client is located. The practice of audiology and  
91.16 speech-language pathology in a member state under a privilege to practice shall subject an  
91.17 audiologist or speech-language pathologist to the jurisdiction of the licensing board, the  
91.18 courts and the laws of the member state in which the client is located at the time service is  
91.19 provided.

91.20 (I) Individuals not residing in a member state shall continue to be able to apply for a  
91.21 member state's single-state license as provided under the laws of each member state.  
91.22 However, the single-state license granted to these individuals shall not be recognized as  
91.23 granting the privilege to practice audiology or speech-language pathology in any other  
91.24 member state. Nothing in this compact shall affect the requirements established by a member  
91.25 state for the issuance of a single-state license.

91.26 (J) Member states may charge a fee for granting a compact privilege.

91.27 (K) Member states must comply with the bylaws and rules and regulations of the  
91.28 commission.

91.29 ARTICLE III

91.30 COMPACT PRIVILEGE

91.31 (A) To exercise the compact privilege under the terms and provisions of the compact,  
91.32 the audiologist or speech-language pathologist shall:

- 92.1 (1) hold an active license in the home state;
- 92.2 (2) have no encumbrance on any state license;
- 92.3 (3) be eligible for a compact privilege in any member state in accordance with Article
- 92.4 II;
- 92.5 (4) have not had any adverse action against any license or compact privilege within the
- 92.6 previous two years from date of application;
- 92.7 (5) notify the commission that the licensee is seeking the compact privilege within a
- 92.8 remote state or states;
- 92.9 (6) pay any applicable fees, including any state fee, for the compact privilege; and
- 92.10 (7) report to the commission adverse action taken by any nonmember state within 30
- 92.11 days from the date the adverse action is taken.
- 92.12 (B) For the purposes of the compact privilege, an audiologist or speech-language
- 92.13 pathologist shall only hold one home state license at a time.
- 92.14 (C) Except as provided in Article V, if an audiologist or speech-language pathologist
- 92.15 changes primary state of residence by moving between two member states, the audiologist
- 92.16 or speech-language pathologist must apply for licensure in the new home state, and the
- 92.17 license issued by the prior home state shall be deactivated in accordance with applicable
- 92.18 rules adopted by the commission.
- 92.19 (D) The audiologist or speech-language pathologist may apply for licensure in advance
- 92.20 of a change in primary state of residence.
- 92.21 (E) A license shall not be issued by the new home state until the audiologist or
- 92.22 speech-language pathologist provides satisfactory evidence of a change in primary state of
- 92.23 residence to the new home state and satisfies all applicable requirements to obtain a license
- 92.24 from the new home state.
- 92.25 (F) If an audiologist or speech-language pathologist changes primary state of residence
- 92.26 by moving from a member state to a nonmember state, the license issued by the prior home
- 92.27 state shall convert to a single-state license, valid only in the former home state.
- 92.28 (G) The compact privilege is valid until the expiration date of the home state license.
- 92.29 The licensee must comply with the requirements of Article III, (A), to maintain the compact
- 92.30 privilege in the remote state.

93.1 (H) A licensee providing audiology or speech-language pathology services in a remote  
93.2 state under the compact privilege shall function within the laws and regulations of the remote  
93.3 state.

93.4 (I) A licensee providing audiology or speech-language pathology services in a remote  
93.5 state is subject to that state's regulatory authority. A remote state may, in accordance with  
93.6 due process and that state's laws, remove a licensee's compact privilege in the remote state  
93.7 for a specific period of time, impose fines, or take any other necessary actions to protect  
93.8 the health and safety of its citizens.

93.9 (J) If a home state license is encumbered, the licensee shall lose the compact privilege  
93.10 in any remote state until the following occur:

93.11 (1) the home state license is no longer encumbered; and

93.12 (2) two years have elapsed from the date of the adverse action.

93.13 (K) Once an encumbered license in the home state is restored to good standing, the  
93.14 licensee must meet the requirements of Article III, (A), to obtain a compact privilege in any  
93.15 remote state.

93.16 (L) Once the requirements of Article III, (J), have been met, the licensee must meet the  
93.17 requirements in Article III, (A), to obtain a compact privilege in a remote state.

#### 93.18 ARTICLE IV

#### 93.19 COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

93.20 Member states shall recognize the right of an audiologist or speech-language pathologist,  
93.21 licensed by a home state in accordance with Article II and under rules promulgated by the  
93.22 commission, to practice audiology or speech-language pathology in a member state via  
93.23 telehealth under a privilege to practice as provided in the compact and rules promulgated  
93.24 by the commission.

#### 93.25 ARTICLE V

#### 93.26 ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES

93.27 Active duty military personnel, or their spouse, shall designate a home state where the  
93.28 individual has a current license in good standing. The individual may retain the home state  
93.29 designation during the period the service member is on active duty. Subsequent to designating  
93.30 a home state, the individual shall only change their home state through application for  
93.31 licensure in the new state.

#### 93.32 ARTICLE VI

94.1

ADVERSE ACTIONS

94.2 (A) In addition to the other powers conferred by state law, a remote state shall have the  
94.3 authority, in accordance with existing state due process law, to:

94.4 (1) take adverse action against an audiologist's or speech-language pathologist's privilege  
94.5 to practice within that member state; and

94.6 (2) issue subpoenas for both hearings and investigations that require the attendance and  
94.7 testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing  
94.8 board in a member state for the attendance and testimony of witnesses or the production of  
94.9 evidence from another member state shall be enforced in the latter state by any court of  
94.10 competent jurisdiction, according to the practice and procedure of that court applicable to  
94.11 subpoenas issued in proceedings pending before it. The issuing authority shall pay any  
94.12 witness fees, travel expenses, mileage and other fees required by the service statutes of the  
94.13 state in which the witnesses or evidence are located.

94.14 (B) Only the home state shall have the power to take adverse action against an  
94.15 audiologist's or speech-language pathologist's license issued by the home state.

94.16 (C) For purposes of taking adverse action, the home state shall give the same priority  
94.17 and effect to reported conduct received from a member state as it would if the conduct had  
94.18 occurred within the home state. In so doing, the home state shall apply its own state laws  
94.19 to determine appropriate action.

94.20 (D) The home state shall complete any pending investigations of an audiologist or  
94.21 speech-language pathologist who changes primary state of residence during the course of  
94.22 the investigations. The home state shall also have the authority to take appropriate action  
94.23 and shall promptly report the conclusions of the investigations to the administrator of the  
94.24 data system. The administrator of the data system shall promptly notify the new home state  
94.25 of any adverse actions.

94.26 (E) If otherwise permitted by state law, the member state may recover from the affected  
94.27 audiologist or speech-language pathologist the costs of investigations and disposition of  
94.28 cases resulting from any adverse action taken against that audiologist or speech-language  
94.29 pathologist.

94.30 (F) The member state may take adverse action based on the factual findings of the remote  
94.31 state, provided that the member state follows the member state's own procedures for taking  
94.32 the adverse action.

94.33 (G) Joint Investigations:

95.1 (1) In addition to the authority granted to a member state by its respective audiology or  
 95.2 speech-language pathology practice act or other applicable state law, any member state may  
 95.3 participate with other member states in joint investigations of licensees.

95.4 (2) Member states shall share any investigative, litigation, or compliance materials in  
 95.5 furtherance of any joint or individual investigation initiated under the Compact.

95.6 (H) If adverse action is taken by the home state against an audiologist's or  
 95.7 speech-language pathologist's license, the audiologist's or speech-language pathologist's  
 95.8 privilege to practice in all other member states shall be deactivated until all encumbrances  
 95.9 have been removed from the state license. All home state disciplinary orders that impose  
 95.10 adverse action against an audiologist's or speech-language pathologist's license shall include  
 95.11 a statement that the audiologist's or speech-language pathologist's privilege to practice is  
 95.12 deactivated in all member states during the pendency of the order.

95.13 (I) If a member state takes adverse action, it shall promptly notify the administrator of  
 95.14 the data system. The administrator of the data system shall promptly notify the home state  
 95.15 of any adverse actions by remote states.

95.16 (J) Nothing in this compact shall override a member state's decision that participation  
 95.17 in an alternative program may be used in lieu of adverse action.

## 95.18 ARTICLE VII

### 95.19 ESTABLISHMENT OF THE AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY

#### 95.20 COMPACT COMMISSION

95.21 (A) The compact member states hereby create and establish a joint public agency known  
 95.22 as the Audiology and Speech-Language Pathology Compact Commission:

95.23 (1) The commission is an instrumentality of the compact states.

95.24 (2) Except as provided under paragraph (H), venue is proper and judicial proceedings  
 95.25 by or against the commission shall be brought solely and exclusively in a court of competent  
 95.26 jurisdiction where the principal office of the commission is located. The commission may  
 95.27 waive venue and jurisdictional defenses to the extent it adopts or consents to participate in  
 95.28 alternative dispute resolution proceedings.

95.29 (3) Nothing in this compact shall be construed to be a waiver of sovereign immunity.

95.30 (B) Membership, Voting, and Meetings:

96.1 (1) Each member state shall have two delegates selected by that member state's licensing  
96.2 board. The delegates shall be current members of the licensing board. One shall be an  
96.3 audiologist and one shall be a speech-language pathologist.

96.4 (2) An additional five delegates, who are either a public member or board administrator  
96.5 from a state licensing board, shall be chosen by the executive committee from a pool of  
96.6 nominees provided by the commission at large.

96.7 (3) Any delegate may be removed or suspended from office as provided by the law of  
96.8 the state from which the delegate is appointed.

96.9 (4) The member state board shall fill any vacancy occurring on the commission, within  
96.10 90 days.

96.11 (5) Each delegate shall be entitled to one vote with regard to the promulgation of rules  
96.12 and creation of bylaws and shall otherwise have an opportunity to participate in the business  
96.13 and affairs of the commission.

96.14 (6) A delegate shall vote in person or by other means as provided in the bylaws. The  
96.15 bylaws may provide for delegates' participation in meetings by telephone or other means  
96.16 of communication.

96.17 (7) The commission shall meet at least once during each calendar year. Additional  
96.18 meetings shall be held as set forth in the bylaws.

96.19 (C) The commission shall have the following powers and duties:

96.20 (1) establish the fiscal year of the commission;

96.21 (2) establish bylaws;

96.22 (3) establish a code of ethics;

96.23 (4) maintain its financial records in accordance with the bylaws;

96.24 (5) meet and take actions as are consistent with the provisions of this compact and the  
96.25 bylaws;

96.26 (6) promulgate uniform rules to facilitate and coordinate implementation and  
96.27 administration of this compact. The rules shall have the force and effect of law and shall  
96.28 be binding in all member states;

96.29 (7) bring and prosecute legal proceedings or actions in the name of the commission,  
96.30 provided that the standing of any state audiology or speech-language pathology licensing  
96.31 board to sue or be sued under applicable law shall not be affected;

- 97.1 (8) purchase and maintain insurance and bonds;
- 97.2 (9) borrow, accept, or contract for services of personnel, including but not limited to  
97.3 employees of a member state;
- 97.4 (10) hire employees, elect or appoint officers, fix compensation, define duties, grant  
97.5 individuals appropriate authority to carry out the purposes of the compact, and establish the  
97.6 commission's personnel policies and programs relating to conflicts of interest, qualifications  
97.7 of personnel, and other related personnel matters;
- 97.8 (11) accept any and all appropriate donations and grants of money, equipment, supplies,  
97.9 materials, and services and to receive, utilize, and dispose of the same; provided that at all  
97.10 times the commission shall avoid any appearance of impropriety or conflict of interest;
- 97.11 (12) lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold,  
97.12 improve, or use any property real, personal, or mixed; provided that at all times the  
97.13 commission shall avoid any appearance of impropriety;
- 97.14 (13) sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of  
97.15 any property real, personal, or mixed;
- 97.16 (14) establish a budget and make expenditures;
- 97.17 (15) borrow money;
- 97.18 (16) appoint committees, including standing committees composed of members and  
97.19 other interested persons as may be designated in this compact and the bylaws;
- 97.20 (17) provide and receive information from, and cooperate with, law enforcement agencies;
- 97.21 (18) establish and elect an executive committee; and
- 97.22 (19) perform other functions as may be necessary or appropriate to achieve the purposes  
97.23 of this compact consistent with the state regulation of audiology and speech-language  
97.24 pathology licensure and practice.
- 97.25 (D) The Executive Committee:
- 97.26 The executive committee shall have the power to act on behalf of the commission  
97.27 according to the terms of this compact. The executive committee shall be composed of ten  
97.28 members:
- 97.29 (1) seven voting members who are elected by the commission from the current  
97.30 membership of the commission;

98.1 (2) two ex officios, consisting of one nonvoting member from a recognized national  
98.2 audiology professional association and one nonvoting member from a recognized national  
98.3 speech-language pathology association; and

98.4 (3) one ex officio, nonvoting member from the recognized membership organization of  
98.5 the audiology and speech-language pathology licensing boards.

98.6 (E) The ex officio members shall be selected by their respective organizations.

98.7 (1) The commission may remove any member of the executive committee as provided  
98.8 in bylaws.

98.9 (2) The executive committee shall meet at least annually.

98.10 (3) The executive committee shall have the following duties and responsibilities:

98.11 (i) recommend to the entire commission changes to the rules or bylaws, changes to this  
98.12 compact legislation, fees paid by compact member states such as annual dues, and any  
98.13 commission compact fee charged to licensees for the compact privilege;

98.14 (ii) ensure compact administration services are appropriately provided, contractual or  
98.15 otherwise;

98.16 (iii) prepare and recommend the budget;

98.17 (iv) maintain financial records on behalf of the commission;

98.18 (v) monitor compact compliance of member states and provide compliance reports to  
98.19 the commission;

98.20 (vi) establish additional committees as necessary; and

98.21 (vii) other duties as provided in rules or bylaws.

98.22 (4) All meetings of the commission shall be open to the public and public notice of  
98.23 meetings shall be given in the same manner as required under the rulemaking provisions in  
98.24 Article IX.

98.25 (5) The commission or the executive committee or other committees of the commission  
98.26 may convene in a closed, nonpublic meeting if the commission or executive committee or  
98.27 other committees of the commission must discuss:

98.28 (i) noncompliance of a member state with its obligations under the compact;

98.29 (ii) the employment, compensation, discipline, or other matters, practices, or procedures  
98.30 related to specific employees or other matters related to the commission's internal personnel  
98.31 practices and procedures;

- 99.1 (iii) current, threatened, or reasonably anticipated litigation;
- 99.2 (iv) negotiation of contracts for the purchase, lease, or sale of goods, services, or real  
99.3 estate;
- 99.4 (v) accusing any person of a crime or formally censuring any person;
- 99.5 (vi) disclosure of trade secrets or commercial or financial information that is privileged  
99.6 or confidential;
- 99.7 (vii) disclosure of information of a personal nature where disclosure would constitute a  
99.8 clearly unwarranted invasion of personal privacy;
- 99.9 (viii) disclosure of investigative records compiled for law enforcement purposes;
- 99.10 (ix) disclosure of information related to any investigative reports prepared by or on  
99.11 behalf of or for use of the commission or other committee charged with responsibility of  
99.12 investigation or determination of compliance issues pursuant to the compact; or
- 99.13 (x) matters specifically exempted from disclosure by federal or member state statute.
- 99.14 (6) If a meeting, or portion of a meeting, is closed pursuant to this provision, the  
99.15 commission's legal counsel or designee shall certify that the meeting may be closed and  
99.16 shall reference each relevant exempting provision.
- 99.17 (7) The commission shall keep minutes that fully and clearly describe all matters  
99.18 discussed in a meeting and shall provide a full and accurate summary of actions taken, and  
99.19 the reasons therefore, including a description of the views expressed. All documents  
99.20 considered in connection with an action shall be identified in minutes. All minutes and  
99.21 documents of a closed meeting shall remain under seal, subject to release by a majority vote  
99.22 of the commission or order of a court of competent jurisdiction.
- 99.23 (8) Financing of the Commission:
- 99.24 (i) The commission shall pay, or provide for the payment of, the reasonable expenses  
99.25 of its establishment, organization, and ongoing activities.
- 99.26 (ii) The commission may accept any and all appropriate revenue sources, donations, and  
99.27 grants of money, equipment, supplies, materials, and services.
- 99.28 (iii) The commission may levy on and collect an annual assessment from each member  
99.29 state or impose fees on other parties to cover the cost of the operations and activities of the  
99.30 commission and its staff, which must be in a total amount sufficient to cover its annual  
99.31 budget as approved each year for which revenue is not provided by other sources. The

100.1 aggregate annual assessment amount shall be allocated based upon a formula to be determined  
100.2 by the commission, which shall promulgate a rule binding upon all member states.

100.3 (9) The commission shall not incur obligations of any kind prior to securing the funds  
100.4 adequate to meet the same; nor shall the commission pledge the credit of any of the member  
100.5 states, except by and with the authority of the member state.

100.6 (10) The commission shall keep accurate accounts of all receipts and disbursements.  
100.7 The receipts and disbursements of the commission shall be subject to the audit and accounting  
100.8 procedures established under its bylaws. However, all receipts and disbursements of funds  
100.9 handled by the commission shall be audited yearly by a certified or licensed public  
100.10 accountant, and the report of the audit shall be included in and become part of the annual  
100.11 report of the commission.

100.12 (F) Qualified Immunity, Defense, and Indemnification:

100.13 (1) The members, officers, executive director, employees, and representatives of the  
100.14 commission shall be immune from suit and liability, either personally or in their official  
100.15 capacity, for any claim for damage to or loss of property or personal injury or other civil  
100.16 liability caused by or arising out of any actual or alleged act, error, or omission that occurred,  
100.17 or that the person against whom the claim is made had a reasonable basis for believing  
100.18 occurred, within the scope of commission employment, duties, or responsibilities; provided  
100.19 that nothing in this paragraph shall be construed to protect any person from suit or liability  
100.20 for any damage, loss, injury, or liability caused by the intentional or willful or wanton  
100.21 misconduct of that person.

100.22 (2) The commission shall defend any member, officer, executive director, employee, or  
100.23 representative of the commission in any civil action seeking to impose liability arising out  
100.24 of any actual or alleged act, error, or omission that occurred within the scope of commission  
100.25 employment, duties, or responsibilities, or that the person against whom the claim is made  
100.26 had a reasonable basis for believing occurred within the scope of commission employment,  
100.27 duties, or responsibilities; provided that nothing herein shall be construed to prohibit that  
100.28 person from retaining his or her own counsel; and provided further that the actual or alleged  
100.29 act, error, or omission did not result from that person's intentional or willful or wanton  
100.30 misconduct.

100.31 (3) The commission shall indemnify and hold harmless any member, officer, executive  
100.32 director, employee, or representative of the commission for the amount of any settlement  
100.33 or judgment obtained against that person arising out of any actual or alleged act, error, or  
100.34 omission that occurred within the scope of commission employment, duties, or

101.1 responsibilities, or that person had a reasonable basis for believing occurred within the scope  
101.2 of commission employment, duties, or responsibilities; provided that the actual or alleged  
101.3 act, error, or omission did not result from the intentional or willful or wanton misconduct  
101.4 of that person.

101.5 (G) Notwithstanding paragraph (F), clause (1), the liability of the executive director,  
101.6 employees, or representatives of the interstate commission, acting within the scope of their  
101.7 employment or duties, may not exceed the limits of liability set forth under the constitution  
101.8 and laws of this state for state officials, employees, and agents. This paragraph expressly  
101.9 incorporates section 3.736, and neither expands nor limits the rights and remedies provided  
101.10 under that statute.

101.11 (H) Except for a claim alleging a violation of this compact, a claim against the  
101.12 commission, its executive director, employees, or representatives alleging a violation of the  
101.13 constitution and laws of this state may be brought in any county where the plaintiff resides.  
101.14 Nothing in this paragraph creates a private right of action.

101.15 (I) Nothing in this compact shall be construed as a limitation on the liability of any  
101.16 licensee for professional malpractice or misconduct, which shall be governed solely by any  
101.17 other applicable state laws.

101.18 ARTICLE VIII

101.19 DATA SYSTEM

101.20 (A) The commission shall provide for the development, maintenance, and utilization of  
101.21 a coordinated database and reporting system containing licensure, adverse action, and  
101.22 investigative information on all licensed individuals in member states.

101.23 (B) Notwithstanding any other provision of state law to the contrary, a member state  
101.24 shall submit a uniform data set to the data system on all individuals to whom this compact  
101.25 is applicable as required by the rules of the commission, including:

101.26 (1) identifying information;

101.27 (2) licensure data;

101.28 (3) adverse actions against a license or compact privilege;

101.29 (4) nonconfidential information related to alternative program participation;

101.30 (5) any denial of application for licensure, and the reason or reasons for denial; and

101.31 (6) other information that may facilitate the administration of this compact, as determined  
101.32 by the rules of the commission.

102.1 (C) Investigative information pertaining to a licensee in any member state shall only be  
102.2 available to other member states.

102.3 (D) The commission shall promptly notify all member states of any adverse action taken  
102.4 against a licensee or an individual applying for a license. Adverse action information  
102.5 pertaining to a licensee in any member state shall be available to any other member state.

102.6 (E) Member states contributing information to the data system may designate information  
102.7 that may not be shared with the public without the express permission of the contributing  
102.8 state.

102.9 (F) Any information submitted to the data system that is subsequently required to be  
102.10 expunged by the laws of the member state contributing the information shall be removed  
102.11 from the data system.

102.12 ARTICLE IX

102.13 RULEMAKING

102.14 (A) The commission shall exercise its rulemaking powers pursuant to the criteria set  
102.15 forth in this article and the rules adopted thereunder. Rules and amendments shall become  
102.16 binding as of the date specified in each rule or amendment.

102.17 (B) If a majority of the legislatures of the member states rejects a rule, by enactment of  
102.18 a statute or resolution in the same manner used to adopt the compact within four years of  
102.19 the date of adoption of the rule, the rule shall have no further force and effect in any member  
102.20 state.

102.21 (C) Rules or amendments to the rules shall be adopted at a regular or special meeting  
102.22 of the commission.

102.23 (D) Prior to promulgation and adoption of a final rule or rules by the commission, and  
102.24 at least 30 days in advance of the meeting at which the rule shall be considered and voted  
102.25 upon, the commission shall file a notice of proposed rulemaking:

102.26 (1) on the website of the commission or other publicly accessible platform; and

102.27 (2) on the website of each member state audiology or speech-language pathology licensing  
102.28 board or other publicly accessible platform or the publication in which each state would  
102.29 otherwise publish proposed rules.

102.30 (E) The notice of proposed rulemaking shall include:

102.31 (1) the proposed time, date, and location of the meeting in which the rule shall be  
102.32 considered and voted upon;

103.1 (2) the text of the proposed rule or amendment and the reason for the proposed rule;

103.2 (3) a request for comments on the proposed rule from any interested person; and

103.3 (4) the manner in which interested persons may submit notice to the commission of their  
103.4 intention to attend the public hearing and any written comments.

103.5 (F) Prior to the adoption of a proposed rule, the commission shall allow persons to submit  
103.6 written data, facts, opinions, and arguments, which shall be made available to the public.

103.7 (G) The commission shall grant an opportunity for a public hearing before it adopts a  
103.8 rule or amendment if a hearing is requested by:

103.9 (1) at least 25 persons;

103.10 (2) a state or federal governmental subdivision or agency; or

103.11 (3) an association having at least 25 members.

103.12 (H) If a hearing is held on the proposed rule or amendment, the commission shall publish  
103.13 the place, time, and date of the scheduled public hearing. If the hearing is held via electronic  
103.14 means, the commission shall publish the mechanism for access to the electronic hearing.

103.15 (1) All persons wishing to be heard at the hearing shall notify the executive director of  
103.16 the commission or other designated member in writing of their desire to appear and testify  
103.17 at the hearing not less than five business days before the scheduled date of the hearing.

103.18 (2) Hearings shall be conducted in a manner providing each person who wishes to  
103.19 comment a fair and reasonable opportunity to comment orally or in writing.

103.20 (3) All hearings shall be recorded. A copy of the recording shall be made available on  
103.21 request.

103.22 (4) Nothing in this Article shall be construed as requiring a separate hearing on each  
103.23 rule. Rules may be grouped for the convenience of the commission at hearings required by  
103.24 this Article.

103.25 (I) Following the scheduled hearing date, or by the close of business on the scheduled  
103.26 hearing date if the hearing was not held, the commission shall consider all written and oral  
103.27 comments received.

103.28 (J) If no written notice of intent to attend the public hearing by interested parties is  
103.29 received, the commission may proceed with promulgation of the proposed rule without a  
103.30 public hearing.

104.1 (K) The commission shall, by majority vote of all members, take final action on the  
 104.2 proposed rule and shall determine the effective date of the rule, if any, based on the  
 104.3 rulemaking record and the full text of the rule.

104.4 (L) Upon determination that an emergency exists, the commission may consider and  
 104.5 adopt an emergency rule without prior notice, opportunity for comment, or hearing; provided  
 104.6 that the usual rulemaking procedures provided in the compact and in this Article shall be  
 104.7 retroactively applied to the rule as soon as reasonably possible, in no event later than 90  
 104.8 days after the effective date of the rule. For the purposes of this provision, an emergency  
 104.9 rule is one that must be adopted immediately in order to:

104.10 (1) meet an imminent threat to public health, safety, or welfare;

104.11 (2) prevent a loss of commission or member state funds; or

104.12 (3) meet a deadline for the promulgation of an administrative rule that is established by  
 104.13 federal law or rule.

104.14 (M) The commission or an authorized committee of the commission may direct revisions  
 104.15 to a previously adopted rule or amendment for purposes of correcting typographical errors,  
 104.16 errors in format, errors in consistency, or grammatical errors. Public notice of any revisions  
 104.17 shall be posted on the website of the commission. The revision shall be subject to challenge  
 104.18 by any person for a period of 30 days after posting. The revision may be challenged only  
 104.19 on grounds that the revision results in a material change to a rule. A challenge shall be made  
 104.20 in writing and delivered to the chair of the commission prior to the end of the notice period.  
 104.21 If no challenge is made, the revision shall take effect without further action. If the revision  
 104.22 is challenged, the revision may not take effect without the approval of the commission.

## 104.23 ARTICLE X

### 104.24 OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

#### 104.25 (A) Dispute Resolution:

104.26 (1) Upon request by a member state, the commission shall attempt to resolve disputes  
 104.27 related to the compact that arise among member states and between member and nonmember  
 104.28 states.

104.29 (2) The commission shall promulgate a rule providing for both mediation and binding  
 104.30 dispute resolution for such disputes as appropriate.

#### 104.31 (B) Enforcement:

105.1 (1) The commission, in the reasonable exercise of its discretion, shall enforce the  
105.2 provisions and rules of this compact.

105.3 (2) By majority vote, the commission may initiate legal action in the United States  
105.4 District Court for the District of Columbia or the federal district where the commission has  
105.5 its principal offices against a member state in default to enforce compliance with the  
105.6 provisions of the compact and its promulgated rules and bylaws. The relief sought may  
105.7 include both injunctive relief and damages. In the event judicial enforcement is necessary,  
105.8 the prevailing member shall be awarded all costs of litigation, including reasonable attorney's  
105.9 fees.

105.10 (3) The remedies herein shall not be the exclusive remedies of the commission. The  
105.11 commission may pursue any other remedies available under federal or state law.

## 105.12 ARTICLE XI

### 105.13 DATE OF IMPLEMENTATION OF THE INTERSTATE COMMISSION FOR 105.14 AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY PRACTICE AND 105.15 ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT

105.16 (A) The compact shall come into effect on the date on which the compact statute is  
105.17 enacted into law in the tenth member state. The provisions, which become effective at that  
105.18 time, shall be limited to the powers granted to the commission relating to assembly and the  
105.19 promulgation of rules. Thereafter, the commission shall meet and exercise rulemaking  
105.20 powers necessary to the implementation and administration of the compact.

105.21 (B) Any state that joins the compact subsequent to the commission's initial adoption of  
105.22 the rules shall be subject to the rules as they exist on the date on which the compact becomes  
105.23 law in that state. Any rule that has been previously adopted by the commission shall have  
105.24 the full force and effect of law on the day the compact becomes law in that state.

105.25 (C) Any member state may withdraw from this compact by enacting a statute repealing  
105.26 the same.

105.27 (1) A member state's withdrawal shall not take effect until six months after enactment  
105.28 of the repealing statute.

105.29 (2) Withdrawal shall not affect the continuing requirement of the withdrawing state's  
105.30 audiology or speech-language pathology licensing board to comply with the investigative  
105.31 and adverse action reporting requirements of this compact prior to the effective date of  
105.32 withdrawal.

106.1 (D) Nothing contained in this compact shall be construed to invalidate or prevent any  
106.2 audiology or speech-language pathology licensure agreement or other cooperative  
106.3 arrangement between a member state and a nonmember state that does not conflict with the  
106.4 provisions of this compact.

106.5 (E) This compact may be amended by the member states. No amendment to this compact  
106.6 shall become effective and binding upon any member state until it is enacted into the laws  
106.7 of all member states.

## 106.8 ARTICLE XII

### 106.9 CONSTRUCTION AND SEVERABILITY

106.10 This compact shall be liberally construed so as to effectuate the purposes thereof. The  
106.11 provisions of this compact shall be severable and if any phrase, clause, sentence, or provision  
106.12 of this compact is declared to be contrary to the constitution of any member state or of the  
106.13 United States or the applicability thereof to any government, agency, person, or circumstance  
106.14 is held invalid, the validity of the remainder of this compact and the applicability thereof  
106.15 to any government, agency, person, or circumstance shall not be affected thereby. If this  
106.16 compact shall be held contrary to the constitution of any member state, the compact shall  
106.17 remain in full force and effect as to the remaining member states and in full force and effect  
106.18 as to the member state affected as to all severable matters.

## 106.19 ARTICLE XIII

### 106.20 BINDING EFFECT OF COMPACT AND OTHER LAWS

106.21 (A) Nothing herein prevents the enforcement of any other law of a member state that is  
106.22 not inconsistent with the compact.

106.23 (B) All laws in a member state in conflict with the compact are superseded to the extent  
106.24 of the conflict.

106.25 (C) All lawful actions of the commission, including all rules and bylaws promulgated  
106.26 by the commission, are binding upon the member states.

106.27 (D) All agreements between the commission and the member states are binding in  
106.28 accordance with their terms.

106.29 (E) In the event any provision of the compact exceeds the constitutional limits imposed  
106.30 on the legislature of any member state, the provision shall be ineffective to the extent of the  
106.31 conflict with the constitutional provision in question in that member state.

107.1 Sec. 2. [148.5186] APPLICATION OF AUDIOLOGY AND SPEECH-LANGUAGE  
 107.2 PATHOLOGY INTERSTATE COMPACT TO EXISTING LAWS.

107.3 Subdivision 1. **Rulemaking.** Rules developed by the Audiology and Speech-Language  
 107.4 Pathology Compact Commission under section 148.5185 are not subject to sections 14.05  
 107.5 to 14.389.

107.6 Subd. 2. **Background studies.** The commissioner of health is authorized to require an  
 107.7 audiologist or speech-language pathologist licensed in Minnesota as the home state to submit  
 107.8 to a criminal history background check under section 144.0572.

107.9 **ARTICLE 6**

107.10 **DENTIST AND DENTAL HYGIENISTS**

107.11 Section 1. [150A.051] DENTIST AND DENTAL HYGIENIST COMPACT.

107.12 The dentist and dental hygienist compact is enacted into law and entered into with all  
 107.13 other jurisdictions legally joining in the compact in the form substantially specified in this  
 107.14 section.

107.15 ARTICLE I

107.16 TITLE

107.17 This statute shall be known and cited as the dentist and dental hygienist compact.

107.18 ARTICLE II

107.19 DEFINITIONS

107.20 As used in this compact, unless the context requires otherwise, the following definitions  
 107.21 shall apply:

107.22 (A) "Active military member" means any person with full-time duty status in the armed  
 107.23 forces of the United States including members of the National Guard and Reserve.

107.24 (B) "Adverse action" means disciplinary action or encumbrance imposed on a license  
 107.25 or compact privilege by a state licensing authority.

107.26 (C) "Alternative program" means a nondisciplinary monitoring or practice remediation  
 107.27 process applicable to a dentist or dental hygienist approved by a state licensing authority  
 107.28 of a participating state in which the dentist or dental hygienist is licensed. This includes but  
 107.29 is not limited to programs to which licensees with substance abuse or addiction issues are  
 107.30 referred in lieu of adverse action.

108.1 (D) "Clinical assessment" means examination or process, required for licensure as a  
108.2 dentist or dental hygienist as applicable, that provides evidence of clinical competence in  
108.3 dentistry or dental hygiene.

108.4 (E) "Commissioner" means the individual appointed by a participating state to serve as  
108.5 the member of the commission for that participating state.

108.6 (F) "Compact" means this dentist and dental hygienist compact.

108.7 (G) "Compact privilege" means the authorization granted by a remote state to allow a  
108.8 licensee from a participating state to practice as a dentist or dental hygienist in a remote  
108.9 state.

108.10 (H) "Continuing professional development" means a requirement as a condition of license  
108.11 renewal to provide evidence of successful participation in educational or professional  
108.12 activities relevant to practice or area of work.

108.13 (I) "Criminal background check" means the submission of fingerprints or other  
108.14 biometric-based information for a license applicant for the purpose of obtaining that  
108.15 applicant's criminal history record information, as defined in Code of Federal Regulations,  
108.16 title 28, section 20.3(d), from the Federal Bureau of Investigation and the state's criminal  
108.17 history record repository as defined in Code of Federal Regulations, title 28, section 20.3(f).

108.18 (J) "Data system" means the commission's repository of information about licensees,  
108.19 including but not limited to examination, licensure, investigative, compact privilege, adverse  
108.20 action, and alternative program.

108.21 (K) "Dental hygienist" means an individual who is licensed by a state licensing authority  
108.22 to practice dental hygiene.

108.23 (L) "Dentist" means an individual who is licensed by a state licensing authority to practice  
108.24 dentistry.

108.25 (M) "Dentist and dental hygienist compact commission" or "commission" means a joint  
108.26 government agency established by this compact comprised of each state that has enacted  
108.27 the compact and a national administrative body comprised of a commissioner from each  
108.28 state that has enacted the compact.

108.29 (N) "Encumbered license" means a license that a state licensing authority has limited in  
108.30 any way other than through an alternative program.

108.31 (O) "Executive board" means the chair, vice chair, secretary, and treasurer and any other  
108.32 commissioners as may be determined by commission rule or bylaw.

109.1 (P) "Jurisprudence requirement" means the assessment of an individual's knowledge of  
109.2 the laws and rules governing the practice of dentistry or dental hygiene, as applicable, in a  
109.3 state.

109.4 (Q) "License" means current authorization by a state, other than authorization pursuant  
109.5 to a compact privilege, or other privilege, for an individual to practice as a dentist or dental  
109.6 hygienist in that state.

109.7 (R) "Licensee" means an individual who holds an unrestricted license from a participating  
109.8 state to practice as a dentist or dental hygienist in that state.

109.9 (S) "Model compact" means the model for the dentist and dental hygienist compact on  
109.10 file with the council of state governments or other entity as designated by the commission.

109.11 (T) "Participating state" means a state that has enacted the compact and been admitted  
109.12 to the commission in accordance with the provisions herein and commission rules.

109.13 (U) "Qualifying license" means a license that is not an encumbered license issued by a  
109.14 participating state to practice dentistry or dental hygiene.

109.15 (V) "Remote state" means a participating state where a licensee who is not licensed as  
109.16 a dentist or dental hygienist is exercising or seeking to exercise the compact privilege.

109.17 (W) "Rule" means a regulation promulgated by an entity that has the force of law.

109.18 (X) "Scope of practice" means the procedures, actions, and processes a dentist or dental  
109.19 hygienist licensed in a state is permitted to undertake in that state and the circumstances  
109.20 under which the licensee is permitted to undertake those procedures, actions, and processes.  
109.21 Such procedures, actions, and processes and the circumstances under which they may be  
109.22 undertaken may be established through means, including but not limited to statute,  
109.23 regulations, case law, and other processes available to the state licensing authority or other  
109.24 government agency.

109.25 (Y) "Significant investigative information" means information, records, and documents  
109.26 received or generated by a state licensing authority pursuant to an investigation for which  
109.27 a determination has been made that there is probable cause to believe that the licensee has  
109.28 violated a statute or regulation that is considered more than a minor infraction for which  
109.29 the state licensing authority could pursue adverse action against the licensee.

109.30 (Z) "State" means any state, commonwealth, district, or territory of the United States of  
109.31 America that regulates the practices of dentistry and dental hygiene.

110.1 (AA) "State licensing authority" means an agency or other entity of a state that is  
110.2 responsible for the licensing and regulation of dentists or dental hygienists.

110.3 ARTICLE III

110.4 STATE PARTICIPATION IN THE COMPACT

110.5 (A) In order to join the compact and thereafter continue as a participating state, a state  
110.6 must:

110.7 (1) enact a compact that is not materially different from the model compact as determined  
110.8 in accordance with commission rules;

110.9 (2) participate fully in the commission's data system;

110.10 (3) have a mechanism in place for receiving and investigating complaints about its  
110.11 licensees and license applicants;

110.12 (4) notify the commission, in compliance with the terms of the compact and commission  
110.13 rules, of any adverse action or the availability of significant investigative information  
110.14 regarding a licensee and license applicant;

110.15 (5) fully implement a criminal background check requirement, within a time frame  
110.16 established by commission rule, by receiving the results of a qualifying criminal background  
110.17 check;

110.18 (6) comply with the commission rules applicable to a participating state;

110.19 (7) accept the national board examinations of the joint commission on national dental  
110.20 examinations or another examination accepted by commission rule as a licensure  
110.21 examination;

110.22 (8) accept for licensure that applicants for a dentist license graduate from a predoctoral  
110.23 dental education program accredited by the Commission on Dental Accreditation, or another  
110.24 accrediting agency recognized by the United States Department of Education for the  
110.25 accreditation of dentistry and dental hygiene education programs, leading to the Doctor of  
110.26 Dental Surgery (D.D.S.) or Doctor of Dental Medicine (D.M.D.) degree;

110.27 (9) accept for licensure that applicants for a dental hygienist license graduate from a  
110.28 dental hygiene education program accredited by the Commission on Dental Accreditation  
110.29 or another accrediting agency recognized by the United States Department of Education for  
110.30 the accreditation of dentistry and dental hygiene education programs;

110.31 (10) require for licensure that applicants successfully complete a clinical assessment;

111.1 (11) have continuing professional development requirements as a condition for license  
 111.2 renewal; and

111.3 (12) pay a participation fee to the commission as established by commission rule.

111.4 (B) Providing alternative pathways for an individual to obtain an unrestricted license  
 111.5 does not disqualify a state from participating in the compact.

111.6 (C) When conducting a criminal background check, the state licensing authority shall:

111.7 (1) consider that information in making a licensure decision;

111.8 (2) maintain documentation of completion of the criminal background check and  
 111.9 background check information to the extent allowed by state and federal law; and

111.10 (3) report to the commission whether it has completed the criminal background check  
 111.11 and whether the individual was granted or denied a license.

111.12 (D) A licensee of a participating state who has a qualifying license in that state and does  
 111.13 not hold an encumbered license in any other participating state, shall be issued a compact  
 111.14 privilege in a remote state in accordance with the terms of the compact and commission  
 111.15 rules. If a remote state has a jurisprudence requirement a compact privilege will not be  
 111.16 issued to the licensee unless the licensee has satisfied the jurisprudence requirement.

#### 111.17 ARTICLE IV

#### 111.18 COMPACT PRIVILEGE

111.19 (A) To obtain and exercise the compact privilege under the terms and provisions of the  
 111.20 compact, the licensee shall:

111.21 (1) have a qualifying license as a dentist or dental hygienist in a participating state;

111.22 (2) be eligible for a compact privilege in any remote state in accordance with (D), (G),  
 111.23 and (H) of this article;

111.24 (3) submit to an application process whenever the licensee is seeking a compact privilege;

111.25 (4) pay any applicable commission and remote state fees for a compact privilege in the  
 111.26 remote state;

111.27 (5) meet any jurisprudence requirement established by a remote state in which the licensee  
 111.28 is seeking a compact privilege;

111.29 (6) have passed a National Board Examination of the Joint Commission on National  
 111.30 Dental Examinations or another examination accepted by commission rule;

112.1 (7) for a dentist, have graduated from a predoctoral dental education program accredited  
112.2 by the Commission on Dental Accreditation, or another accrediting agency recognized by  
112.3 the United States Department of Education for the accreditation of dentistry and dental  
112.4 hygiene education programs, leading to the Doctor of Dental Surgery (D.D.S.) or Doctor  
112.5 of Dental Medicine (D.M.D.) degree;

112.6 (8) for a dental hygienist, have graduated from a dental hygiene education program  
112.7 accredited by the Commission on Dental Accreditation or another accrediting agency  
112.8 recognized by the United States Department of Education for the accreditation of dentistry  
112.9 and dental hygiene education programs;

112.10 (9) have successfully completed a clinical assessment for licensure;

112.11 (10) report to the commission adverse action taken by any nonparticipating state when  
112.12 applying for a compact privilege and, otherwise, within 30 days from the date the adverse  
112.13 action is taken;

112.14 (11) report to the commission when applying for a compact privilege the address of the  
112.15 licensee's primary residence and thereafter immediately report to the commission any change  
112.16 in the address of the licensee's primary residence; and

112.17 (12) consent to accept service of process by mail at the licensee's primary residence on  
112.18 record with the commission with respect to any action brought against the licensee by the  
112.19 commission or a participating state, and consent to accept service of a subpoena by mail at  
112.20 the licensee's primary residence on record with the commission with respect to any action  
112.21 brought or investigation conducted by the commission or a participating state.

112.22 (B) The licensee must comply with the requirements of (A) of this article to maintain  
112.23 the compact privilege in the remote state. If those requirements are met, the compact privilege  
112.24 will continue as long as the licensee maintains a qualifying license in the state through which  
112.25 the licensee applied for the compact privilege and pays any applicable compact privilege  
112.26 renewal fees.

112.27 (C) A licensee providing dentistry or dental hygiene in a remote state under the compact  
112.28 privilege shall function within the scope of practice authorized by the remote state for a  
112.29 dentist or dental hygienist licensed in that state.

112.30 (D) A licensee providing dentistry or dental hygiene pursuant to a compact privilege in  
112.31 a remote state is subject to that state's regulatory authority. A remote state may, in accordance  
112.32 with due process and that state's laws, by adverse action revoke or remove a licensee's  
112.33 compact privilege in the remote state for a specific period of time and impose fines or take

113.1 any other necessary actions to protect the health and safety of its citizens. If a remote state  
113.2 imposes an adverse action against a compact privilege that limits the compact privilege,  
113.3 that adverse action applies to all compact privileges in all remote states. A licensee whose  
113.4 compact privilege in a remote state is removed for a specified period of time is not eligible  
113.5 for a compact privilege in any other remote state until the specific time for removal of the  
113.6 compact privilege has passed and all encumbrance requirements are satisfied.

113.7 (E) If a license in a participating state is an encumbered license, the licensee shall lose  
113.8 the compact privilege in a remote state and shall not be eligible for a compact privilege in  
113.9 any remote state until the license is no longer encumbered.

113.10 (F) Once an encumbered license in a participating state is restored to good standing, the  
113.11 licensee must meet the requirements of (A) of this article to obtain a compact privilege in  
113.12 a remote state.

113.13 (G) If a licensee's compact privilege in a remote state is removed by the remote state,  
113.14 the individual shall lose or be ineligible for the compact privilege in any remote state until  
113.15 the following occur:

113.16 (1) the specific period of time for which the compact privilege was removed has ended;  
113.17 and

113.18 (2) all conditions for removal of the compact privilege have been satisfied.

113.19 (H) Once the requirements of (G) of this article have been met, the licensee must meet  
113.20 the requirements in (A) of this article to obtain a compact privilege in a remote state.

## 113.21 ARTICLE V

### 113.22 ACTIVE MILITARY MEMBER OR THEIR SPOUSES

113.23 An active military member and their spouse shall not be required to pay to the commission  
113.24 for a compact privilege the fee otherwise charged by the commission. If a remote state  
113.25 chooses to charge a fee for a compact privilege, it may choose to charge a reduced fee or  
113.26 no fee to an active military member and their spouse for a compact privilege.

## 113.27 ARTICLE VI

### 113.28 ADVERSE ACTIONS

113.29 (A) A participating state in which a licensee is licensed shall have exclusive authority  
113.30 to impose adverse action against the qualifying license issued by that participating state.

114.1 (B) A participating state may take adverse action based on the significant investigative  
114.2 information of a remote state, so long as the participating state follows its own procedures  
114.3 for imposing adverse action.

114.4 (C) Nothing in this compact shall override a participating state's decision that participation  
114.5 in an alternative program may be used in lieu of adverse action and that such participation  
114.6 shall remain nonpublic if required by the participating state's laws. Participating states must  
114.7 require licensees who enter any alternative program in lieu of discipline to agree not to  
114.8 practice pursuant to a compact privilege in any other participating state during the term of  
114.9 the alternative program without prior authorization from such other participating state.

114.10 (D) Any participating state in which a licensee is applying to practice or is practicing  
114.11 pursuant to a compact privilege may investigate actual or alleged violations of the statutes  
114.12 and regulations authorizing the practice of dentistry or dental hygiene in any other  
114.13 participating state in which the dentist or dental hygienist holds a license or compact  
114.14 privilege.

114.15 (E) A remote state shall have the authority to:

114.16 (1) take adverse actions as set forth in article IV, (D), against a licensee's compact  
114.17 privilege in the state;

114.18 (2) in furtherance of its rights and responsibilities under the compact and the commission's  
114.19 rules issue subpoenas for both hearings and investigations that require the attendance and  
114.20 testimony of witnesses, and the production of evidence. Subpoenas issued by a state licensing  
114.21 authority in a participating state for the attendance and testimony of witnesses, or the  
114.22 production of evidence from another participating state, shall be enforced in the latter state  
114.23 by any court of competent jurisdiction, according to the practice and procedure of that court  
114.24 applicable to subpoenas issued in proceedings pending before it. The issuing authority shall  
114.25 pay any witness fees, travel expenses, mileage, and other fees required by the service statutes  
114.26 of the state where the witnesses or evidence are located; and

114.27 (3) if otherwise permitted by state law, recover from the licensee the costs of  
114.28 investigations and disposition of cases resulting from any adverse action taken against that  
114.29 licensee.

114.30 (F) Joint Investigations:

114.31 (1) In addition to the authority granted to a participating state by its dentist or dental  
114.32 hygienist licensure act or other applicable state law, a participating state may jointly  
114.33 investigate licensees with other participating states.

115.1 (2) Participating states shall share any significant investigative information, litigation,  
115.2 or compliance materials in furtherance of any joint or individual investigation initiated under  
115.3 the compact.

115.4 (G) Authority to Continue Investigation:

115.5 (1) After a licensee's compact privilege in a remote state is terminated, the remote state  
115.6 may continue an investigation of the licensee that began when the licensee had a compact  
115.7 privilege in that remote state.

115.8 (2) If the investigation yields what would be significant investigative information had  
115.9 the licensee continued to have a compact privilege in that remote state, the remote state  
115.10 shall report the presence of such information to the data system as required by article VIII,  
115.11 (B), (6), as if it was significant investigative information.

115.12 ARTICLE VII

115.13 ESTABLISHMENT AND OPERATION OF THE COMMISSION

115.14 (A) The compact participating states hereby create and establish a joint government  
115.15 agency whose membership consists of all participating states that have enacted the compact.  
115.16 The commission is an instrumentality of the participating states acting jointly and not an  
115.17 instrumentality of any one state. The commission shall come into existence on or after the  
115.18 effective date of the compact as set forth in article XI, (A).

115.19 (B) Participation, Voting, and Meetings:

115.20 (1) Each participating state shall have and be limited to one commissioner selected by  
115.21 that participating state's state licensing authority or, if the state has more than one state  
115.22 licensing authority, selected collectively by the state licensing authorities.

115.23 (2) The commissioner shall be a member or designee of such authority or authorities.

115.24 (3) The commission may by rule or bylaw establish a term of office for commissioners  
115.25 and may by rule or bylaw establish term limits.

115.26 (4) The commission may recommend to a state licensing authority or authorities, as  
115.27 applicable, removal or suspension of an individual as the state's commissioner.

115.28 (5) A participating state's state licensing authority or authorities, as applicable, shall fill  
115.29 any vacancy of its commissioner on the commission within 60 days of the vacancy.

115.30 (6) Each commissioner shall be entitled to one vote on all matters that are voted upon  
115.31 by the commission.

116.1 (7) The commission shall meet at least once during each calendar year. Additional  
116.2 meetings may be held as set forth in the bylaws. The commission may meet by  
116.3 telecommunication, video conference, or other similar electronic means.

116.4 (C) The commission shall have the following powers:

116.5 (1) establish the fiscal year of the commission;

116.6 (2) establish a code of conduct and conflict of interest policies;

116.7 (3) adopt rules and bylaws;

116.8 (4) maintain its financial records in accordance with the bylaws;

116.9 (5) meet and take such actions as are consistent with the provisions of this compact, the  
116.10 commission's rules, and the bylaws;

116.11 (6) initiate and conclude legal proceedings or actions in the name of the commission,  
116.12 provided that the standing of any state licensing authority to sue or be sued under applicable  
116.13 law shall not be affected;

116.14 (7) maintain and certify records and information provided to a participating state as the  
116.15 authenticated business records of the commission, and designate a person to do so on the  
116.16 commission's behalf;

116.17 (8) purchase and maintain insurance and bonds;

116.18 (9) borrow, accept, or contract for services of personnel, including but not limited to  
116.19 employees of a participating state;

116.20 (10) conduct an annual financial review;

116.21 (11) hire employees, elect or appoint officers, fix compensation, define duties, grant  
116.22 such individuals appropriate authority to carry out the purposes of the compact, and establish  
116.23 the commission's personnel policies and programs relating to conflicts of interest,  
116.24 qualifications of personnel, and other related personnel matters;

116.25 (12) as set forth in the commission rules, charge a fee to a licensee for the grant of a  
116.26 compact privilege in a remote state and thereafter, as may be established by commission  
116.27 rule, charge the licensee a compact privilege renewal fee for each renewal period in which  
116.28 that licensee exercises or intends to exercise the compact privilege in that remote state.  
116.29 Nothing herein shall be construed to prevent a remote state from charging a licensee a fee  
116.30 for a compact privilege or renewals of a compact privilege, or a fee for the jurisprudence  
116.31 requirement if the remote state imposes such a requirement for the grant of a compact  
116.32 privilege;

117.1 (13) accept any and all appropriate gifts, donations, grants of money, other sources of  
117.2 revenue, equipment, supplies, materials, and services, and receive, utilize, and dispose of  
117.3 the same; provided that at all times the commission shall avoid any appearance of impropriety  
117.4 and conflict of interest;

117.5 (14) lease, purchase, retain, own, hold, improve, or use any property real, personal, or  
117.6 mixed, or any undivided interest therein;

117.7 (15) sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of  
117.8 any property real, personal, or mixed;

117.9 (16) establish a budget and make expenditures;

117.10 (17) borrow money;

117.11 (18) appoint committees, including standing committees, which may be composed of  
117.12 members, state regulators, state legislators or their representatives, and consumer  
117.13 representatives, and such other interested persons as may be designated in this compact and  
117.14 the bylaws;

117.15 (19) provide and receive information from, and cooperate with, law enforcement agencies;

117.16 (20) elect a chair, vice chair, secretary, and treasurer and such other officers of the  
117.17 commission as provided in the commission's bylaws;

117.18 (21) establish and elect an executive board;

117.19 (22) adopt and provide to the participating states an annual report;

117.20 (23) determine whether a state's enacted compact is materially different from the model  
117.21 compact language such that the state would not qualify for participation in the compact;  
117.22 and

117.23 (24) perform such other functions as may be necessary or appropriate to achieve the  
117.24 purposes of this compact.

117.25 (D) Meetings of the Commission:

117.26 (1) All meetings of the commission that are not closed pursuant to (D)(4) of this article  
117.27 shall be open to the public. Notice of public meetings shall be posted on the commission's  
117.28 website at least 30 days prior to the public meeting.

117.29 (2) Notwithstanding (D)(1) of this article, the commission may convene an emergency  
117.30 public meeting by providing at least 24 hours prior notice on the commission's website, and  
117.31 any other means as provided in the commission's rules, for any of the reasons it may dispense

118.1 with notice of proposed rulemaking under article IX, (L). The commission's legal counsel  
118.2 shall certify that one of the reasons justifying an emergency public meeting has been met.

118.3 (3) Notice of all commission meetings shall provide the time, date, and location of the  
118.4 meeting, and if the meeting is to be held or accessible via telecommunication, video  
118.5 conference, or other electronic means, the notice shall include the mechanism for access to  
118.6 the meeting through such means.

118.7 (4) The commission may convene in a closed, nonpublic meeting for the commission  
118.8 to receive legal advice or to discuss:

118.9 (i) noncompliance of a participating state with its obligations under the compact;

118.10 (ii) the employment, compensation, discipline, or other matters, practices, or procedures  
118.11 related to specific employees or other matters related to the commission's internal personnel  
118.12 practices and procedures;

118.13 (iii) current or threatened discipline of a licensee or compact privilege holder by the  
118.14 commission or by a participating state's licensing authority;

118.15 (iv) current, threatened, or reasonably anticipated litigation;

118.16 (v) negotiation of contracts for the purchase, lease, or sale of goods, services, or real  
118.17 estate;

118.18 (vi) accusing any person of a crime or formally censuring any person;

118.19 (vii) trade secrets or commercial or financial information that is privileged or confidential;

118.20 (viii) information of a personal nature where disclosure would constitute a clearly  
118.21 unwarranted invasion of personal privacy;

118.22 (ix) investigative records compiled for law enforcement purposes;

118.23 (x) information related to any investigative reports prepared by or on behalf of or for  
118.24 use of the commission or other committee charged with responsibility of investigation or  
118.25 determination of compliance issues pursuant to the compact;

118.26 (xi) legal advice;

118.27 (xii) matters specifically exempted from disclosure to the public by federal or participating  
118.28 state law; and

118.29 (xiii) other matters as promulgated by the commission by rule.

119.1 (5) If a meeting, or portion of a meeting, is closed, the presiding officer shall state that  
119.2 the meeting will be closed and reference each relevant exempting provision, and such  
119.3 reference shall be recorded in the minutes.

119.4 (6) The commission shall keep minutes that fully and clearly describe all matters  
119.5 discussed in a meeting and shall provide a full and accurate summary of actions taken, and  
119.6 the reasons therefore, including a description of the views expressed. All documents  
119.7 considered in connection with an action shall be identified in such minutes. All minutes and  
119.8 documents of a closed meeting shall remain under seal, subject to release only by a majority  
119.9 vote of the commission or order of a court of competent jurisdiction.

119.10 (E) Financing of the Commission:

119.11 (1) The commission shall pay, or provide for the payment of, the reasonable expenses  
119.12 of its establishment, organization, and ongoing activities.

119.13 (2) The commission may accept any and all appropriate sources of revenue, donations,  
119.14 and grants of money, equipment, supplies, materials, and services.

119.15 (3) The commission may levy on and collect an annual assessment from each participating  
119.16 state and impose fees on licensees of participating states when a compact privilege is granted  
119.17 to cover the cost of the operations and activities of the commission and its staff, which must  
119.18 be in a total amount sufficient to cover its annual budget as approved each fiscal year for  
119.19 which sufficient revenue is not provided by other sources. The aggregate annual assessment  
119.20 amount for participating states shall be allocated based upon a formula that the commission  
119.21 shall promulgate by rule.

119.22 (4) The commission shall not incur obligations of any kind prior to securing the funds  
119.23 adequate to meet the same; nor shall the commission pledge the credit of any participating  
119.24 state, except by and with the authority of the participating state.

119.25 (5) The commission shall keep accurate accounts of all receipts and disbursements. The  
119.26 receipts and disbursements of the commission shall be subject to the financial review and  
119.27 accounting procedures established under the commission's bylaws. All receipts and  
119.28 disbursements of funds handled by the commission shall be subject to an annual financial  
119.29 review by a certified or licensed public accountant, and the report of the financial review  
119.30 shall be included in and become part of the annual report of the commission.

119.31 (F) The Executive Board:

120.1 (1) The executive board shall have the power to act on behalf of the commission according  
120.2 to the terms of this compact. The powers, duties, and responsibilities of the executive board  
120.3 shall include:

120.4 (i) overseeing the day-to-day activities of the administration of the compact including  
120.5 compliance with the provisions of the compact and the commission's rules and bylaws;

120.6 (ii) recommending to the commission changes to the rules or bylaws, changes to this  
120.7 compact legislation, fees charged to compact participating states, fees charged to licensees,  
120.8 and other fees;

120.9 (iii) ensuring compact administration services are appropriately provided, including by  
120.10 contract;

120.11 (iv) preparing and recommending the budget;

120.12 (v) maintaining financial records on behalf of the commission;

120.13 (vi) monitoring compact compliance of participating states and providing compliance  
120.14 reports to the commission;

120.15 (vii) establishing additional committees as necessary;

120.16 (viii) exercising the powers and duties of the commission during the interim between  
120.17 commission meetings, except for adopting or amending rules, adopting or amending bylaws,  
120.18 and exercising any other powers and duties expressly reserved to the commission by rule  
120.19 or bylaw; and

120.20 (ix) other duties as provided in the rules or bylaws of the commission.

120.21 (2) The executive board shall be composed of up to seven members:

120.22 (i) the chair, vice chair, secretary, and treasurer of the commission and any other members  
120.23 of the commission who serve on the executive board shall be voting members of the executive  
120.24 board; and

120.25 (ii) other than the chair, vice chair, secretary, and treasurer, the commission may elect  
120.26 up to three voting members from the current membership of the commission.

120.27 (3) The commission may remove any member of the executive board as provided in the  
120.28 commission's bylaws.

120.29 (4) The executive board shall meet at least annually.

120.30 (i) An executive board meeting at which it takes or intends to take formal action on a  
120.31 matter shall be open to the public, except that the executive board may meet in a closed,

121.1 nonpublic session of a public meeting when dealing with any of the matters covered under  
121.2 (D)(4) of this article.

121.3 (ii) The executive board shall give five business days' notice of its public meetings,  
121.4 posted on its website and as it may otherwise determine to provide notice to persons with  
121.5 an interest in the public matters the executive board intends to address at those meetings.

121.6 (5) The executive board may hold an emergency meeting when acting for the commission  
121.7 to:

121.8 (i) meet an imminent threat to public health, safety, or welfare;

121.9 (ii) prevent a loss of commission or participating state funds; or

121.10 (iii) protect public health and safety.

121.11 (G) Qualified Immunity, Defense, and Indemnification:

121.12 (1) The members, officers, executive director, employees, and representatives of the  
121.13 commission shall be immune from suit and liability, both personally and in their official  
121.14 capacity, for any claim for damage to or loss of property or personal injury or other civil  
121.15 liability caused by or arising out of any actual or alleged act, error, or omission that occurred,  
121.16 or that the person against whom the claim is made had a reasonable basis for believing  
121.17 occurred within the scope of commission employment, duties, or responsibilities; provided  
121.18 that nothing in this paragraph shall be construed to protect any such person from suit or  
121.19 liability for any damage, loss, injury, or liability caused by the intentional or willful or  
121.20 wanton misconduct of that person. The procurement of insurance of any type by the  
121.21 commission shall not in any way compromise or limit the immunity granted hereunder.

121.22 (2) The commission shall defend any member, officer, executive director, employee, or  
121.23 representative of the commission in any civil action seeking to impose liability arising out  
121.24 of any actual or alleged act, error, or omission that occurred within the scope of commission  
121.25 employment, duties, or responsibilities, or as determined by the commission that the person  
121.26 against whom the claim is made had a reasonable basis for believing occurred within the  
121.27 scope of commission employment, duties, or responsibilities; provided that nothing herein  
121.28 shall be construed to prohibit that person from retaining their own counsel at their own  
121.29 expense; and provided further that the actual or alleged act, error, or omission did not result  
121.30 from that person's intentional or willful or wanton misconduct.

121.31 (3) Notwithstanding (G)(1) of this article, should any member, officer, executive director,  
121.32 employee, or representative of the commission be held liable for the amount of any settlement  
121.33 or judgment arising out of any actual or alleged act, error, or omission that occurred within

122.1 the scope of that individual's employment, duties, or responsibilities for the commission,  
122.2 or that the person to whom that individual is liable had a reasonable basis for believing  
122.3 occurred within the scope of the individual's employment, duties, or responsibilities for the  
122.4 commission, the commission shall indemnify and hold harmless such individual; provided  
122.5 that the actual or alleged act, error, or omission did not result from the intentional or willful  
122.6 or wanton misconduct of the individual.

122.7 (4) Nothing herein shall be construed as a limitation on the liability of any licensee for  
122.8 professional malpractice or misconduct, which shall be governed solely by any other  
122.9 applicable state laws.

122.10 (5) Nothing in this compact shall be interpreted to waive or otherwise abrogate a  
122.11 participating state's state action immunity or state action affirmative defense with respect  
122.12 to antitrust claims under the Sherman Act, Clayton Act, or any other state or federal antitrust  
122.13 or anticompetitive law or regulation.

122.14 (6) Nothing in this compact shall be construed to be a waiver of sovereign immunity by  
122.15 the participating states or by the commission.

122.16 (H) Notwithstanding paragraph (G), clause (1), of this article, the liability of the executive  
122.17 director, employees, or representatives of the interstate commission, acting within the scope  
122.18 of their employment or duties, may not exceed the limits of liability set forth under the  
122.19 constitution and laws of this state for state officials, employees, and agents. This paragraph  
122.20 expressly incorporates section 3.736, and neither expands nor limits the rights and remedies  
122.21 provided under that statute.

122.22 (I) Except for a claim alleging a violation of this compact, a claim against the commission,  
122.23 its executive director, employees, or representatives alleging a violation of the constitution  
122.24 and laws of this state may be brought in any county where the plaintiff resides. Nothing in  
122.25 this paragraph creates a private right of action.

122.26 (J) Nothing in this compact shall be construed as a limitation on the liability of any  
122.27 licensee for professional malpractice or misconduct, which shall be governed solely by any  
122.28 other applicable state laws.

122.29 ARTICLE VIII

122.30 DATA SYSTEM

122.31 (A) The commission shall provide for the development, maintenance, operation, and  
122.32 utilization of a coordinated database and reporting system containing licensure, adverse

123.1 action, and the presence of significant investigative information on all licensees and  
123.2 applicants for a license in participating states.

123.3 (B) Notwithstanding any other provision of state law to the contrary, a participating state  
123.4 shall submit a uniform data set to the data system on all individuals to whom this compact  
123.5 is applicable as required by the rules of the commission, including:

123.6 (1) identifying information;

123.7 (2) licensure data;

123.8 (3) adverse actions against a licensee, license applicant, or compact privilege and  
123.9 information related thereto;

123.10 (4) nonconfidential information related to alternative program participation, the beginning  
123.11 and ending dates of such participation, and other information related to such participation;

123.12 (5) any denial of an application for licensure, and the reasons for such denial, excluding  
123.13 the reporting of any criminal history record information where prohibited by law;

123.14 (6) the presence of significant investigative information; and

123.15 (7) other information that may facilitate the administration of this compact or the  
123.16 protection of the public, as determined by the rules of the commission.

123.17 (C) The records and information provided to a participating state pursuant to this compact  
123.18 or through the data system, when certified by the commission or an agent thereof, shall  
123.19 constitute the authenticated business records of the commission, and shall be entitled to any  
123.20 associated hearsay exception in any relevant judicial, quasi-judicial, or administrative  
123.21 proceedings in a participating state.

123.22 (D) Significant investigative information pertaining to a licensee in any participating  
123.23 state will only be available to other participating states.

123.24 (E) It is the responsibility of the participating states to monitor the database to determine  
123.25 whether adverse action has been taken against a licensee or license applicant. Adverse action  
123.26 information pertaining to a licensee or license applicant in any participating state will be  
123.27 available to any other participating state.

123.28 (F) Participating states contributing information to the data system may designate  
123.29 information that may not be shared with the public without the express permission of the  
123.30 contributing state.

124.1 (G) Any information submitted to the data system that is subsequently expunged pursuant  
124.2 to federal law or the laws of the participating state contributing the information shall be  
124.3 removed from the data system.

124.4 ARTICLE IX

124.5 RULEMAKING

124.6 (A) The commission shall promulgate reasonable rules in order to effectively and  
124.7 efficiently implement and administer the purposes and provisions of the compact. A  
124.8 commission rule shall be invalid and have no force or effect only if a court of competent  
124.9 jurisdiction holds that the rule is invalid because the commission exercised its rulemaking  
124.10 authority in a manner that is beyond the scope and purposes of the compact, or the powers  
124.11 granted hereunder, or based upon another applicable standard of review.

124.12 (B) The rules of the commission shall have the force of law in each participating state,  
124.13 provided that where the rules of the commission conflict with the laws of the participating  
124.14 state that establish the participating state's scope of practice as held by a court of competent  
124.15 jurisdiction, the rules of the commission shall be ineffective in that state to the extent of the  
124.16 conflict.

124.17 (C) The commission shall exercise its rulemaking powers pursuant to the criteria set  
124.18 forth in this article and the rules adopted thereunder. Rules shall become binding as of the  
124.19 date specified by the commission for each rule.

124.20 (D) If a majority of the legislatures of the participating states rejects a commission rule  
124.21 or portion of a commission rule, by enactment of a statute or resolution in the same manner  
124.22 used to adopt the compact, within four years of the date of adoption of the rule, then such  
124.23 rule shall have no further force and effect in any participating state or to any state applying  
124.24 to participate in the compact.

124.25 (E) Rules shall be adopted at a regular or special meeting of the commission.

124.26 (F) Prior to adoption of a proposed rule, the commission shall hold a public hearing and  
124.27 allow persons to provide oral and written comments, data, facts, opinions, and arguments.

124.28 (G) Prior to adoption of a proposed rule by the commission, and at least 30 days in  
124.29 advance of the meeting at which the commission will hold a public hearing on the proposed  
124.30 rule, the commission shall provide a notice of proposed rulemaking:

124.31 (1) on the website of the commission or other publicly accessible platform;

125.1 (2) to persons who have requested notice of the commission's notices of proposed  
125.2 rulemaking; and

125.3 (3) in such other ways as the commission may by rule specify.

125.4 (H) The notice of proposed rulemaking shall include:

125.5 (1) the time, date, and location of the public hearing at which the commission will hear  
125.6 public comments on the proposed rule and, if different, the time, date, and location of the  
125.7 meeting where the commission will consider and vote on the proposed rule;

125.8 (2) if the hearing is held via telecommunication, video conference, or other electronic  
125.9 means, the commission shall include the mechanism for access to the hearing in the notice  
125.10 of proposed rulemaking;

125.11 (3) the text of the proposed rule and the reason therefor;

125.12 (4) a request for comments on the proposed rule from any interested person; and

125.13 (5) the manner in which interested persons may submit written comments.

125.14 (I) All hearings will be recorded. A copy of the recording and all written comments and  
125.15 documents received by the commission in response to the proposed rule shall be available  
125.16 to the public.

125.17 (J) Nothing in this article shall be construed as requiring a separate hearing on each  
125.18 commission rule. Rules may be grouped for the convenience of the commission at hearings  
125.19 required by this article.

125.20 (K) The commission shall, by majority vote of all commissioners, take final action on  
125.21 the proposed rule based on the rulemaking record.

125.22 (1) The commission may adopt changes to the proposed rule provided the changes do  
125.23 not enlarge the original purpose of the proposed rule.

125.24 (2) The commission shall provide an explanation of the reasons for substantive changes  
125.25 made to the proposed rule as well as reasons for substantive changes not made that were  
125.26 recommended by commenters.

125.27 (3) The commission shall determine a reasonable effective date for the rule. Except for  
125.28 an emergency as provided in (L) of this article, the effective date of the rule shall be no  
125.29 sooner than 30 days after the commission issuing the notice that it adopted or amended the  
125.30 rule.

126.1 (L) Upon determination that an emergency exists, the commission may consider and  
 126.2 adopt an emergency rule with 24 hours' notice, with opportunity to comment, provided that  
 126.3 the usual rulemaking procedures provided in the compact and in this article shall be  
 126.4 retroactively applied to the rule as soon as reasonably possible, in no event later than 90  
 126.5 days after the effective date of the rule. For the purposes of this provision, an emergency  
 126.6 rule is one that must be adopted immediately in order to:

126.7 (1) meet an imminent threat to public health, safety, or welfare;

126.8 (2) prevent a loss of commission or participating state funds;

126.9 (3) meet a deadline for the promulgation of a rule that is established by federal law or  
 126.10 rule; or

126.11 (4) protect public health and safety.

126.12 (M) The commission or an authorized committee of the commission may direct revisions  
 126.13 to a previously adopted rule for purposes of correcting typographical errors, errors in format,  
 126.14 errors in consistency, or grammatical errors. Public notice of any revisions shall be posted  
 126.15 on the website of the commission. The revision shall be subject to challenge by any person  
 126.16 for a period of 30 days after posting. The revision may be challenged only on grounds that  
 126.17 the revision results in a material change to a rule. A challenge shall be made in writing and  
 126.18 delivered to the commission prior to the end of the notice period. If no challenge is made,  
 126.19 the revision will take effect without further action. If the revision is challenged, the revision  
 126.20 may not take effect without the approval of the commission.

126.21 (N) No participating state's rulemaking requirements shall apply under this compact.

## 126.22 ARTICLE X

### 126.23 OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

126.24 (A) Oversight:

126.25 (1) The executive and judicial branches of state government in each participating state  
 126.26 shall enforce this compact and take all actions necessary and appropriate to implement the  
 126.27 compact.

126.28 (2) Except as provided under article VII, paragraph (I), venue is proper and judicial  
 126.29 proceedings by or against the commission shall be brought solely and exclusively in a court  
 126.30 of competent jurisdiction where the principal office of the commission is located. The  
 126.31 commission may waive venue and jurisdictional defenses to the extent it adopts or consents  
 126.32 to participate in alternative dispute resolution proceedings. Nothing herein shall affect or

127.1 limit the selection or propriety of venue in any action against a licensee for professional  
127.2 malpractice, misconduct, or any such similar matter.

127.3 (3) The commission shall be entitled to receive service of process in any proceeding  
127.4 regarding the enforcement or interpretation of the compact or commission rule and shall  
127.5 have standing to intervene in such a proceeding for all purposes. Failure to provide the  
127.6 commission service of process shall render a judgment or order void as to the commission,  
127.7 this compact, or the promulgated rules.

127.8 (B) Default, Technical Assistance, and Termination:

127.9 (1) If the commission determines that a participating state has defaulted in the  
127.10 performance of its obligations or responsibilities under this compact or the promulgated  
127.11 rules, the commission shall provide written notice to the defaulting state. The notice of  
127.12 default shall describe the default, the proposed means of curing the default, and any other  
127.13 action that the commission may take, and shall offer training and specific technical assistance  
127.14 regarding the default.

127.15 (2) The commission shall provide a copy of the notice of default to the other participating  
127.16 states.

127.17 (C) If a state in default fails to cure the default, the defaulting state may be terminated  
127.18 from the compact upon an affirmative vote of a majority of the commissioners, and all  
127.19 rights, privileges, and benefits conferred on that state by this compact may be terminated  
127.20 on the effective date of termination. A cure of the default does not relieve the offending  
127.21 state of obligations or liabilities incurred during the period of default.

127.22 (D) Termination of participation in the compact shall be imposed only after all other  
127.23 means of securing compliance have been exhausted. Notice of intent to suspend or terminate  
127.24 shall be given by the commission to the governor, the majority and minority leaders of the  
127.25 defaulting state's legislature, the defaulting state's state licensing authority or authorities,  
127.26 as applicable, and each of the participating states' state licensing authority or authorities, as  
127.27 applicable.

127.28 (E) A state that has been terminated is responsible for all assessments, obligations, and  
127.29 liabilities incurred through the effective date of termination, including obligations that  
127.30 extend beyond the effective date of termination.

127.31 (F) Upon the termination of a state's participation in this compact, that state shall  
127.32 immediately provide notice to all licensees of the state, including licensees of other  
127.33 participating states issued a compact privilege to practice within that state, of such

128.1 termination. The terminated state shall continue to recognize all compact privileges then in  
128.2 effect in that state for a minimum of 180 days after the date of said notice of termination.

128.3 (G) The commission shall not bear any costs related to a state that is found to be in  
128.4 default or that has been terminated from the compact, unless agreed upon in writing between  
128.5 the commission and the defaulting state.

128.6 (H) The defaulting state may appeal the action of the commission by petitioning the  
128.7 United States District Court for the District of Columbia or the federal district where the  
128.8 commission has its principal offices. The prevailing party shall be awarded all costs of such  
128.9 litigation, including reasonable attorney fees.

128.10 (I) Dispute Resolution:

128.11 (1) Upon request by a participating state, the commission shall attempt to resolve disputes  
128.12 related to the compact that arise among participating states and between participating states  
128.13 and nonparticipating states.

128.14 (2) The commission shall promulgate a rule providing for both mediation and binding  
128.15 dispute resolution for disputes as appropriate.

128.16 (J) Enforcement:

128.17 (1) The commission, in the reasonable exercise of its discretion, shall enforce the  
128.18 provisions of this compact and the commission's rules.

128.19 (2) By majority vote, the commission may initiate legal action against a participating  
128.20 state in default in the United States District Court for the District of Columbia or the federal  
128.21 district where the commission has its principal offices to enforce compliance with the  
128.22 provisions of the compact and its promulgated rules. The relief sought may include both  
128.23 injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing  
128.24 party shall be awarded all costs of such litigation, including reasonable attorney fees. The  
128.25 remedies herein shall not be the exclusive remedies of the commission. The commission  
128.26 may pursue any other remedies available under federal or the defaulting participating state's  
128.27 law.

128.28 (3) A participating state may initiate legal action against the commission in the United  
128.29 States District Court for the District of Columbia or the federal district where the commission  
128.30 has its principal offices to enforce compliance with the provisions of the compact and its  
128.31 promulgated rules. The relief sought may include both injunctive relief and damages. In the  
128.32 event judicial enforcement is necessary, the prevailing party shall be awarded all costs of  
128.33 such litigation, including reasonable attorney fees.

129.1 (4) No individual or entity other than a participating state may enforce this compact  
129.2 against the commission.

129.3 ARTICLE XI

129.4 EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT

129.5 (A) The compact shall come into effect on the date on which the compact statute is  
129.6 enacted into law in the seventh participating state.

129.7 (1) On or after the effective date of the compact, the commission shall convene and  
129.8 review the enactment of each of the states that enacted the compact prior to the commission  
129.9 convening ("charter participating states") to determine if the statute enacted by each such  
129.10 charter participating state is materially different than the model compact.

129.11 (i) A charter participating state whose enactment is found to be materially different from  
129.12 the model compact shall be entitled to the default process set forth in article X.

129.13 (ii) If any participating state is later found to be in default, or is terminated or withdraws  
129.14 from the compact, the commission shall remain in existence and the compact shall remain  
129.15 in effect even if the number of participating states should be less than seven.

129.16 (2) Participating states enacting the compact subsequent to the charter participating states  
129.17 shall be subject to the process set forth in article VII, (C)(23), to determine if their enactments  
129.18 are materially different from the model compact and whether they qualify for participation  
129.19 in the compact.

129.20 (3) All actions taken for the benefit of the commission or in furtherance of the purposes  
129.21 of the administration of the compact prior to the effective date of the compact or the  
129.22 commission coming into existence shall be considered to be actions of the commission  
129.23 unless specifically repudiated by the commission.

129.24 (4) Any state that joins the compact subsequent to the commission's initial adoption of  
129.25 the rules and bylaws shall be subject to the commission's rules and bylaws as they exist on  
129.26 the date on which the compact becomes law in that state. Any rule that has been previously  
129.27 adopted by the commission shall have the full force and effect of law on the day the compact  
129.28 becomes law in that state.

129.29 (B) Any participating state may withdraw from this compact by enacting a statute  
129.30 repealing that state's enactment of the compact.

129.31 (1) A participating state's withdrawal shall not take effect until 180 days after enactment  
129.32 of the repealing statute.

130.1 (2) Withdrawal shall not affect the continuing requirement of the withdrawing state's  
130.2 licensing authority or authorities to comply with the investigative and adverse action reporting  
130.3 requirements of this compact prior to the effective date of withdrawal.

130.4 (3) Upon the enactment of a statute withdrawing from this compact, the state shall  
130.5 immediately provide notice of such withdrawal to all licensees within that state.  
130.6 Notwithstanding any subsequent statutory enactment to the contrary, such withdrawing  
130.7 state shall continue to recognize all compact privileges to practice within that state granted  
130.8 pursuant to this compact for a minimum of 180 days after the date of such notice of  
130.9 withdrawal.

130.10 (C) Nothing contained in this compact shall be construed to invalidate or prevent any  
130.11 licensure agreement or other cooperative arrangement between a participating state and a  
130.12 nonparticipating state that does not conflict with the provisions of this compact.

130.13 (D) This compact may be amended by the participating states. No amendment to this  
130.14 compact shall become effective and binding upon any participating state until it is enacted  
130.15 into the laws of all participating states.

## 130.16 ARTICLE XII

### 130.17 CONSTRUCTION AND SEVERABILITY

130.18 (A) This compact and the commission's rulemaking authority shall be liberally construed  
130.19 so as to effectuate the purposes and the implementation and administration of the compact.  
130.20 Provisions of the compact expressly authorizing or requiring the promulgation of rules shall  
130.21 not be construed to limit the commission's rulemaking authority solely for those purposes.

130.22 (B) The provisions of this compact shall be severable and if any phrase, clause, sentence,  
130.23 or provision of this compact is held by a court of competent jurisdiction to be contrary to  
130.24 the constitution of any participating state, a state seeking participation in the compact, or  
130.25 of the United States, or the applicability thereof to any government, agency, person, or  
130.26 circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity  
130.27 of the remainder of this compact and the applicability thereof to any other government,  
130.28 agency, person, or circumstance shall not be affected thereby.

130.29 (C) Notwithstanding (B) of this article, the commission may deny a state's participation  
130.30 in the compact or, in accordance with the requirements of article X, (B), terminate a  
130.31 participating state's participation in the compact, if it determines that a constitutional  
130.32 requirement of a participating state is a material departure from the compact. Otherwise, if  
130.33 this compact shall be held to be contrary to the constitution of any participating state, the

131.1 compact shall remain in full force and effect as to the remaining participating states and in  
 131.2 full force and effect as to the participating state affected as to all severable matters.

131.3 ARTICLE XIII

131.4 CONSISTENT EFFECT AND CONFLICT WITH OTHER STATE LAWS

131.5 (A) Nothing herein shall prevent or inhibit the enforcement of any other law of a  
 131.6 participating state that is not inconsistent with the compact.

131.7 (B) Any laws, statutes, regulations, or other legal requirements in a participating state  
 131.8 in conflict with the compact are superseded to the extent of the conflict.

131.9 (C) All permissible agreements between the commission and the participating states are  
 131.10 binding in accordance with their terms.

131.11 ARTICLE 7

131.12 SOCIAL WORKERS

131.13 Section 1. [148E.40] TITLE.

131.14 Sections 148E.40 to 148E.55 shall be known and cited as the social work services  
 131.15 licensure compact.

131.16 Sec. 2. [148E.41] DEFINITIONS.

131.17 As used in this Compact, and except as otherwise provided, the following definitions  
 131.18 shall apply:

131.19 (1) "Active military member" means any individual with full-time duty status in the  
 131.20 active armed forces of the United States, including members of the National Guard and  
 131.21 Reserve.

131.22 (2) "Adverse action" means any administrative, civil, equitable, or criminal action  
 131.23 permitted by a state's laws which is imposed by a licensing authority or other authority  
 131.24 against a regulated social worker, including actions against an individual's license or  
 131.25 multistate authorization to practice such as revocation, suspension, probation, monitoring  
 131.26 of the licensee, limitation on the licensee's practice, or any other encumbrance on licensure  
 131.27 affecting a regulated social worker's authorization to practice, including issuance of a cease  
 131.28 and desist action.

131.29 (3) "Alternative program" means a nondisciplinary monitoring or practice remediation  
 131.30 process approved by a licensing authority to address practitioners with an impairment.

132.1 (4) "Charter member states" means member states who have enacted legislation to adopt  
132.2 this Compact where such legislation predates the effective date of this Compact as described  
132.3 in section 148E.53.

132.4 (5) "Compact" means sections 148E.40 to 148E.55.

132.5 (6) "Compact Commission" or "Commission" means the government agency whose  
132.6 membership consists of all States that have enacted this Compact, which is known as the  
132.7 Social Work Licensure Compact Commission, as described in section 148E.49, and which  
132.8 shall operate as an instrumentality of the member states.

132.9 (7) "Current significant investigative information" means:

132.10 (i) investigative information that a licensing authority, after a preliminary inquiry that  
132.11 includes notification and an opportunity for the regulated social worker to respond, has  
132.12 reason to believe is not groundless and, if proved true, would indicate more than a minor  
132.13 infraction as may be defined by the Commission; or

132.14 (ii) investigative information that indicates that the regulated social worker represents  
132.15 an immediate threat to public health and safety, as may be defined by the Commission,  
132.16 regardless of whether the regulated social worker has been notified and has had an  
132.17 opportunity to respond.

132.18 (8) "Data system" means a repository of information about licensees, including continuing  
132.19 education, examinations, licensure, current significant investigative information, disqualifying  
132.20 events, multistate licenses, and adverse action information or other information as required  
132.21 by the Commission.

132.22 (9) "Disqualifying event" means any adverse action or incident which results in an  
132.23 encumbrance that disqualifies or makes the licensee ineligible to obtain, retain, or renew a  
132.24 multistate license.

132.25 (10) "Domicile" means the jurisdiction in which the licensee resides and intends to  
132.26 remain indefinitely.

132.27 (11) "Encumbrance" means a revocation or suspension of, or any limitation on, the full  
132.28 and unrestricted practice of social work licensed and regulated by a licensing authority.

132.29 (12) "Executive Committee" means a group of delegates elected or appointed to act on  
132.30 behalf of, and within the powers granted to them by, the Compact and Commission.

132.31 (13) "Home state" means the member state that is the licensee's primary domicile.

133.1 (14) "Impairment" means a condition that may impair a practitioner's ability to engage  
133.2 in full and unrestricted practice as a regulated social worker without some type of intervention  
133.3 and may include alcohol and drug dependence, mental health impairment, and neurological  
133.4 or physical impairments.

133.5 (15) "Licensee" means an individual who currently holds a license from a state to practice  
133.6 as a regulated social worker.

133.7 (16) "Licensing authority" means the board or agency of a member state, or equivalent,  
133.8 that is responsible for the licensing and regulation of regulated social workers.

133.9 (17) "Member state" means a state, commonwealth, district, or territory of the United  
133.10 States of America that has enacted this Compact.

133.11 (18) "Multistate authorization to practice" means a legally authorized privilege to practice,  
133.12 which is equivalent to a license, associated with a multistate license permitting the practice  
133.13 of social work in a remote state.

133.14 (19) "Multistate license" means a license to practice as a regulated social worker issued  
133.15 by a home state licensing authority that authorizes the regulated social worker to practice  
133.16 in all member states under multistate authorization to practice.

133.17 (20) "Qualifying national exam" means a national licensing examination approved by  
133.18 the Commission.

133.19 (21) "Regulated social worker" means any clinical, master's, or bachelor's social worker  
133.20 licensed by a member state regardless of the title used by that member state.

133.21 (22) "Remote state" means a member state other than the licensee's home state.

133.22 (23) "Rule" or "rule of the Commission" means a regulation or regulations duly  
133.23 promulgated by the Commission, as authorized by the Compact, that has the force of law.

133.24 (24) "Single state license" means a social work license issued by any state that authorizes  
133.25 practice only within the issuing state and does not include multistate authorization to practice  
133.26 in any member state.

133.27 (25) "Social work" or "social work services" means the application of social work theory,  
133.28 knowledge, methods, ethics, and the professional use of self to restore or enhance social,  
133.29 psychosocial, or biopsychosocial functioning of individuals, couples, families, groups,  
133.30 organizations, and communities through the care and services provided by a regulated social  
133.31 worker as set forth in the member state's statutes and regulations in the state where the  
133.32 services are being provided.

134.1 (26) "State" means any state, commonwealth, district, or territory of the United States  
134.2 of America that regulates the practice of social work.

134.3 (27) "Unencumbered license" means a license that authorizes a regulated social worker  
134.4 to engage in the full and unrestricted practice of social work.

134.5 **Sec. 3. [148E.42] STATE PARTICIPATION IN THE COMPACT.**

134.6 (a) To be eligible to participate in the compact, a potential member state must currently  
134.7 meet all of the following criteria:

134.8 (1) license and regulate the practice of social work at either the clinical, master's, or  
134.9 bachelor's category;

134.10 (2) require applicants for licensure to graduate from a program that:

134.11 (i) is operated by a college or university recognized by the licensing authority;

134.12 (ii) is accredited, or in candidacy by an institution that subsequently becomes accredited,  
134.13 by an accrediting agency recognized by either:

134.14 (A) the Council for Higher Education Accreditation, or its successor; or

134.15 (B) the United States Department of Education; and

134.16 (iii) corresponds to the licensure sought as outlined in section 148E.43;

134.17 (3) require applicants for clinical licensure to complete a period of supervised practice;  
134.18 and

134.19 (4) have a mechanism in place for receiving, investigating, and adjudicating complaints  
134.20 about licensees.

134.21 (b) To maintain membership in the Compact, a member state shall:

134.22 (1) require that applicants for a multistate license pass a qualifying national exam for  
134.23 the corresponding category of multistate license sought as outlined in section 148E.43;

134.24 (2) participate fully in the Commission's data system, including using the Commission's  
134.25 unique identifier as defined in rules;

134.26 (3) notify the Commission, in compliance with the terms of the Compact and rules, of  
134.27 any adverse action or the availability of current significant investigative information regarding  
134.28 a licensee;

134.29 (4) implement procedures for considering the criminal history records of applicants for  
134.30 a multistate license. Such procedures shall include the submission of fingerprints or other

135.1 biometric-based information by applicants for the purpose of obtaining an applicant's criminal  
 135.2 history record information from the Federal Bureau of Investigation and the agency  
 135.3 responsible for retaining that state's criminal records;

135.4 (5) comply with the rules of the Commission;

135.5 (6) require an applicant to obtain or retain a license in the home state and meet the home  
 135.6 state's qualifications for licensure or renewal of licensure, as well as all other applicable  
 135.7 home state laws;

135.8 (7) authorize a licensee holding a multistate license in any member state to practice in  
 135.9 accordance with the terms of the Compact and rules of the Commission; and

135.10 (8) designate a delegate to participate in the Commission meetings.

135.11 (c) A member state meeting the requirements of paragraphs (a) and (b) shall designate  
 135.12 the categories of social work licensure that are eligible for issuance of a multistate license  
 135.13 for applicants in such member state. To the extent that any member state does not meet the  
 135.14 requirements for participation in the Compact at any particular category of social work  
 135.15 licensure, such member state may choose but is not obligated to issue a multistate license  
 135.16 to applicants that otherwise meet the requirements of section 148E.43 for issuance of a  
 135.17 multistate license in such category or categories of licensure.

135.18 (d) The home state may charge a fee for granting the multistate license.

135.19 **Sec. 4. [148E.43] SOCIAL WORKER PARTICIPATION IN THE COMPACT.**

135.20 (a) To be eligible for a multistate license under the terms and provisions of the Compact,  
 135.21 an applicant, regardless of category, must:

135.22 (1) hold or be eligible for an active, unencumbered license in the home state;

135.23 (2) pay any applicable fees, including any state fee, for the multistate license;

135.24 (3) submit, in connection with an application for a multistate license, fingerprints or  
 135.25 other biometric data for the purpose of obtaining criminal history record information from  
 135.26 the Federal Bureau of Investigation and the agency responsible for retaining that state's  
 135.27 criminal records;

135.28 (4) notify the home state of any adverse action, encumbrance, or restriction on any  
 135.29 professional license taken by any member state or nonmember state within 30 days from  
 135.30 the date the action is taken;

135.31 (5) meet any continuing competence requirements established by the home state; and

136.1 (6) abide by the laws, regulations, and applicable standards in the member state where  
136.2 the client is located at the time care is rendered.

136.3 (b) An applicant for a clinical-category multistate license must meet all of the following  
136.4 requirements:

136.5 (1) fulfill a competency requirement, which shall be satisfied by either:

136.6 (i) passage of a clinical-category qualifying national exam;

136.7 (ii) licensure of the applicant in their home state at the clinical category, beginning prior  
136.8 to such time as a qualifying national exam was required by the home state and accompanied  
136.9 by a period of continuous social work licensure thereafter, all of which may be further  
136.10 governed by the rules of the Commission; or

136.11 (iii) the substantial equivalency of the foregoing competency requirements which the  
136.12 Commission may determine by rule;

136.13 (2) attain at least a master's degree in social work from a program that is:

136.14 (i) operated by a college or university recognized by the licensing authority; and

136.15 (ii) accredited, or in candidacy that subsequently becomes accredited, by an accrediting  
136.16 agency recognized by either:

136.17 (A) the Council for Higher Education Accreditation or its successor; or

136.18 (B) the United States Department of Education; and

136.19 (3) fulfill a practice requirement, which shall be satisfied by demonstrating completion  
136.20 of:

136.21 (i) a period of postgraduate supervised clinical practice equal to a minimum of 3,000  
136.22 hours;

136.23 (ii) a minimum of two years of full-time postgraduate supervised clinical practice; or

136.24 (iii) the substantial equivalency of the foregoing practice requirements which the  
136.25 Commission may determine by rule.

136.26 (c) An applicant for a master's-category multistate license must meet all of the following  
136.27 requirements:

136.28 (1) fulfill a competency requirement, which shall be satisfied by either:

136.29 (i) passage of a masters-category qualifying national exam;

137.1 (ii) licensure of the applicant in their home state at the master's category, beginning prior  
137.2 to such time as a qualifying national exam was required by the home state at the master's  
137.3 category and accompanied by a continuous period of social work licensure thereafter, all  
137.4 of which may be further governed by the rules of the Commission; or

137.5 (iii) the substantial equivalency of the foregoing competency requirements which the  
137.6 Commission may determine by rule; and

137.7 (2) attain at least a master's degree in social work from a program that is:

137.8 (i) operated by a college or university recognized by the licensing authority; and

137.9 (ii) accredited, or in candidacy that subsequently becomes accredited, by an accrediting  
137.10 agency recognized by either:

137.11 (A) the Council for Higher Education Accreditation or its successor; or

137.12 (B) the United States Department of Education.

137.13 (d) An applicant for a bachelor's-category multistate license must meet all of the following  
137.14 requirements:

137.15 (1) fulfill a competency requirement, which shall be satisfied by either:

137.16 (i) passage of a bachelor's-category qualifying national exam;

137.17 (ii) licensure of the applicant in their home state at the bachelor's category, beginning  
137.18 prior to such time as a qualifying national exam was required by the home state and  
137.19 accompanied by a period of continuous social work licensure thereafter, all of which may  
137.20 be further governed by the rules of the Commission; or

137.21 (iii) the substantial equivalency of the foregoing competency requirements which the  
137.22 Commission may determine by rule; and

137.23 (2) attain at least a bachelor's degree in social work from a program that is:

137.24 (i) operated by a college or university recognized by the licensing authority; and

137.25 (ii) accredited, or in candidacy that subsequently becomes accredited, by an accrediting  
137.26 agency recognized by either:

137.27 (A) the Council for Higher Education Accreditation or its successor; or

137.28 (B) the United States Department of Education.

138.1 (e) The multistate license for a regulated social worker is subject to the renewal  
138.2 requirements of the home state. The regulated social worker must maintain compliance with  
138.3 the requirements of paragraph (a) to be eligible to renew a multistate license.

138.4 (f) The regulated social worker's services in a remote state are subject to that member  
138.5 state's regulatory authority. A remote state may, in accordance with due process and that  
138.6 member state's laws, remove a regulated social worker's multistate authorization to practice  
138.7 in the remote state for a specific period of time, impose fines, and take any other necessary  
138.8 actions to protect the health and safety of its citizens.

138.9 (g) If a multistate license is encumbered, the regulated social worker's multistate  
138.10 authorization to practice shall be deactivated in all remote states until the multistate license  
138.11 is no longer encumbered.

138.12 (h) If a multistate authorization to practice is encumbered in a remote state, the regulated  
138.13 social worker's multistate authorization to practice may be deactivated in that state until the  
138.14 multistate authorization to practice is no longer encumbered.

138.15 **Sec. 5. [148E.44] ISSUANCE OF A MULTISTATE LICENSE.**

138.16 (a) Upon receipt of an application for multistate license, the home state licensing authority  
138.17 shall determine the applicant's eligibility for a multistate license in accordance with section  
138.18 148E.43.

138.19 (b) If such applicant is eligible pursuant to section 148E.43, the home state licensing  
138.20 authority shall issue a multistate license that authorizes the applicant or regulated social  
138.21 worker to practice in all member states under a multistate authorization to practice.

138.22 (c) Upon issuance of a multistate license, the home state licensing authority shall designate  
138.23 whether the regulated social worker holds a multistate license in the bachelor's, master's,  
138.24 or clinical category of social work.

138.25 (d) A multistate license issued by a home state to a resident in that state shall be  
138.26 recognized by all Compact member states as authorizing social work practice under a  
138.27 multistate authorization to practice corresponding to each category of licensure regulated  
138.28 in each member state.

138.29 **Sec. 6. [148E.45] AUTHORITY OF INTERSTATE COMPACT COMMISSION**  
138.30 **AND MEMBER STATE LICENSING AUTHORITIES.**

138.31 (a) Nothing in this Compact, nor any rule of the Commission, shall be construed to limit,  
138.32 restrict, or in any way reduce the ability of a member state to enact and enforce laws,

139.1 regulations, or other rules related to the practice of social work in that state, where those  
139.2 laws, regulations, or other rules are not inconsistent with the provisions of this Compact.

139.3 (b) Nothing in this Compact shall affect the requirements established by a member state  
139.4 for the issuance of a single state license.

139.5 (c) Nothing in this Compact, nor any rule of the Commission, shall be construed to limit,  
139.6 restrict, or in any way reduce the ability of a member state to take adverse action against a  
139.7 licensee's single state license to practice social work in that state.

139.8 (d) Nothing in this Compact, nor any rule of the Commission, shall be construed to limit,  
139.9 restrict, or in any way reduce the ability of a remote state to take adverse action against a  
139.10 licensee's multistate authorization to practice in that state.

139.11 (e) Nothing in this Compact, nor any rule of the Commission, shall be construed to limit,  
139.12 restrict, or in any way reduce the ability of a licensee's home state to take adverse action  
139.13 against a licensee's multistate license based upon information provided by a remote state.

139.14 **Sec. 7. [148E.46] REISSUANCE OF A MULTISTATE LICENSE BY A NEW HOME**  
139.15 **STATE.**

139.16 (a) A licensee can hold a multistate license, issued by their home state, in only one  
139.17 member state at any given time.

139.18 (b) If a licensee changes their home state by moving between two member states:

139.19 (1) The licensee shall immediately apply for the reissuance of their multistate license in  
139.20 their new home state. The licensee shall pay all applicable fees and notify the prior home  
139.21 state in accordance with the rules of the Commission.

139.22 (2) Upon receipt of an application to reissue a multistate license, the new home state  
139.23 shall verify that the multistate license is active, unencumbered, and eligible for reissuance  
139.24 under the terms of the Compact and the rules of the Commission. The multistate license  
139.25 issued by the prior home state will be deactivated and all member states notified in  
139.26 accordance with the applicable rules adopted by the Commission.

139.27 (3) Prior to the reissuance of the multistate license, the new home state shall conduct  
139.28 procedures for considering the criminal history records of the licensee. Such procedures  
139.29 shall include the submission of fingerprints or other biometric-based information by  
139.30 applicants for the purpose of obtaining an applicant's criminal history record information  
139.31 from the Federal Bureau of Investigation and the agency responsible for retaining that state's  
139.32 criminal records.

140.1 (4) If required for initial licensure, the new home state may require completion of  
140.2 jurisprudence requirements in the new home state.

140.3 (5) Notwithstanding any other provision of this Compact, if a licensee does not meet  
140.4 the requirements set forth in this Compact for the reissuance of a multistate license by the  
140.5 new home state, then the licensee shall be subject to the new home state requirements for  
140.6 the issuance of a single state license in that state.

140.7 (c) If a licensee changes their primary state of residence by moving from a member state  
140.8 to a nonmember state, or from a nonmember state to a member state, then the licensee shall  
140.9 be subject to the state requirements for the issuance of a single state license in the new home  
140.10 state.

140.11 (d) Nothing in this Compact shall interfere with a licensee's ability to hold a single state  
140.12 license in multiple states; however, for the purposes of this Compact, a licensee shall have  
140.13 only one home state, and only one multistate license.

140.14 (e) Nothing in this Compact shall interfere with the requirements established by a member  
140.15 state for the issuance of a single state license.

140.16 **Sec. 8. [148E.47] MILITARY FAMILIES.**

140.17 An active military member or their spouse shall designate a home state where the  
140.18 individual has a multistate license. The individual may retain their home state designation  
140.19 during the period the service member is on active duty.

140.20 **Sec. 9. [148E.48] ADVERSE ACTIONS.**

140.21 (a) In addition to the other powers conferred by state law, a remote state shall have the  
140.22 authority, in accordance with existing state due process law, to:

140.23 (1) take adverse action against a regulated social worker's multistate authorization to  
140.24 practice only within that member state, and issue subpoenas for both hearings and  
140.25 investigations that require the attendance and testimony of witnesses as well as the production  
140.26 of evidence. Subpoenas issued by a licensing authority in a member state for the attendance  
140.27 and testimony of witnesses or the production of evidence from another member state shall  
140.28 be enforced in the latter state by any court of competent jurisdiction, according to the practice  
140.29 and procedure of that court applicable to subpoenas issued in proceedings pending before  
140.30 it. The issuing licensing authority shall pay any witness fees, travel expenses, mileage, and  
140.31 other fees required by the service statutes of the state in which the witnesses or evidence  
140.32 are located; and

141.1 (2) only the home state shall have the power to take adverse action against a regulated  
141.2 social worker's multistate license.

141.3 (b) For purposes of taking adverse action, the home state shall give the same priority  
141.4 and effect to reported conduct received from a member state as it would if the conduct had  
141.5 occurred within the home state. In so doing, the home state shall apply its own state laws  
141.6 to determine appropriate action.

141.7 (c) The home state shall complete any pending investigations of a regulated social worker  
141.8 who changes their home state during the course of the investigations. The home state shall  
141.9 also have the authority to take appropriate action and shall promptly report the conclusions  
141.10 of the investigations to the administrator of the data system. The administrator of the data  
141.11 system shall promptly notify the new home state of any adverse actions.

141.12 (d) A member state, if otherwise permitted by state law, may recover from the affected  
141.13 regulated social worker the costs of investigations and dispositions of cases resulting from  
141.14 any adverse action taken against that regulated social worker.

141.15 (e) A member state may take adverse action based on the factual findings of another  
141.16 member state, provided that the member state follows its own procedures for taking the  
141.17 adverse action.

141.18 (f) Joint investigations:

141.19 (1) In addition to the authority granted to a member state by its respective social work  
141.20 practice act or other applicable state law, any member state may participate with other  
141.21 member states in joint investigations of licensees.

141.22 (2) Member states shall share any investigative, litigation, or compliance materials in  
141.23 furtherance of any joint or individual investigation initiated under the Compact.

141.24 (g) If adverse action is taken by the home state against the multistate license of a regulated  
141.25 social worker, the regulated social worker's multistate authorization to practice in all other  
141.26 member states shall be deactivated until all encumbrances have been removed from the  
141.27 multistate license. All home state disciplinary orders that impose adverse action against the  
141.28 license of a regulated social worker shall include a statement that the regulated social worker's  
141.29 multistate authorization to practice is deactivated in all member states until all conditions  
141.30 of the decision, order, or agreement are satisfied.

141.31 (h) If a member state takes adverse action, it shall promptly notify the administrator of  
141.32 the data system. The administrator of the data system shall promptly notify the home state  
141.33 and all other member states of any adverse actions by remote states.

142.1 (i) Nothing in this compact shall override a member state's decision that participation  
142.2 in an alternative program may be used in lieu of adverse action.

142.3 (j) Nothing in this Compact shall authorize a member state to demand the issuance of  
142.4 subpoenas for attendance and testimony of witnesses or the production of evidence from  
142.5 another member state for lawful actions within that member state.

142.6 (k) Nothing in this Compact shall authorize a member state to impose discipline against  
142.7 a regulated social worker who holds a multistate authorization to practice for lawful actions  
142.8 within another member state.

142.9 **Sec. 10. [148E.49] ESTABLISHMENT OF SOCIAL WORK LICENSURE**  
142.10 **COMPACT COMMISSION.**

142.11 (a) The Compact member states hereby create and establish a joint government agency  
142.12 whose membership consists of all member states that have enacted the compact known as  
142.13 the Social Work Licensure Compact Commission. The Commission is an instrumentality  
142.14 of the Compact states acting jointly and not an instrumentality of any one state. The  
142.15 Commission shall come into existence on or after the effective date of the Compact as set  
142.16 forth in section 148E.53.

142.17 (b) Membership, voting, and meetings:

142.18 (1) Each member state shall have and be limited to one delegate selected by that member  
142.19 state's state licensing authority.

142.20 (2) The delegate shall be either:

142.21 (i) a current member of the state licensing authority at the time of appointment, who is  
142.22 a regulated social worker or public member of the state licensing authority; or

142.23 (ii) an administrator of the state licensing authority or their designee.

142.24 (3) The Commission shall by rule or bylaw establish a term of office for delegates and  
142.25 may by rule or bylaw establish term limits.

142.26 (4) The Commission may recommend removal or suspension of any delegate from office.

142.27 (5) A member state's state licensing authority shall fill any vacancy of its delegate  
142.28 occurring on the Commission within 60 days of the vacancy.

142.29 (6) Each delegate shall be entitled to one vote on all matters before the Commission  
142.30 requiring a vote by Commission delegates.

143.1 (7) A delegate shall vote in person or by such other means as provided in the bylaws.

143.2 The bylaws may provide for delegates to meet by telecommunication, video conference, or  
143.3 other means of communication.

143.4 (8) The Commission shall meet at least once during each calendar year. Additional  
143.5 meetings may be held as set forth in the bylaws. The Commission may meet by  
143.6 telecommunication, video conference, or other similar electronic means.

143.7 (c) The Commission shall have the following powers:

143.8 (1) establish the fiscal year of the Commission;

143.9 (2) establish code of conduct and conflict of interest policies;

143.10 (3) establish and amend rules and bylaws;

143.11 (4) maintain its financial records in accordance with the bylaws;

143.12 (5) meet and take such actions as are consistent with the provisions of this Compact, the  
143.13 Commission's rules, and the bylaws;

143.14 (6) initiate and conclude legal proceedings or actions in the name of the Commission,  
143.15 provided that the standing of any state licensing board to sue or be sued under applicable  
143.16 law shall not be affected;

143.17 (7) maintain and certify records and information provided to a member state as the  
143.18 authenticated business records of the Commission, and designate an agent to do so on the  
143.19 Commission's behalf;

143.20 (8) purchase and maintain insurance and bonds;

143.21 (9) borrow, accept, or contract for services of personnel, including but not limited to  
143.22 employees of a member state;

143.23 (10) conduct an annual financial review;

143.24 (11) hire employees, elect or appoint officers, fix compensation, define duties, grant  
143.25 such individuals appropriate authority to carry out the purposes of the Compact, and establish  
143.26 the Commission's personnel policies and programs relating to conflicts of interest,  
143.27 qualifications of personnel, and other related personnel matters;

143.28 (12) assess and collect fees;

143.29 (13) accept any and all appropriate gifts, donations, grants of money, other sources of  
143.30 revenue, equipment, supplies, materials, and services, and receive, utilize, and dispose of

- 144.1 the same, provided that at all times the Commission shall avoid any appearance of  
144.2 impropriety or conflict of interest;
- 144.3 (14) lease, purchase, retain, own, hold, improve, or use any property real, personal, or  
144.4 mixed, or any undivided interest therein;
- 144.5 (15) sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of  
144.6 any property real, personal, or mixed;
- 144.7 (16) establish a budget and make expenditures;
- 144.8 (17) borrow money;
- 144.9 (18) appoint committees, including standing committees, composed of members, state  
144.10 regulators, state legislators or their representatives, and consumer representatives, and such  
144.11 other interested persons as may be designated in this Compact and the bylaws;
- 144.12 (19) provide and receive information from, and cooperate with, law enforcement agencies;
- 144.13 (20) establish and elect an Executive Committee, including a chair and a vice chair;
- 144.14 (21) determine whether a state's adopted language is materially different from the model  
144.15 compact language such that the state would not qualify for participation in the Compact;  
144.16 and
- 144.17 (22) perform such other functions as may be necessary or appropriate to achieve the  
144.18 purposes of this Compact.
- 144.19 (d) The Executive Committee:
- 144.20 (1) The Executive Committee shall have the power to act on behalf of the Commission  
144.21 according to the terms of this Compact. The powers, duties, and responsibilities of the  
144.22 Executive Committee shall include:
- 144.23 (i) oversee the day-to-day activities of the administration of the Compact, including  
144.24 enforcement and compliance with the provisions of the Compact, its rules and bylaws, and  
144.25 other such duties as deemed necessary;
- 144.26 (ii) recommend to the Commission changes to the rules or bylaws, changes to this  
144.27 Compact legislation, fees charged to Compact member states, fees charged to licensees,  
144.28 and other fees;
- 144.29 (iii) ensure Compact administration services are appropriately provided, including by  
144.30 contract;
- 144.31 (iv) prepare and recommend the budget;

- 145.1 (v) maintain financial records on behalf of the Commission;
- 145.2 (vi) monitor Compact compliance of member states and provide compliance reports to  
145.3 the Commission;
- 145.4 (vii) establish additional committees as necessary;
- 145.5 (viii) exercise the powers and duties of the Commission during the interim between  
145.6 Commission meetings, except for adopting or amending rules, adopting or amending bylaws,  
145.7 and exercising any other powers and duties expressly reserved to the Commission by rule  
145.8 or bylaw; and
- 145.9 (ix) other duties as provided in the rules or bylaws of the Commission.
- 145.10 (2) The Executive Committee shall be composed of up to 11 members:
- 145.11 (i) the chair and vice chair of the Commission shall be voting members of the Executive  
145.12 Committee;
- 145.13 (ii) the Commission shall elect five voting members from the current membership of  
145.14 the Commission;
- 145.15 (iii) up to four ex-officio, nonvoting members from four recognized national social work  
145.16 organizations; and
- 145.17 (iv) the ex-officio members will be selected by their respective organizations.
- 145.18 (3) The Commission may remove any member of the Executive Committee as provided  
145.19 in the Commission's bylaws.
- 145.20 (4) The Executive Committee shall meet at least annually.
- 145.21 (i) Executive Committee meetings shall be open to the public, except that the Executive  
145.22 Committee may meet in a closed, nonpublic meeting as provided in paragraph (f), clause  
145.23 (2).
- 145.24 (ii) The Executive Committee shall give seven days' notice of its meetings posted on its  
145.25 website and as determined to provide notice to persons with an interest in the business of  
145.26 the Commission.
- 145.27 (iii) The Executive Committee may hold a special meeting in accordance with paragraph  
145.28 (f), clause (1), item (ii).
- 145.29 (e) The Commission shall adopt and provide to the member states an annual report.
- 145.30 (f) Meetings of the Commission:

146.1 (1) All meetings shall be open to the public, except that the Commission may meet in a  
146.2 closed, nonpublic meeting as provided in paragraph (f), clause (2).

146.3 (i) Public notice for all meetings of the full Commission of meetings shall be given in  
146.4 the same manner as required under the rulemaking provisions in section 148E.51, except  
146.5 that the Commission may hold a special meeting as provided in paragraph (f), clause (1),  
146.6 item (ii).

146.7 (ii) The Commission may hold a special meeting when it must meet to conduct emergency  
146.8 business by giving 48 hours' notice to all commissioners on the Commission's website and  
146.9 other means as provided in the Commission's rules. The Commission's legal counsel shall  
146.10 certify that the Commission's need to meet qualifies as an emergency.

146.11 (2) The Commission or the Executive Committee or other committees of the Commission  
146.12 may convene in a closed, nonpublic meeting for the Commission or Executive Committee  
146.13 or other committees of the Commission to receive legal advice or to discuss:

146.14 (i) noncompliance of a member state with its obligations under the Compact;

146.15 (ii) the employment, compensation, discipline, or other matters, practices, or procedures  
146.16 related to specific employees;

146.17 (iii) current or threatened discipline of a licensee by the Commission or by a member  
146.18 state's licensing authority;

146.19 (iv) current, threatened, or reasonably anticipated litigation;

146.20 (v) negotiation of contracts for the purchase, lease, or sale of goods, services, or real  
146.21 estate;

146.22 (vi) accusing any person of a crime or formally censuring any person;

146.23 (vii) trade secrets or commercial or financial information that is privileged or confidential;

146.24 (viii) information of a personal nature where disclosure would constitute a clearly  
146.25 unwarranted invasion of personal privacy;

146.26 (ix) investigative records compiled for law enforcement purposes;

146.27 (x) information related to any investigative reports prepared by or on behalf of or for  
146.28 use of the Commission or other committee charged with responsibility of investigation or  
146.29 determination of compliance issues pursuant to the Compact;

146.30 (xi) matters specifically exempted from disclosure by federal or member state law; or

146.31 (xii) other matters as promulgated by the Commission by rule.

147.1 (3) If a meeting, or portion of a meeting, is closed, the presiding officer shall state that  
147.2 the meeting will be closed and reference each relevant exempting provision, and such  
147.3 reference shall be recorded in the minutes.

147.4 (4) The Commission shall keep minutes that fully and clearly describe all matters  
147.5 discussed in a meeting and shall provide a full and accurate summary of actions taken, and  
147.6 the reasons therefore, including a description of the views expressed. All documents  
147.7 considered in connection with an action shall be identified in such minutes. All minutes and  
147.8 documents of a closed meeting shall remain under seal, subject to release only by a majority  
147.9 vote of the Commission or order of a court of competent jurisdiction.

147.10 (g) Financing of the Commission:

147.11 (1) The Commission shall pay, or provide for the payment of, the reasonable expenses  
147.12 of its establishment, organization, and ongoing activities.

147.13 (2) The Commission may accept any and all appropriate revenue sources as provided  
147.14 in paragraph (c), clause (13).

147.15 (3) The Commission may levy on and collect an annual assessment from each member  
147.16 state and impose fees on licensees of member states to whom it grants a multistate license  
147.17 to cover the cost of the operations and activities of the Commission and its staff, which  
147.18 must be in a total amount sufficient to cover its annual budget as approved each year for  
147.19 which revenue is not provided by other sources. The aggregate annual assessment amount  
147.20 for member states shall be allocated based upon a formula that the Commission shall  
147.21 promulgate by rule.

147.22 (4) The Commission shall not incur obligations of any kind prior to securing the funds  
147.23 adequate to meet the same; nor shall the Commission pledge the credit of any of the member  
147.24 states, except by and with the authority of the member state.

147.25 (5) The Commission shall keep accurate accounts of all receipts and disbursements. The  
147.26 receipts and disbursements of the Commission shall be subject to the financial review and  
147.27 accounting procedures established under its bylaws. However, all receipts and disbursements  
147.28 of funds handled by the Commission shall be subject to an annual financial review by a  
147.29 certified or licensed public accountant, and the report of the financial review shall be included  
147.30 in and become part of the annual report of the Commission.

147.31 (h) Qualified immunity, defense, and indemnification:

147.32 (1) The members, officers, executive director, employees, and representatives of the  
147.33 Commission shall be immune from suit and liability, both personally and in their official

148.1 capacity, for any claim for damage to or loss of property or personal injury or other civil  
148.2 liability caused by or arising out of any actual or alleged act, error, or omission that occurred,  
148.3 or that the person against whom the claim is made had a reasonable basis for believing  
148.4 occurred within the scope of Commission employment, duties, or responsibilities, provided  
148.5 that nothing in this paragraph shall be construed to protect any such person from suit or  
148.6 liability for any damage, loss, injury, or liability caused by the intentional or willful or  
148.7 wanton misconduct of that person. The procurement of insurance of any type by the  
148.8 Commission shall not in any way compromise or limit the immunity granted hereunder.

148.9 (2) The Commission shall defend any member, officer, executive director, employee,  
148.10 and representative of the Commission in any civil action seeking to impose liability arising  
148.11 out of any actual or alleged act, error, or omission that occurred within the scope of  
148.12 Commission employment, duties, or responsibilities, or as determined by the Commission  
148.13 that the person against whom the claim is made had a reasonable basis for believing occurred  
148.14 within the scope of Commission employment, duties, or responsibilities, provided that  
148.15 nothing herein shall be construed to prohibit that person from retaining their own counsel  
148.16 at their own expense, and provided further, that the actual or alleged act, error, or omission  
148.17 did not result from that person's intentional or willful or wanton misconduct.

148.18 (3) The Commission shall indemnify and hold harmless any member, officer, executive  
148.19 director, employee, and representative of the Commission for the amount of any settlement  
148.20 or judgment obtained against that person arising out of any actual or alleged act, error, or  
148.21 omission that occurred within the scope of Commission employment, duties, or  
148.22 responsibilities, or that such person had a reasonable basis for believing occurred within  
148.23 the scope of Commission employment, duties, or responsibilities, provided that the actual  
148.24 or alleged act, error, or omission did not result from the intentional or willful or wanton  
148.25 misconduct of that person.

148.26 (4) Nothing herein shall be construed as a limitation on the liability of any licensee for  
148.27 professional malpractice or misconduct, which shall be governed solely by any other  
148.28 applicable state laws.

148.29 (5) Nothing in this Compact shall be interpreted to waive or otherwise abrogate a member  
148.30 state's state action immunity or state action affirmative defense with respect to antitrust  
148.31 claims under the Sherman Act, Clayton Act, or any other state or federal antitrust or  
148.32 anticompetitive law or regulation.

148.33 (6) Nothing in this Compact shall be construed to be a waiver of sovereign immunity  
148.34 by the member states or by the Commission.

149.1 (i) Notwithstanding paragraph (h), clause (1), the liability of the executive director,  
149.2 employees, or representatives of the interstate commission, acting within the scope of their  
149.3 employment or duties, may not exceed the limits of liability set forth under the constitution  
149.4 and laws of this state for state officials, employees, and agents. This paragraph expressly  
149.5 incorporates section 3.736, and neither expands nor limits the rights and remedies provided  
149.6 under that statute.

149.7 (j) Except for a claim alleging a violation of this compact, a claim against the commission,  
149.8 its executive director, employees, or representatives alleging a violation of the constitution  
149.9 and laws of this state may be brought in any county where the plaintiff resides. Nothing in  
149.10 this paragraph creates a private right of action.

149.11 **Sec. 11. [148E.50] DATA SYSTEM.**

149.12 (a) The Commission shall provide for the development, maintenance, operation, and  
149.13 utilization of a coordinated data system.

149.14 (b) The Commission shall assign each applicant for a multistate license a unique identifier,  
149.15 as determined by the rules of the Commission.

149.16 (c) Notwithstanding any other provision of state law to the contrary, a member state  
149.17 shall submit a uniform data set to the data system on all individuals to whom this Compact  
149.18 is applicable as required by the rules of the Commission, including:

149.19 (1) identifying information;

149.20 (2) licensure data;

149.21 (3) adverse actions against a license and information related thereto;

149.22 (4) nonconfidential information related to alternative program participation, the beginning  
149.23 and ending dates of such participation, and other information related to such participation  
149.24 not made confidential under member state law;

149.25 (5) any denial of application for licensure, and the reason for such denial;

149.26 (6) the presence of current significant investigative information; and

149.27 (7) other information that may facilitate the administration of this Compact or the  
149.28 protection of the public, as determined by the rules of the Commission.

149.29 (d) The records and information provided to a member state pursuant to this Compact  
149.30 or through the data system, when certified by the Commission or an agent thereof, shall  
149.31 constitute the authenticated business records of the Commission, and shall be entitled to

150.1 any associated hearsay exception in any relevant judicial, quasi-judicial, or administrative  
150.2 proceedings in a member state.

150.3 (e) Current significant investigative information pertaining to a licensee in any member  
150.4 state will only be available to other member states.

150.5 (f) It is the responsibility of the member states to report any adverse action against a  
150.6 licensee and to monitor the database to determine whether adverse action has been taken  
150.7 against a licensee. Adverse action information pertaining to a licensee in any member state  
150.8 will be available to any other member state.

150.9 (g) Member states contributing information to the data system may designate information  
150.10 that may not be shared with the public without the express permission of the contributing  
150.11 state.

150.12 (h) Any information submitted to the data system that is subsequently expunged pursuant  
150.13 to federal law or the laws of the member state contributing the information shall be removed  
150.14 from the data system.

150.15 Sec. 12. **[148E.51] RULEMAKING.**

150.16 (a) The Commission shall promulgate reasonable rules in order to effectively and  
150.17 efficiently implement and administer the purposes and provisions of the Compact. A rule  
150.18 shall be invalid and have no force or effect only if a court of competent jurisdiction holds  
150.19 that the rule is invalid because the Commission exercised its rulemaking authority in a  
150.20 manner that is beyond the scope and purposes of the Compact, or the powers granted  
150.21 hereunder, or based upon another applicable standard of review.

150.22 (b) The rules of the Commission shall have the force of law in each member state,  
150.23 provided however that where the rules of the Commission conflict with the laws of the  
150.24 member state that establish the member state's laws, regulations, and applicable standards  
150.25 that govern the practice of social work as held by a court of competent jurisdiction, the rules  
150.26 of the Commission shall be ineffective in that state to the extent of the conflict.

150.27 (c) The Commission shall exercise its rulemaking powers pursuant to the criteria set  
150.28 forth in this section and the rules adopted thereunder. Rules shall become binding on the  
150.29 day following adoption or the date specified in the rule or amendment, whichever is later.

150.30 (d) If a majority of the legislatures of the member states rejects a rule or portion of a  
150.31 rule, by enactment of a statute or resolution in the same manner used to adopt the Compact  
150.32 within four years of the date of adoption of the rule, then such rule shall have no further  
150.33 force and effect in any member state.

151.1 (e) Rules shall be adopted at a regular or special meeting of the Commission.

151.2 (f) Prior to adoption of a proposed rule, the commission shall hold a public hearing and  
151.3 allow persons to provide oral and written comments, data, facts, opinions, and arguments.

151.4 (g) Prior to adoption of a proposed rule by the Commission, and at least 30 days in  
151.5 advance of the meeting at which the Commission will hold a public hearing on the proposed  
151.6 rule, the Commission shall provide a notice of proposed rulemaking:

151.7 (1) on the website of the Commission or other publicly accessible platform;

151.8 (2) to persons who have requested notice of the Commission's notices of proposed  
151.9 rulemaking; and

151.10 (3) in such other way as the Commission may by rule specify.

151.11 (h) The notice of proposed rulemaking shall include:

151.12 (1) the time, date, and location of the public hearing at which the Commission will hear  
151.13 public comments on the proposed rule and, if different, the time, date, and location of the  
151.14 meeting where the Commission will consider and vote on the proposed rule;

151.15 (2) if the hearing is held via telecommunication, video conference, or other electronic  
151.16 means, the Commission shall include the mechanism for access to the hearing in the notice  
151.17 of proposed rulemaking;

151.18 (3) the text of the proposed rule and the reason therefor;

151.19 (4) a request for comments on the proposed rule from any interested person; and

151.20 (5) the manner in which interested persons may submit written comments.

151.21 (i) All hearings will be recorded. A copy of the recording and all written comments and  
151.22 documents received by the Commission in response to the proposed rule shall be available  
151.23 to the public.

151.24 (j) Nothing in this section shall be construed as requiring a separate hearing on each  
151.25 rule. Rules may be grouped for the convenience of the Commission at hearings required by  
151.26 this section.

151.27 (k) The Commission shall, by majority vote of all members, take final action on the  
151.28 proposed rule based on the rulemaking record and the full text of the rule.

151.29 (1) The Commission may adopt changes to the proposed rule, provided the changes do  
151.30 not enlarge the original purpose of the proposed rule.

152.1 (2) The Commission shall provide an explanation of the reasons for substantive changes  
152.2 made to the proposed rule as well as reasons for substantive changes not made that were  
152.3 recommended by commenters.

152.4 (3) The Commission shall determine a reasonable effective date for the rule. Except for  
152.5 an emergency as provided in paragraph (1), the effective date of the rule shall be no sooner  
152.6 than 30 days after issuing the notice that it adopted or amended the rule.

152.7 (1) Upon determination that an emergency exists, the Commission may consider and  
152.8 adopt an emergency rule with 48 hours' notice, with opportunity to comment, provided that  
152.9 the usual rulemaking procedures provided in the Compact and in this section shall be  
152.10 retroactively applied to the rule as soon as reasonably possible, in no event later than 90  
152.11 days after the effective date of the rule. For the purposes of this provision, an emergency  
152.12 rule is one that must be adopted immediately in order to:

152.13 (1) meet an imminent threat to public health, safety, or welfare;

152.14 (2) prevent a loss of Commission or member state funds;

152.15 (3) meet a deadline for the promulgation of a rule that is established by federal law or  
152.16 rule; or

152.17 (4) protect public health and safety.

152.18 (m) The Commission or an authorized committee of the Commission may direct revisions  
152.19 to a previously adopted rule for purposes of correcting typographical errors, errors in format,  
152.20 errors in consistency, or grammatical errors. Public notice of any revisions shall be posted  
152.21 on the website of the Commission. The revision shall be subject to challenge by any person  
152.22 for a period of 30 days after posting. The revision may be challenged only on grounds that  
152.23 the revision results in a material change to a rule. A challenge shall be made in writing and  
152.24 delivered to the Commission prior to the end of the notice period. If no challenge is made,  
152.25 the revision will take effect without further action. If the revision is challenged, the revision  
152.26 may not take effect without the approval of the Commission.

152.27 (n) No member state's rulemaking requirements shall apply under this compact.

152.28 **Sec. 13. [148E.52] OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT.**

152.29 (a) Oversight:

152.30 (1) The executive and judicial branches of state government in each member state shall  
152.31 enforce this Compact and take all actions necessary and appropriate to implement the  
152.32 Compact.

153.1 (2) Except as otherwise provided in this Compact, venue is proper and judicial  
153.2 proceedings by or against the Commission shall be brought solely and exclusively in a court  
153.3 of competent jurisdiction where the principal office of the Commission is located. The  
153.4 Commission may waive venue and jurisdictional defenses to the extent it adopts or consents  
153.5 to participate in alternative dispute resolution proceedings. Nothing herein shall affect or  
153.6 limit the selection or propriety of venue in any action against a licensee for professional  
153.7 malpractice, misconduct, or any such similar matter.

153.8 (3) The Commission shall be entitled to receive service of process in any proceeding  
153.9 regarding the enforcement or interpretation of the Compact and shall have standing to  
153.10 intervene in such a proceeding for all purposes. Failure to provide the Commission service  
153.11 of process shall render a judgment or order void as to the Commission, this Compact, or  
153.12 promulgated rules.

153.13 (b) Default, technical assistance, and termination:

153.14 (1) If the Commission determines that a member state has defaulted in the performance  
153.15 of its obligations or responsibilities under this Compact or the promulgated rules, the  
153.16 Commission shall provide written notice to the defaulting state. The notice of default shall  
153.17 describe the default, the proposed means of curing the default, and any other action that the  
153.18 Commission may take, and shall offer training and specific technical assistance regarding  
153.19 the default.

153.20 (2) The Commission shall provide a copy of the notice of default to the other member  
153.21 states.

153.22 (c) If a state in default fails to cure the default, the defaulting state may be terminated  
153.23 from the Compact upon an affirmative vote of a majority of the delegates of the member  
153.24 states, and all rights, privileges, and benefits conferred on that state by this Compact may  
153.25 be terminated on the effective date of termination. A cure of the default does not relieve the  
153.26 offending state of obligations or liabilities incurred during the period of default.

153.27 (d) Termination of membership in the Compact shall be imposed only after all other  
153.28 means of securing compliance have been exhausted. Notice of intent to suspend or terminate  
153.29 shall be given by the Commission to the governor, the majority and minority leaders of the  
153.30 defaulting state's legislature, the defaulting state's state licensing authority, and each of the  
153.31 member states' state licensing authority.

153.32 (e) A state that has been terminated is responsible for all assessments, obligations, and  
153.33 liabilities incurred through the effective date of termination, including obligations that  
153.34 extend beyond the effective date of termination.

154.1 (f) Upon the termination of a state's membership from this Compact, that state shall  
154.2 immediately provide notice to all licensees within that state of such termination. The  
154.3 terminated state shall continue to recognize all licenses granted pursuant to this Compact  
154.4 for a minimum of six months after the date of said notice of termination.

154.5 (g) The Commission shall not bear any costs related to a state that is found to be in  
154.6 default or that has been terminated from the Compact, unless agreed upon in writing between  
154.7 the Commission and the defaulting state.

154.8 (h) The defaulting state may appeal the action of the Commission by petitioning the  
154.9 United States District Court for the District of Columbia or the federal district where the  
154.10 Commission has its principal offices. The prevailing party shall be awarded all costs of such  
154.11 litigation, including reasonable attorney fees.

154.12 (i) Dispute resolution:

154.13 (1) Upon request by a member state, the Commission shall attempt to resolve disputes  
154.14 related to the Compact that arise among member states and between member and nonmember  
154.15 states.

154.16 (2) The Commission shall promulgate a rule providing for both mediation and binding  
154.17 dispute resolution for disputes as appropriate.

154.18 (j) Enforcement:

154.19 (1) By majority vote as provided by rule, the Commission may initiate legal action  
154.20 against a member state in default in the United States District Court for the District of  
154.21 Columbia or the federal district where the Commission has its principal offices to enforce  
154.22 compliance with the provisions of the Compact and its promulgated rules. The relief sought  
154.23 may include both injunctive relief and damages. In the event judicial enforcement is  
154.24 necessary, the prevailing party shall be awarded all costs of such litigation, including  
154.25 reasonable attorney fees. The remedies herein shall not be the exclusive remedies of the  
154.26 Commission. The Commission may pursue any other remedies available under federal or  
154.27 the defaulting member state's law.

154.28 (2) A member state may initiate legal action against the Commission in the United States  
154.29 District Court for the District of Columbia or the federal district where the Commission has  
154.30 its principal offices to enforce compliance with the provisions of the Compact and its  
154.31 promulgated rules. The relief sought may include both injunctive relief and damages. In the  
154.32 event judicial enforcement is necessary, the prevailing party shall be awarded all costs of  
154.33 such litigation, including reasonable attorney fees.

155.1 (3) No person other than a member state shall enforce this compact against the  
155.2 Commission.

155.3 **Sec. 14. [148E.53] EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT.**

155.4 (a) The Compact shall come into effect on the date on which the Compact statute is  
155.5 enacted into law in the seventh member state.

155.6 (1) On or after the effective date of the Compact, the Commission shall convene and  
155.7 review the enactment of each of the first seven member states ("charter member states") to  
155.8 determine if the statute enacted by each such charter member state is materially different  
155.9 than the model Compact statute.

155.10 (i) A charter member state whose enactment is found to be materially different from the  
155.11 model Compact statute shall be entitled to the default process set forth in section 148E.52.

155.12 (ii) If any member state is later found to be in default, or is terminated or withdraws  
155.13 from the Compact, the Commission shall remain in existence and the Compact shall remain  
155.14 in effect even if the number of member states should be less than seven.

155.15 (2) Member states enacting the compact subsequent to the seven initial charter member  
155.16 states shall be subject to the process set forth in section 148E.49, paragraph (c), clause (21),  
155.17 to determine if their enactments are materially different from the model Compact statute  
155.18 and whether they qualify for participation in the Compact.

155.19 (3) All actions taken for the benefit of the Commission or in furtherance of the purposes  
155.20 of the administration of the Compact prior to the effective date of the Compact or the  
155.21 Commission coming into existence shall be considered to be actions of the Commission  
155.22 unless specifically repudiated by the Commission.

155.23 (4) Any state that joins the Compact subsequent to the Commission's initial adoption of  
155.24 the rules and bylaws shall be subject to the rules and bylaws as they exist on the date on  
155.25 which the Compact becomes law in that state. Any rule that has been previously adopted  
155.26 by the Commission shall have the full force and effect of law on the day the Compact  
155.27 becomes law in that state.

155.28 (b) Any member state may withdraw from this Compact by enacting a statute repealing  
155.29 the same.

155.30 (1) A member state's withdrawal shall not take effect until 180 days after enactment of  
155.31 the repealing statute.

156.1 (2) Withdrawal shall not affect the continuing requirement of the withdrawing state's  
156.2 licensing authority to comply with the investigative and adverse action reporting requirements  
156.3 of this Compact prior to the effective date of withdrawal.

156.4 (3) Upon the enactment of a statute withdrawing from this Compact, a state shall  
156.5 immediately provide notice of such withdrawal to all licensees within that state.  
156.6 Notwithstanding any subsequent statutory enactment to the contrary, such withdrawing  
156.7 state shall continue to recognize all licenses granted pursuant to this Compact for a minimum  
156.8 of 180 days after the date of such notice of withdrawal.

156.9 (c) Nothing contained in this Compact shall be construed to invalidate or prevent any  
156.10 licensure agreement or other cooperative arrangement between a member state and a  
156.11 nonmember state that does not conflict with the provisions of this Compact.

156.12 (d) This Compact may be amended by the member states. No amendment to this Compact  
156.13 shall become effective and binding upon any member state until it is enacted into the laws  
156.14 of all member states.

156.15 Sec. 15. **[148E.54] CONSTRUCTION AND SEVERABILITY.**

156.16 (a) This Compact and the Commission's rulemaking authority shall be liberally construed  
156.17 so as to effectuate the purposes, and the implementation and administration of the Compact.  
156.18 Provisions of the Compact expressly authorizing or requiring the promulgation of rules  
156.19 shall not be construed to limit the Commission's rulemaking authority solely for those  
156.20 purposes.

156.21 (b) The provisions of this Compact shall be severable and if any phrase, clause, sentence,  
156.22 or provision of this Compact is held by a court of competent jurisdiction to be contrary to  
156.23 the constitution of any member state, a state seeking participation in the Compact, or of the  
156.24 United States, or the applicability thereof to any government, agency, person or circumstance  
156.25 is held to be unconstitutional by a court of competent jurisdiction, the validity of the  
156.26 remainder of this Compact and the applicability thereof to any other government, agency,  
156.27 person or circumstance shall not be affected thereby.

156.28 (c) Notwithstanding paragraph (b), the Commission may deny a state's participation in  
156.29 the Compact or, in accordance with the requirements of section 148E.52, paragraph (b),  
156.30 terminate a member state's participation in the Compact, if it determines that a constitutional  
156.31 requirement of a member state is a material departure from the Compact. Otherwise, if this  
156.32 Compact shall be held to be contrary to the constitution of any member state, the Compact

157.1 shall remain in full force and effect as to the remaining member states and in full force and  
157.2 effect as to the member state affected as to all severable matters.

157.3 Sec. 16. **[148E.55] CONSISTENT EFFECT AND CONFLICT WITH OTHER STATE**  
157.4 **LAWS.**

157.5 (a) A licensee providing services in a remote state under a multistate authorization to  
157.6 practice shall adhere to the laws and regulations, including laws, regulations, and applicable  
157.7 standards, of the remote state where the client is located at the time care is rendered.

157.8 (b) Nothing herein shall prevent or inhibit the enforcement of any other law of a member  
157.9 state that is not inconsistent with the Compact.

157.10 (c) Any laws, statutes, regulations, or other legal requirements in a member state in  
157.11 conflict with the Compact are superseded to the extent of the conflict.

157.12 (d) All permissible agreements between the Commission and the member states are  
157.13 binding in accordance with their terms.